

Her2neu directed and Targeted Therapy in Breast Cancer

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HER2/Neu Oncogene Discovery

- 1984: *Neu* transforming gene identified in chemically induced rat neuro-glioblastoma¹
- 1985: *HER2* amplification identified in human breast cancer²
- 1985: *HER2* and *c-erbB2* identified and found to be the same gene as *neu*³
- 1986: *Neu* oncogene had activating point mutation in transmembrane domain⁴
- 1987: *HER2/neu* amplification associated with worse prognosis in operable breast cancer⁵

¹Schechter AL et al. *Nature* 1984;312:513-516

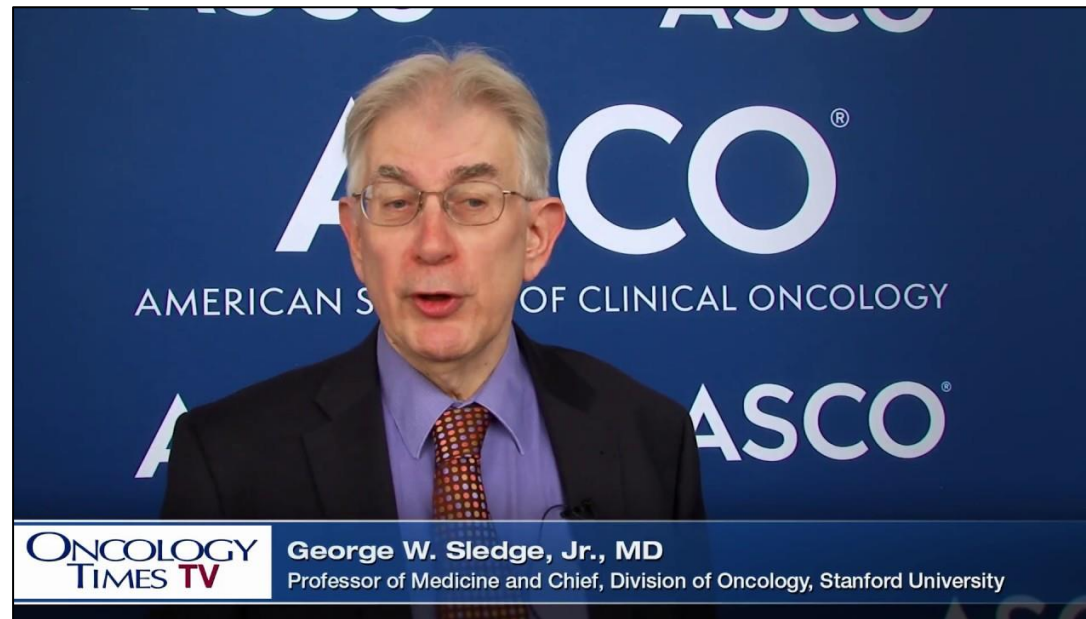
²King CR et al. *Science* 1985;229:974-976

³Coussens L et al. *Science* 1985; 230:1132-1139

⁴Bargmann CI et al. *Cell* 1986;45:649-657

⁵Slamon DJ et al. *Science* 1987; 237:177-182

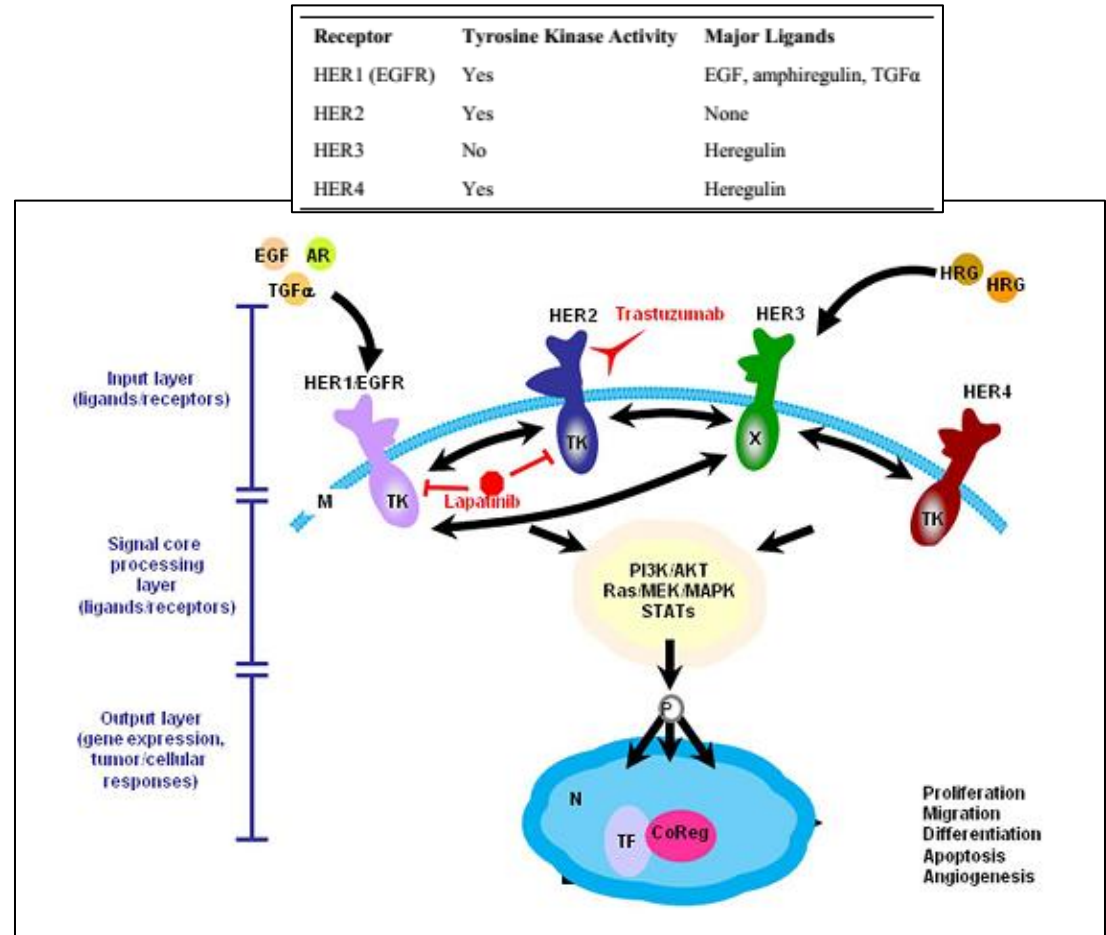
May 2005: American Society of Clinical Oncology (ASCO) meeting in Orlando, Florida



“Biology has spoken, and we should listen.”

Biological Significance of HER

- The HER pathway has been described in systems biology terms as a complex biological network comprised of three layers,
 - an input layer of membrane receptors and their ligands to trigger the signal coming from outside the cell,
 - a core system processing layer of protein kinases transmitting the signal to the nucleus,
 - an output layer of transcription factors regulating genes that affect various cellular functions



Importance of HER2Neu

- Input layer is comprised of 4 membrane receptors/tyrosine kinases (TKs) (HER1–4) and their many ligands
- Upon ligand binding to their extracellular domains, HER proteins undergo dimerization and trans phosphorylation of their intracellular domains
- In breast cancer, HER2 is the dominant TK receptor, being amplified in 20% of cases
- HER2 does not have a ligand and relies on heterodimerization with another family member or homodimerization with itself when expressed at very high levels to be activated
- HER2 has the strongest catalytic kinase activity and HER2 containing heterodimers have the strongest signaling activity

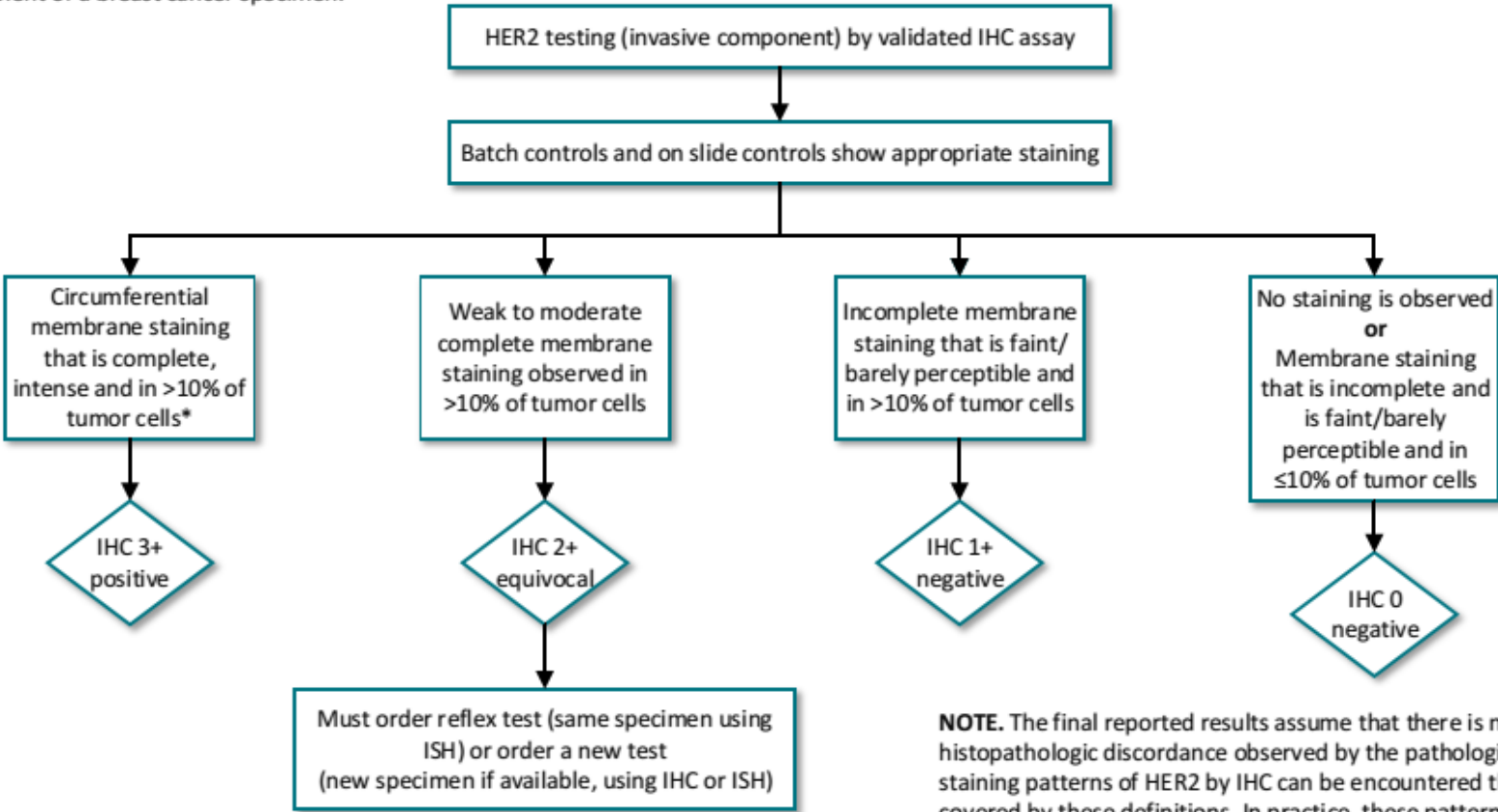
Characteristics of HER2-Amplified Breast Cancer

| |
|---|
| Increased proliferation rates |
| High histologic and nuclear grade |
| Low ER and PR levels |
| More aneuploidy |
| Propensity to metastasize to CNS and viscera |
| Relative resistance to endocrine therapy |
| Increased sensitivity to doxorubicin; Co-amplification of topoisomerase 2 |
| Relative resistance to endocrine therapy |
| Response to HER2-targeted therapy |

HER2 Detection in Breast Cancer

- In 2007 the American Society of Clinical Oncology and the College of American Pathologists developed recommendations for HER2 testing performance to reduce assay errors
- Historically assay error rates were as high as 20% when compared to centralized laboratories
- Tests are performed on tumour samples that are fixed in buffered formalin and embedded in paraffin
 - Immunohistochemistry (IHC),
 - Molecular testing with in situ hybridization: single and dual probe
 - fluorescence in situ hybridization (FISH),
 - chromogenic in situ hybridization (CISH)
 - silver enhanced in situ hybridization (SISH)

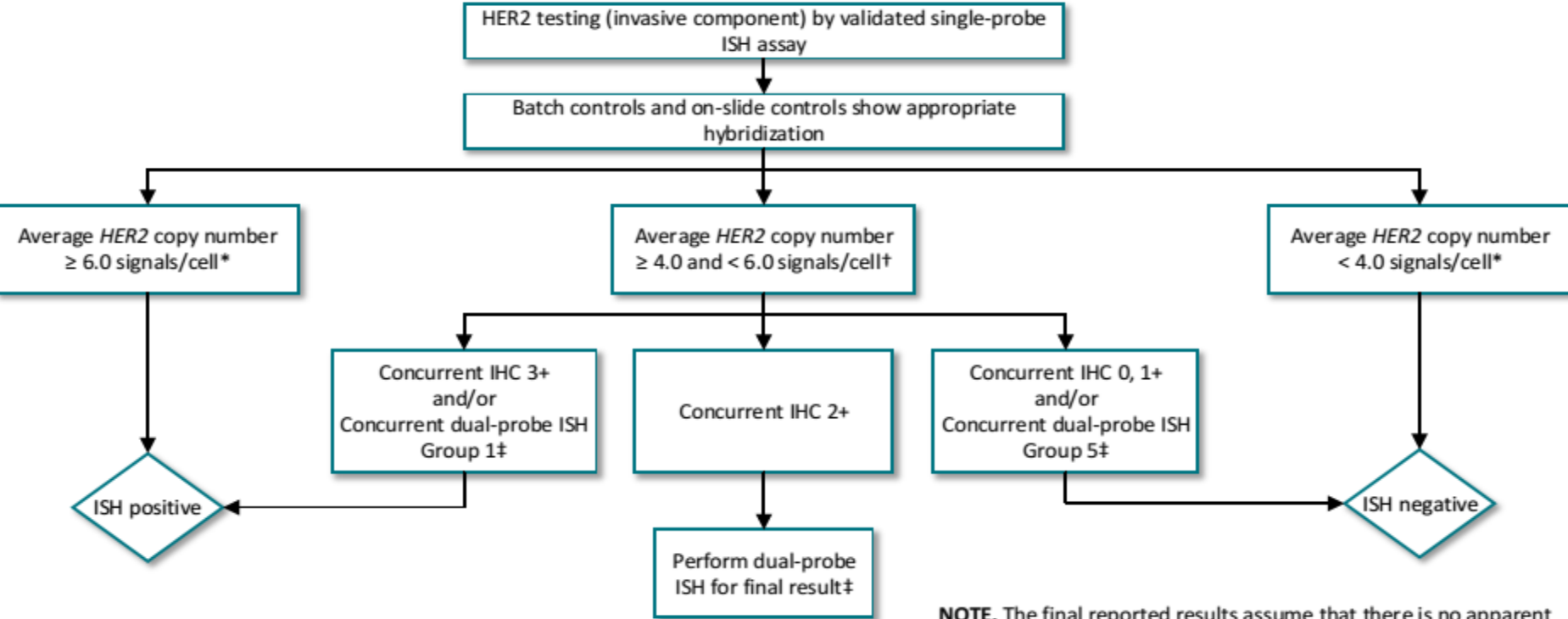
Figure 1. Algorithm for evaluation of human epidermal growth factor receptor 2 (HER2) protein expression by immunohistochemistry (IHC) assay of the invasive component of a breast cancer specimen.



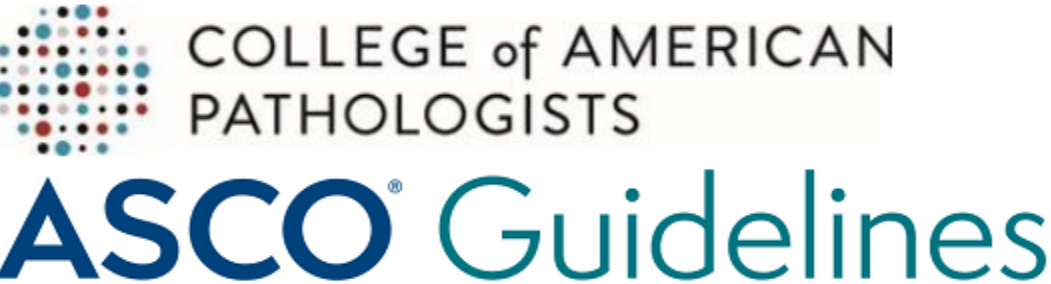
IHC

NOTE. The final reported results assume that there is no apparent histopathologic discordance observed by the pathologist. Unusual staining patterns of HER2 by IHC can be encountered that are not covered by these definitions. In practice, these patterns are rare and if encountered should be considered IHC 2+ equivocal. As one example, some specific subtypes of breast cancers can show IHC staining that is moderate to intense but incomplete (basolateral or lateral) and can be found to be HER2 amplified. Another example is circumferential membrane IHC staining that is intense but within ≤10% of tumor cells (heterogeneous but very limited in extent). Such cases can be considered 2+ equivocal but additional samples may reveal different percentages of HER2 positive staining. (*)Readily appreciated using a low power objective and observed within a homogeneous and contiguous invasive cell population

Figure 2. Algorithm for evaluation of human epidermal growth factor receptor 2 (HER2) gene amplification by in situ hybridization (ISH) assay of the invasive component of a breast cancer specimen using a single-signal (HER2 gene) assay (single-probe ISH).



Single probe ISH

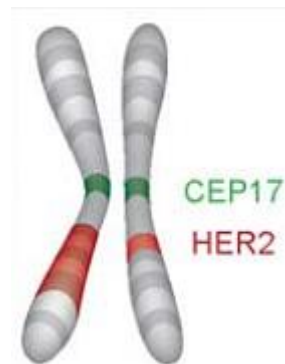
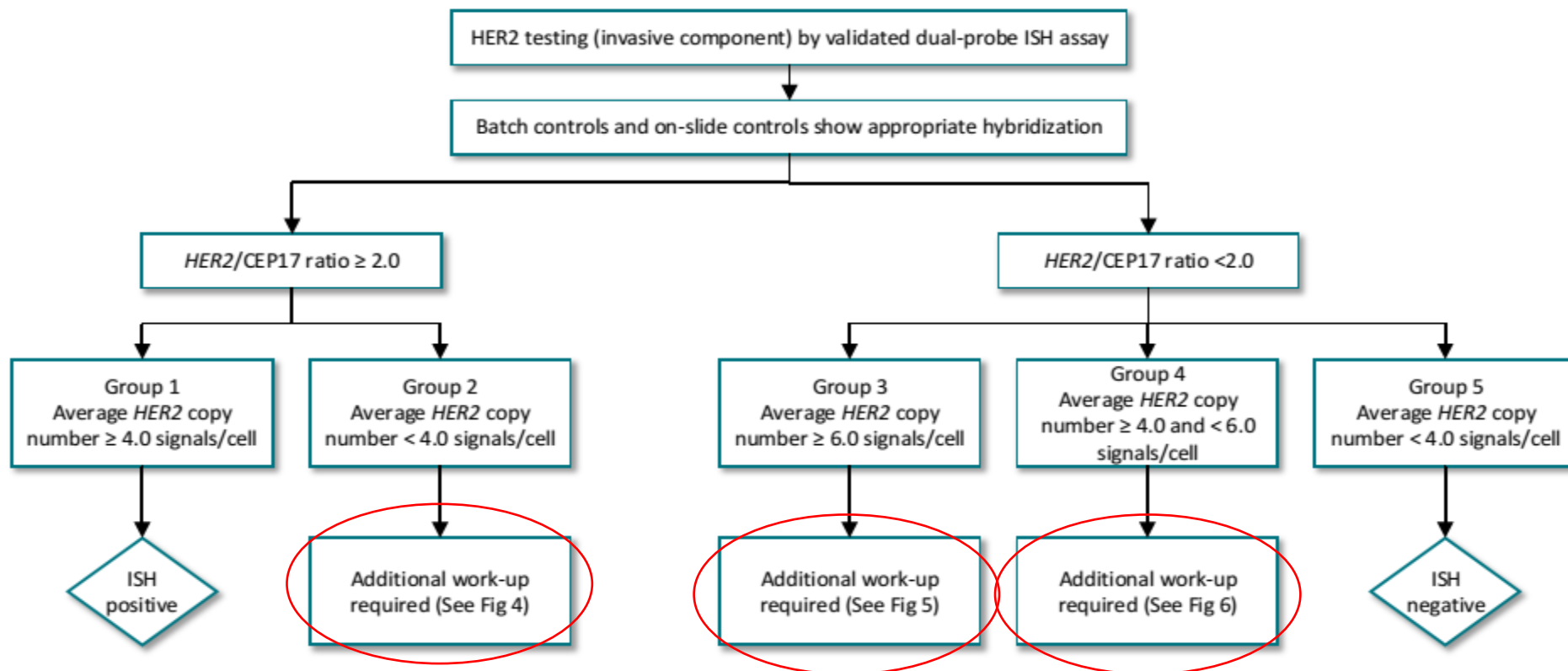


www.asco.org/breast-cancer-guidelines © 2018 by the American Society of Clinical Oncology and College of American Pathologists. All rights reserved

This algorithm is derived from recommendations in Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer: American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Focused Update. This is a tool based on an ASCO and CAP guideline and is not intended to substitute for the independent professional judgment of the treating physician. Practice guidelines do not account for individual variation among patients. This tool does not purport to suggest any particular course of medical treatment. Use of the guideline and this tool are voluntary.

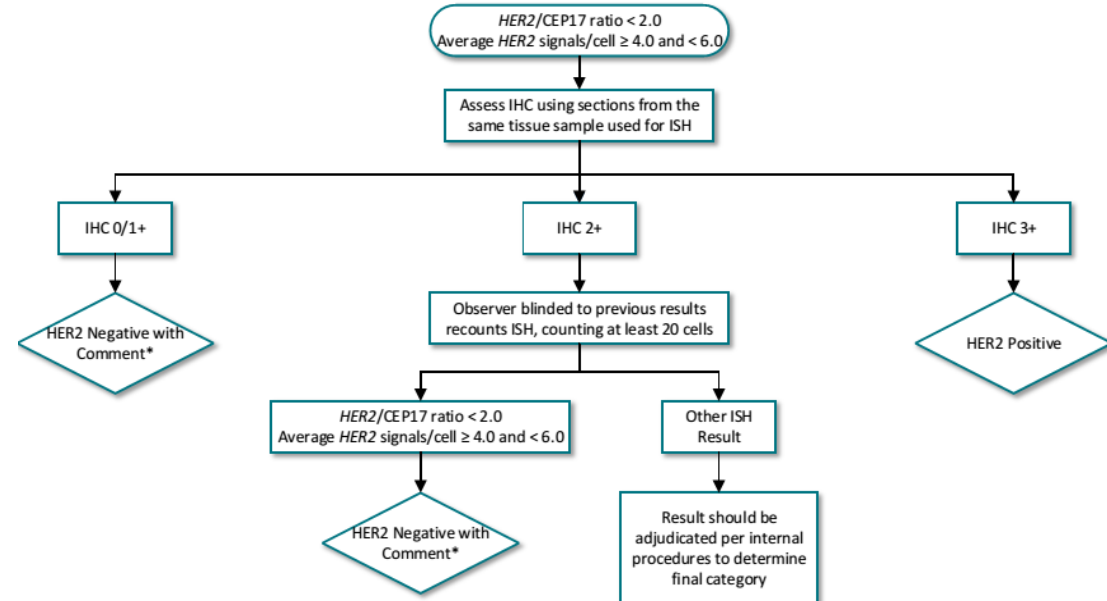
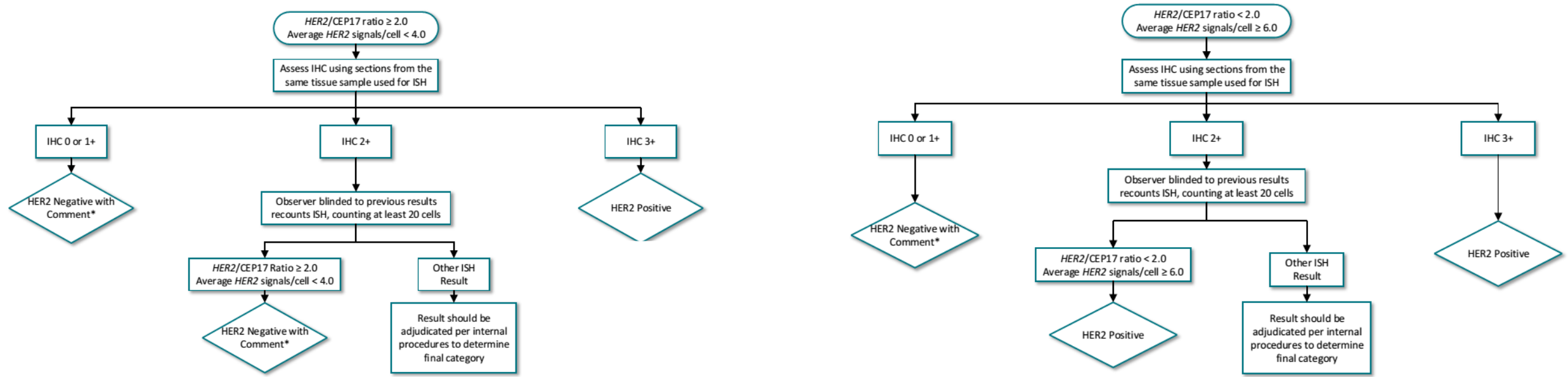
NOTE. The final reported results assume that there is no apparent histopathologic discordance observed by the pathologist
*It is recommended that concomitant IHC review should become part of the interpretation of single-probe ISH results. The Expert Panel also preferentially recommends the use of dual-probe instead of single-probe ISH assays.
†Using sections from the same tissue samples used for single-probe ISH, perform IHC (if not already done) and/or dual-probe ISH. If IHC results are 2+ equivocal, it is recommended to also perform dual probe ISH.
‡If initial assessment of dual-probe ISH suggestive of Groups 2, 3, or 4, follow the algorithm described in Figure 3.

Figure 3. Algorithm for evaluation of human epidermal growth factor receptor 2 (HER2) gene amplification by in situ hybridization (ISH) assay of the invasive component of a breast cancer specimen using a dual-signal (HER2 gene) assay (dual-probe ISH).



Dual probe
ISH

NOTE. The final reported results assume that there is no apparent histopathologic discordance observed by the pathologist. Regarding Groups 2, 3, and 4, If not already assessed by the institution/lab performing the ISH test, IHC testing for HER2 should be performed using sections from the same tissue sample used for ISH and the slides from both ISH and IHC be reviewed together to guide the selection of areas to score by ISH (local practice considerations will dictate the best procedure to accomplish this concomitant assessment).



Clinical decision making

Anti her2neu therapy

Indications

- Curative intent
 - Adjuvant
 - Sequential
 - Concurrent
 - Neoadjuvant
- Palliative intent

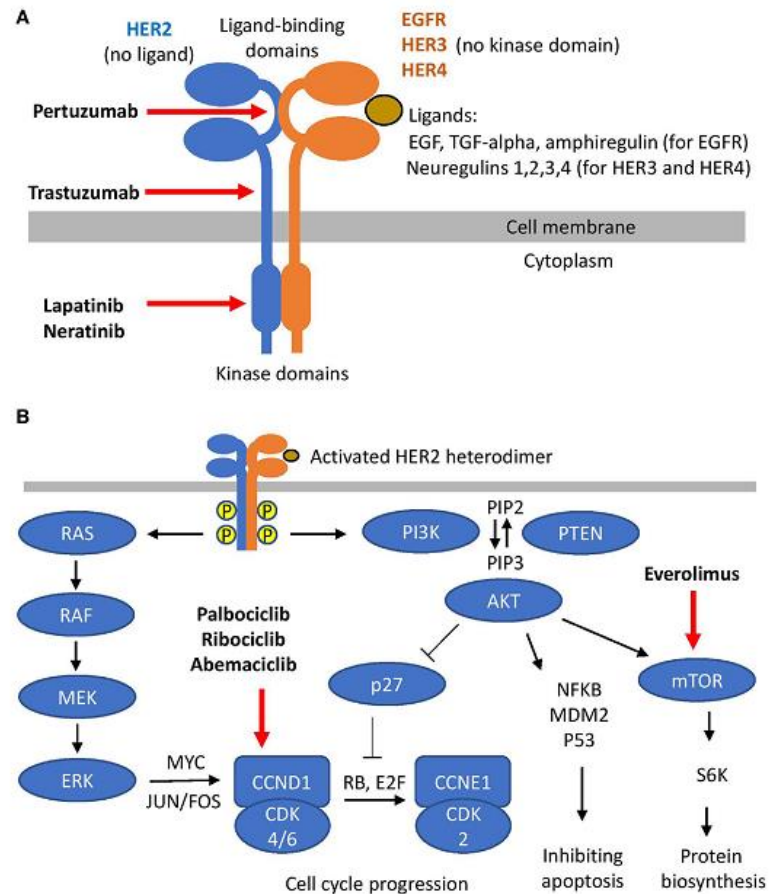
Monoclonal Antibodies

- Trastuzumab
- Pertuzumab
- “Ado-Trastuzumab”

Tyrosine Kinase Inhibitors

- Lapatinib
- *Neratinib*
- *Tucatinib*

The molecules



| Anti-HER2 agent | Indication | Year of approval by the US FDA |
|-----------------|---|--------------------------------|
| Trastuzumab | Trastuzumab (Herceptin™) combined with paclitaxel in patients with mBC whose tumors overexpress HER2 protein and who have not received chemotherapy for their metastatic disease | 1998 |
| Lapatinib | Lapatinib (Tykerb®) for use in combination with capecitabine for treatment of patients with advanced breast cancer or mBC whose tumors overexpress HER2 (ErbB2), and who have received prior therapy including anthracycline, taxane, and trastuzumab | 2007 |
| Pertuzumab | Pertuzumab (Perjeta™) for use in combination with trastuzumab and docetaxel for the treatment of HER2-positive mBC who have not received prior anti-HER2 therapy or chemotherapy for metastatic disease | 2012 |
| T-DM1 | Trastuzumab emtansine (Kadcyla™) for use as a single agent for the treatment of patients with HER2-positive mBC, who had previously received treatment with trastuzumab and taxane, either separately or in combination | 2013 |

Curative setting: Adjuvant

- Trastuzumab combined with Chemotherapy in patients with HER2 overexpression/amplification approximately halves the recurrence and mortality risk, compared with ChT alone, translating into a 10% absolute improvement in long-term DFS and 9% increase in 10-year OS
- Trastuzumab is approved in patients with node-positive disease and in N0 patients with tumours > 1 cm
- In patients with N0 tumours >5mm and < 1 cm, it should also be considered in this patient group, particularly in ER negative disease

Evidence

24 mos

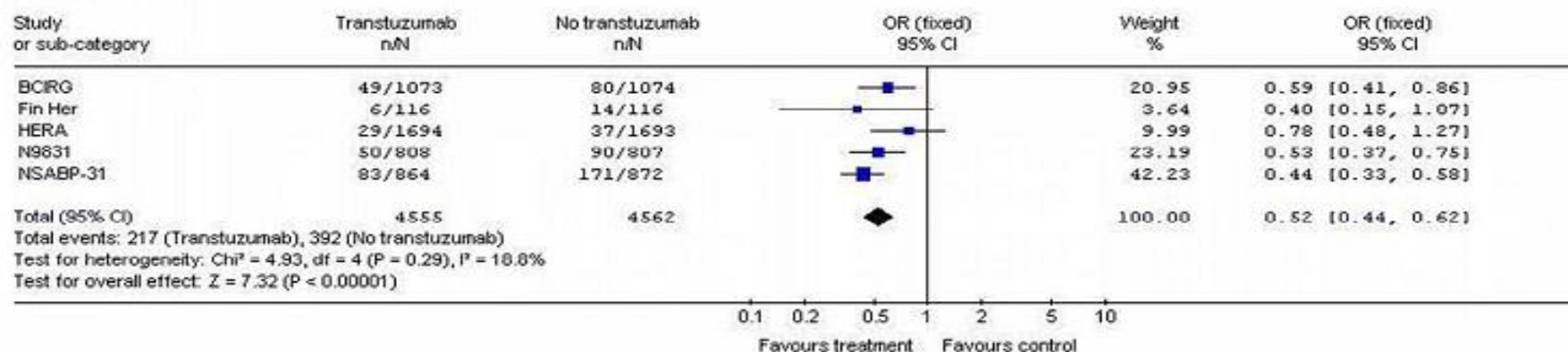
Table 1. Characteristics of eligible trials in this analysis

| Study | Median follow-up (years) ^a | Tumor characteristics | Treatment regimens per arm | n of patients | Node positive (%) | HR positive (%) |
|--------------------------|---------------------------------------|---|---|-------------------------|----------------------------------|--|
| HERA ^b | 2 | Early-stage invasive breast cancer, node positive or high-risk node negative (tumor >1 cm) | Arm A CT ± RT → observation Arm B CT ± RT → H × 12 mos Arm C CT ± RT → H × 24 mos | 1,698 1,703 1,689 | 964 (56.8) 965 (56.7) NR | 855 (50.4) 860 (50.5) NR |
| NCCTG N9831 ^c | 2.9 | Early-stage invasive breast cancer, node positive or high-risk node negative (tumor >1 cm if HR negative or >2 cm if HR positive) | Arm A AC → P Arm B AC → P+H Arm C AC → P → H | 807 808 981 | 701 (86.9) 719 (89) NR | 426 ^g (52.8) 414 ^g (51.2) NR |
| NSABP B-31 ^d | 2.9 | Early-stage, node-positive invasive breast cancer | Arm A AC → P Arm B AC → P+H | 872 864 | 872 (100) 864 (100) | 460 ^g (52.8) 448 ^g (51.9) |
| BCIRG 006 ^e | 3 | Node positive or high-risk node negative | Arm A AC → D Arm B AC → D+H → H Arm C D + Carbo + H | 1,073 1,074 1,075 | 762 (71) 763 (71) 774 (72) | 579 (54) 580 (54) 581 (54) |
| FinHER ^f | 3 | Early-stage, node-positive or node-negative breast cancer (>2 cm and PgR negative) | Arm A D or V → CEF Arm B D or V + H → CEF | 116 116 | 91 (78.4) 104 (89.7) | 51 ^g (44) 58 ^g (50) |

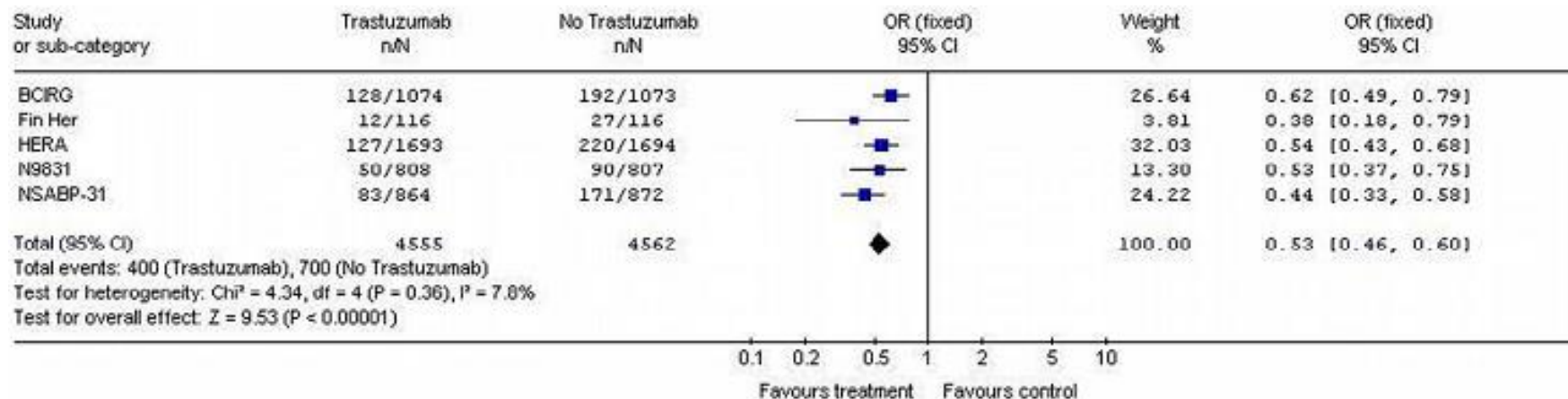
9 weeks

→ Sequential versus concurrent

mortality rate of the adjuvant trastuzumab trials in early Breast Cancer.



Recurrence rate of the adjuvant trastuzumab trials in early Breast Cancer.



Duration

| Authors/Study | Period | N | Study Design | Treatment | MF | Survival HR (95% CI) | Cardiac events (shorter vs 1y) |
|---------------------------|-----------------|------------------|---|---|-------|---|--------------------------------|
| Conte et al. Short-HER | 2007.12–2013.10 | 1253 | multicenter, phase III RCT, non-inferiority (HR < 1.29) | D + H → FEC AC/EC → T/D + H 9w vs 1y | 6 y | DFS: 1.13 (0.89–1.42) OS: 1.07 (0.74–1.56) | 8/626 vs 18/627 |
| Earl et al. PERSEPHONE | 2007.10–2015.7 | 4088 | multicenter, phase III RCT, open-label non-inferiority (HR < 1.29) | Anthracycline/ Taxane + H (concurrent/sequential) 6 m vs 1y | 64.8m | DFS: 1.07 (0.90–1.28) OS: 1.14 (0.92–1.42) | 82/2043 vs 164/2045 |
| Joensuu et al. SOLD | 2008.1–2014.12 | 2174 | multicenter, phase III RCT, open-label superiority → non-inferiority (HR < 1.3) | D + H → FEC ± H 9w vs 1y | 62.4m | DFS: 1.39 (1.08–1.79) OS: 1.36 (0.92–2.01) | 22/1085 vs 42/1089 |
| Mavroudis et al. HORG | 2004.6–2012.5 | 481 | multicenter, phase III RCT, non-inferiority (HR < 1.53) | FEC → D + H 6 m vs 1y | 51m | DFS: 1.57 (0.86–2.10) OS: 1.45 (0.57–3.67) | – |
| Pivot et al. PHARE | 2006.5–2010.7 | 3380 | multicenter, phase III RCT, open-label non-inferiority (HR < 1.15) | Anthracycline/ Taxane + H (concurrent/sequential) 6 m vs 1y | 7.5y | DFS: 1.08 (0.93–1.25) OS: 1.13 (0.92–1.39) | 67/1690 vs 111/1690 |
| Schneider et al. E2198 | 1999.8–2000.10 | 120 [*] | phase II RCT | T + H → AC ± H 12w vs 1y | 77m | DFS: 0.85 (0.41–1.77) OS: 1.21 (0.46–3.13) | – |

1 year still standard despite higher incidence of cardiac dysfunction

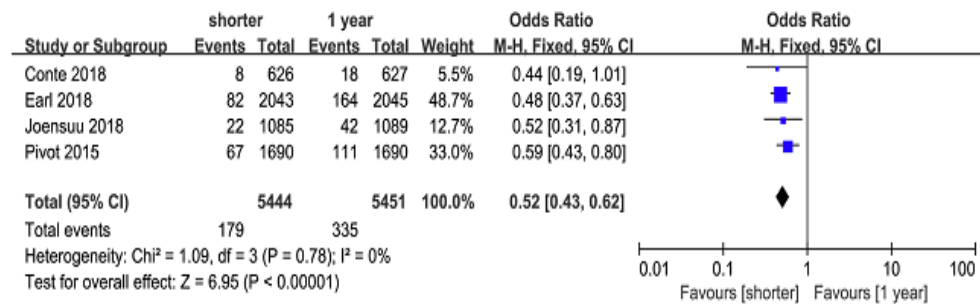
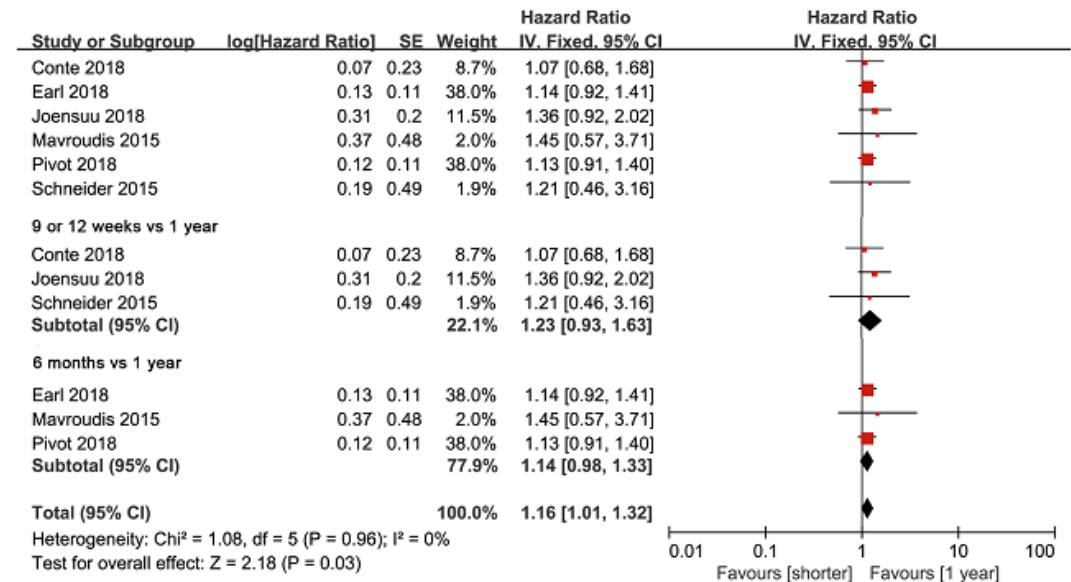
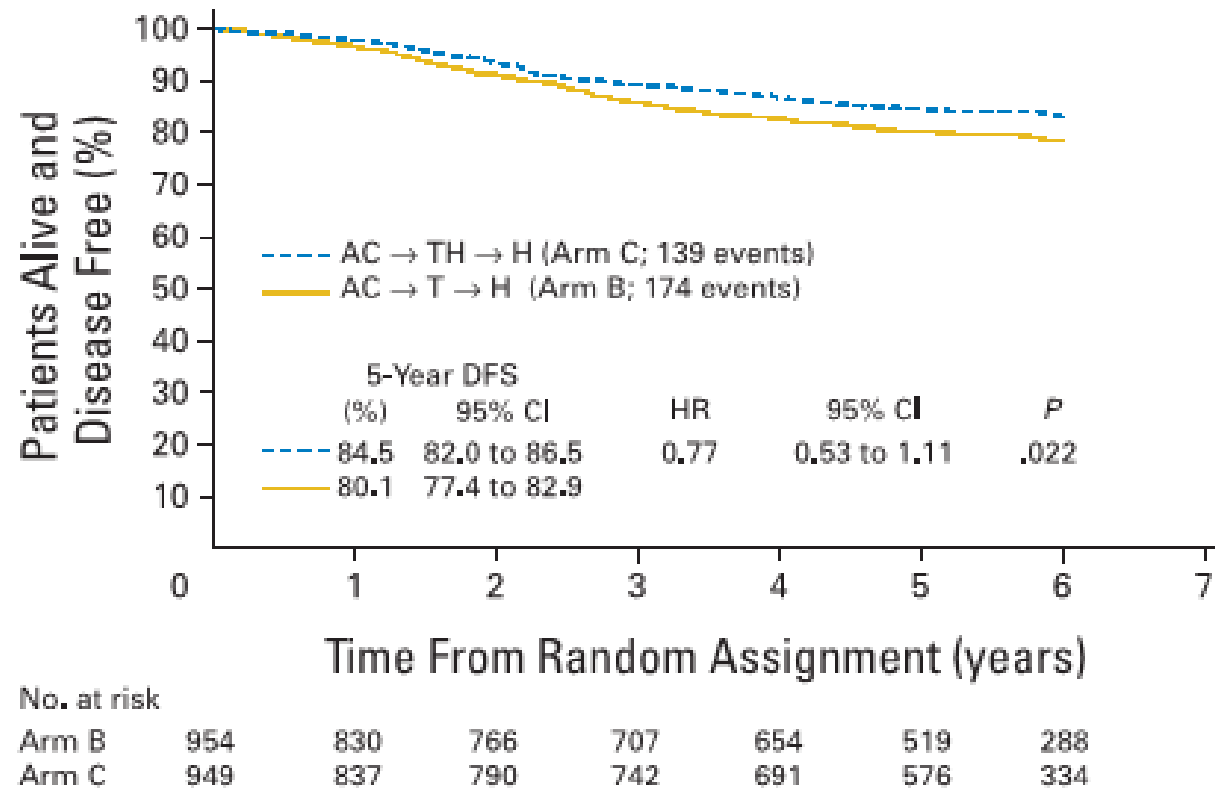


Fig. 5. Forest plot of odds ratios comparing cardiac events of patients treated with shorter-duration versus 1-year trastuzumab.



Sequential versus concurrent : NCCTG (North Central Cancer Treatment Group) N9831

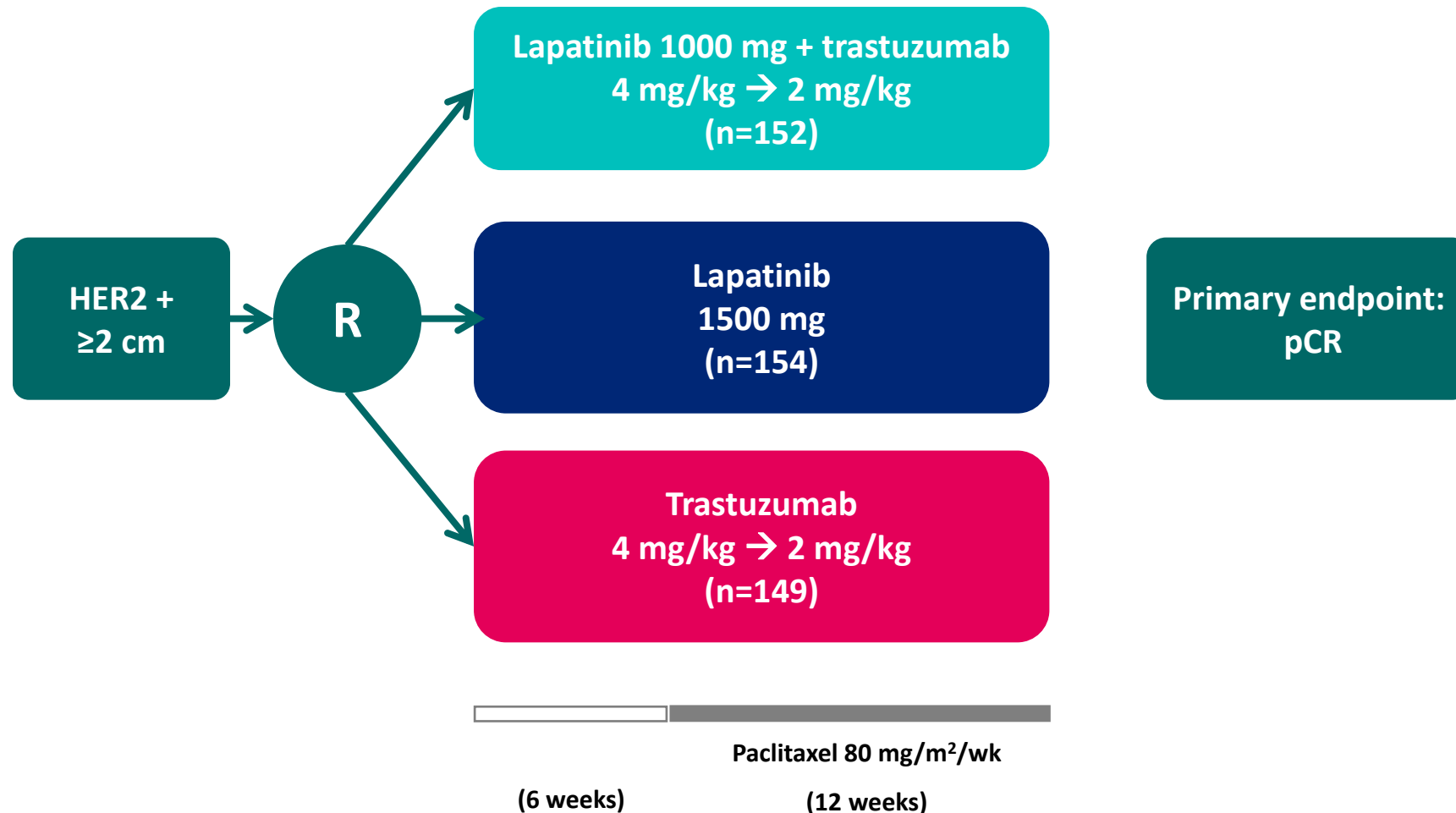


Increase in DFS with concurrent trastuzumab and paclitaxel
relative to sequential administration

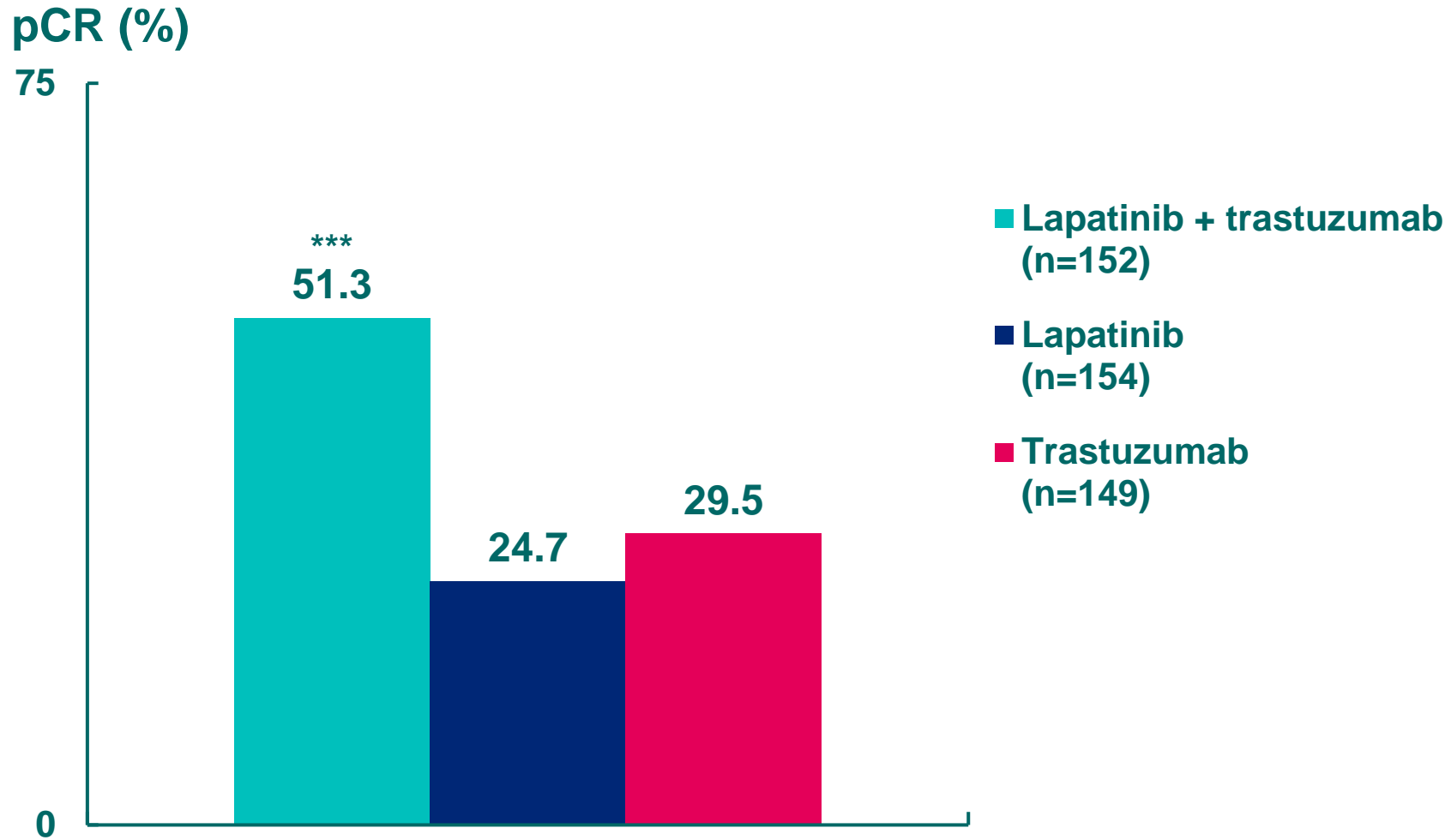
Neoadjuvant therapy

- Pathological CR is the primary objective
- Introduction of anti her2 therapy in neoadjuvant setting promising
- Effective alternative of combination targeted therapy available

NeoALLTO – Effect of dual HER2 blockade: Lapatinib and trastuzumab

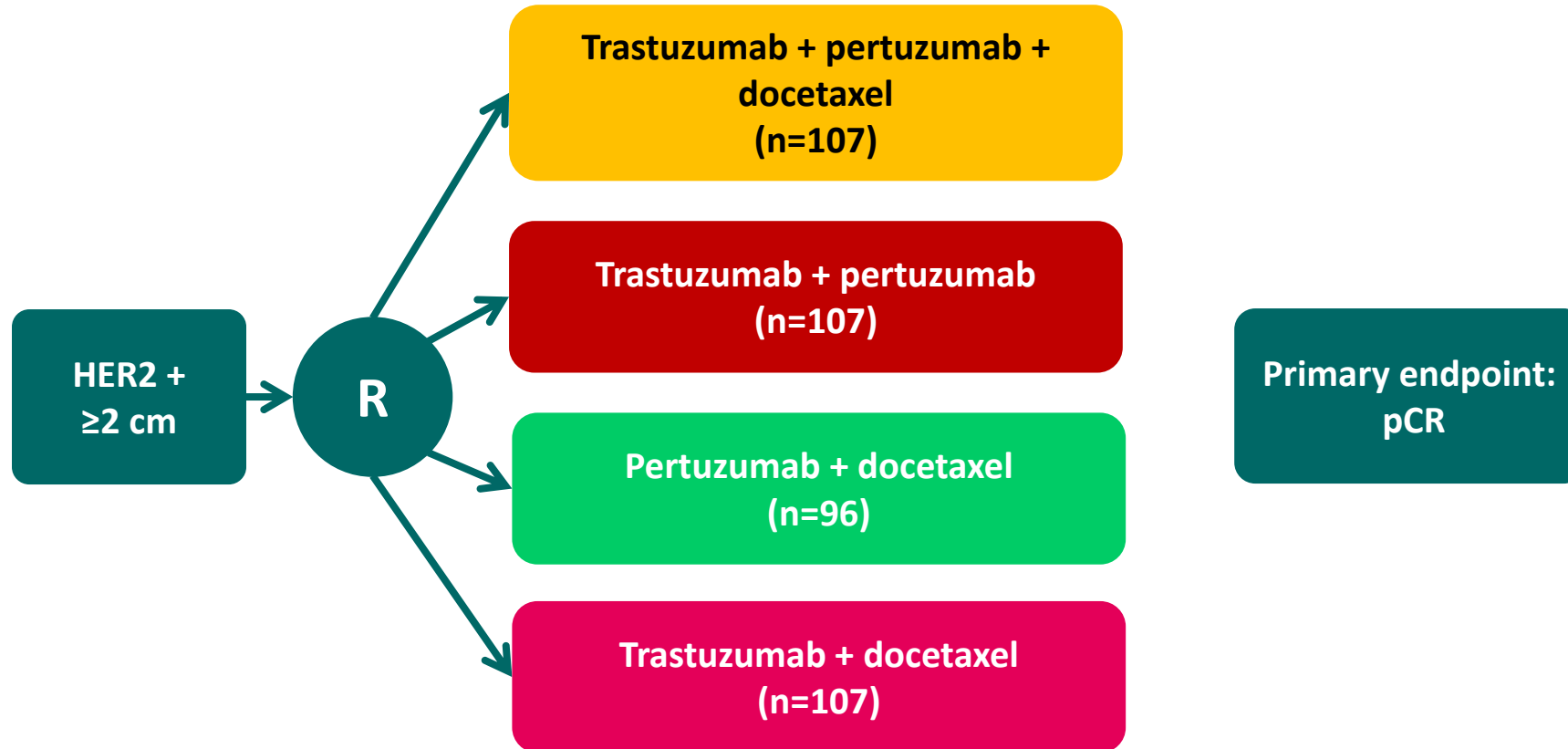


NeoALLTO – Effect of dual HER2 blockade: Pathologic CR



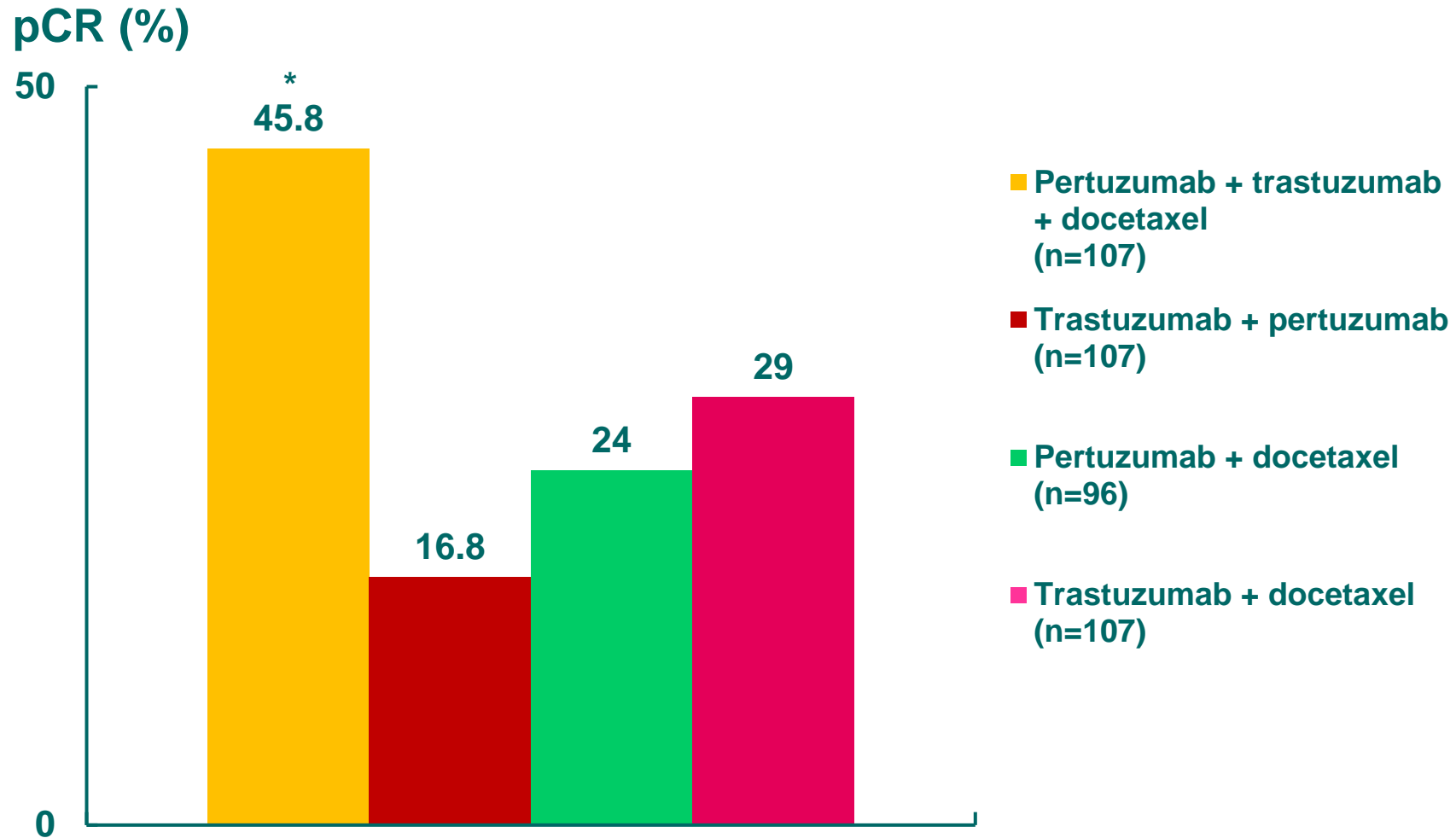
***p=0.0001 vs trastuzumab alone

NeoSphere – Neoadjuvant pertuzumab + trastuzumab: Study design



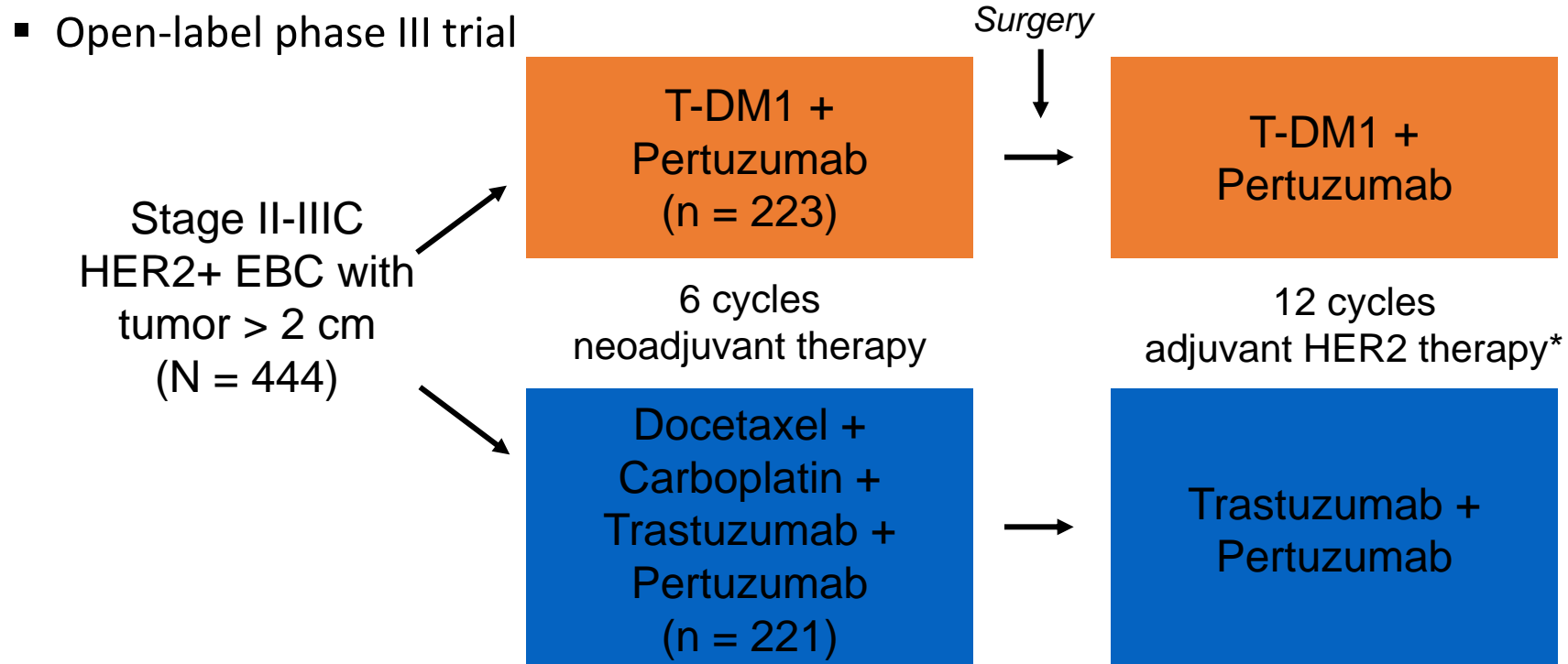
Docetaxel 75 mg/m² q3w
Trastuzumab 8 mg/kg → 6 mg/kg
Pertuzumab 840 mg/kg → 420 mg/kg
(4 cycles)

NeoSphere – Neoadjuvant pertuzumab + trastuzumab: Pathologic CR



*p=0.0141 vs trastuzumab + docetaxel

Neoadjuvant therapy: KRISTINE Study Design



*Adjuvant therapy recommended for pts in T-DM1/pertuzumab group with residual disease in lymph nodes or breast (> 1 cm).

- Primary endpoint: pCR by local assessment in breast, lymph nodes (ypT0/is, ypN0)
- Secondary endpoints: safety, BCS rate, PROs, EFS, iDFS, OS
- Stratified by: local hormone receptor status, geographic location, stage

KRISTINE: Clinical Response

| Outcome | TCHP (n = 221) | T-DM1 + P (n = 223) |
|---------------------------|--|------------------------|
| pCR (ypT0/is, ypN0), % | 56 | 44 |
| | Difference: -11.3 (95% CI: -20.5 to -2.0; <i>P</i> = .0155) | |
| pCR by receptor status, % | | |
| ▪ ER- and PR- | 73 | 54 |
| ▪ ER+ and/or PR+ | 44 | 35 |
| BCS rate, % | | |
| ▪ Actual | 53 | 42 |
| ▪ Conversion* | 70 | 66 |

*Pts originally needing mastectomy who became eligible for BCS after neoadjuvant therapy.

- Longer maintenance of health-related QoL (HR: 0.60) and physical function (HR: 0.47) with T-DM1 + P vs TCHP

KRISTINE: Safety (Neoadjuvant Phase)

| Outcome, % | TCHP (n = 219) | T-DM1 + P (n = 223) |
|---|-------------------|------------------------|
| Any AE | 98.6 | 88.3 |
| Serious AE | 28.8 | 4.9 |
| Grade \geq 3 AE ($>$ 5%) | | |
| ▪ Any | 64.4 | 13.0 |
| ▪ Neutropenia | 25.1 | 0.4 |
| ▪ Febrile neutropenia | 15.1 | 0 |
| ▪ Diarrhea | 15.1 | 0.9 |
| ▪ Anemia | 9.6 | 0.9 |
| ▪ Decreased neutrophil count | 9.1 | 0 |
| AE leading to discontinuation of any treatment component | 8.7 | 3.1 |
| LVEF $<$ 50% and \geq 10% points decrease from baseline | 0.5 | 0.4 |

KRISTINE: Conclusions

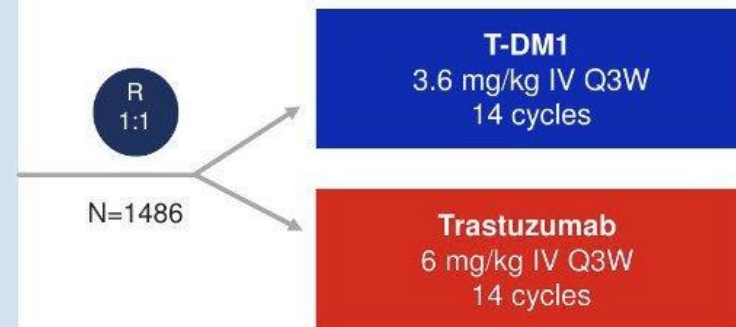
- Superior pCR rate with neoadjuvant TCHP compared with T-DM1 + P in early breast cancer
 - Same effect in hormone receptor status subgroup analysis
- Rate BCS lower in T-DM1 + P arm
- Favorable safety profile of T-DM1 + P with lower incidence of serious and grade ≥ 3 AEs
- Longer health-related QoL and physical functioning with T-DM1 + P compared with TCHP
- Investigators suggest chemotherapy with trastuzumab + pertuzumab remain neoadjuvant standard of care for HER2+ breast cancer

The KATHERINE study

San Antonio Breast Cancer Symposium December 4–8, 2018

KATHERINE Study Design

- cT1-4/N0-3/M0 at presentation (cT1a-b/N0 excluded)
- Centrally confirmed HER2-positive breast cancer
- Neoadjuvant therapy must have consisted of
 - Minimum of 6 cycles of chemotherapy
 - Minimum of 9 weeks of taxane
 - Anthracyclines and alkylating agents allowed
 - All chemotherapy prior to surgery
 - Minimum of 9 weeks of trastuzumab
 - Second HER2-targeted agent allowed
- Residual invasive tumor in breast or axillary nodes
- Randomization within 12 weeks of surgery

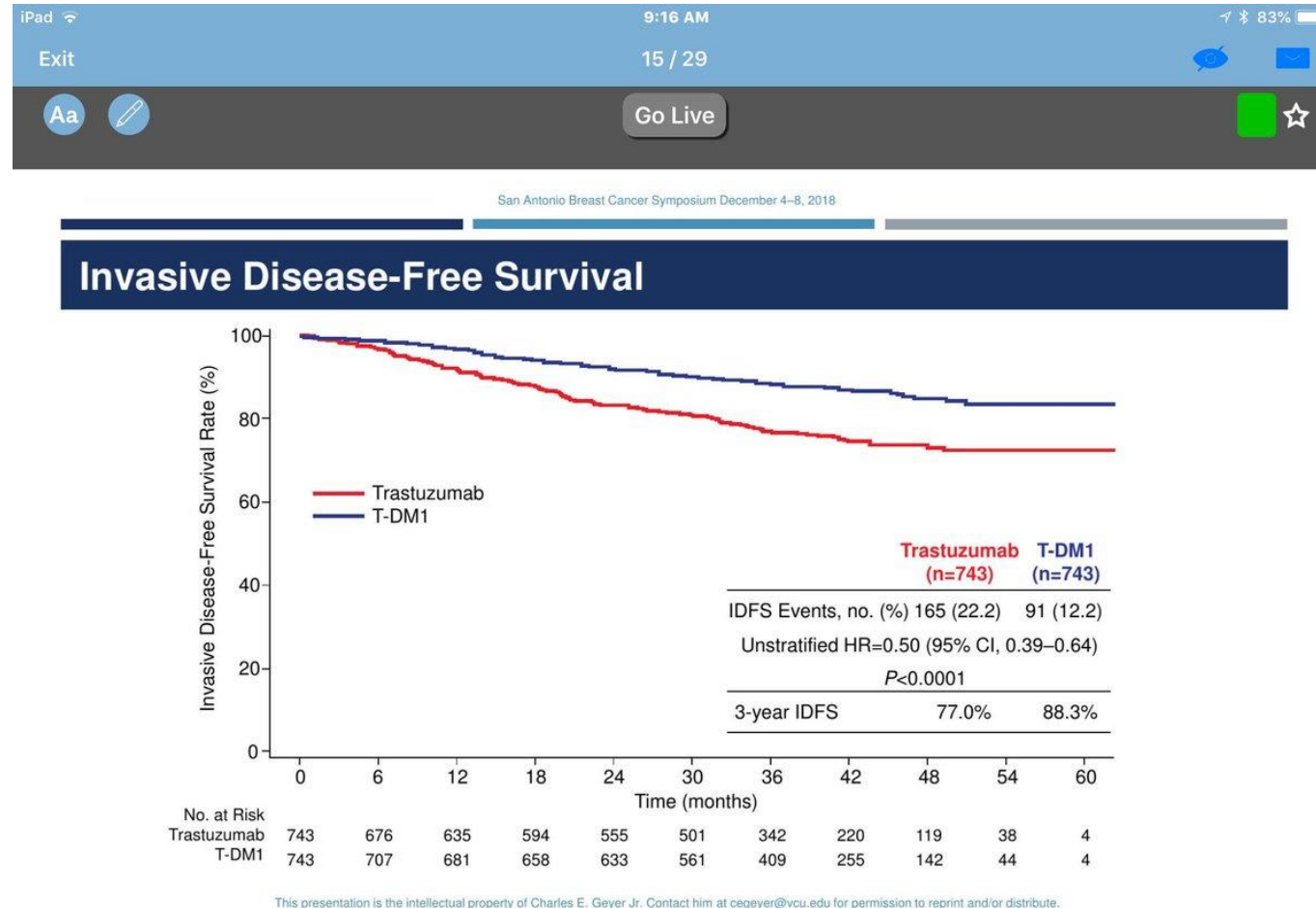


Radiation and endocrine therapy
per protocol and local guidelines

Stratification factors:

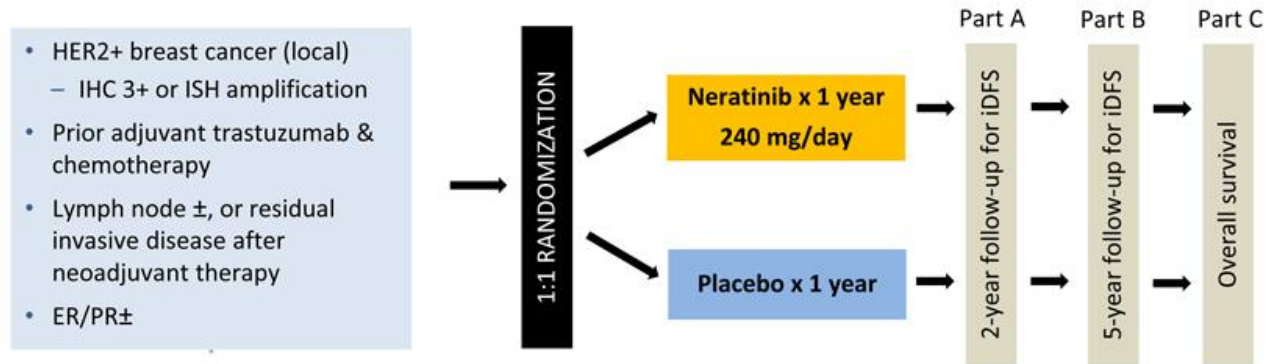
- Clinical presentation: Inoperable (stage cT4 or cN2–3) vs operable (stages cT1-3N0-1)
- Hormone receptor: ER or PR positive vs ER negative and PR negative/unknown
- Preoperative therapy: Trastuzumab vs trastuzumab plus other HER2-targeted therapy
- Pathological nodal status after neoadjuvant therapy: Positive vs negative/not done

TDM1 scores over trastuzumab for adjuvant therapy with post neoadjuvant residual



ExteNET: extended Anti her2 adjuvant therapy with NERATINIB

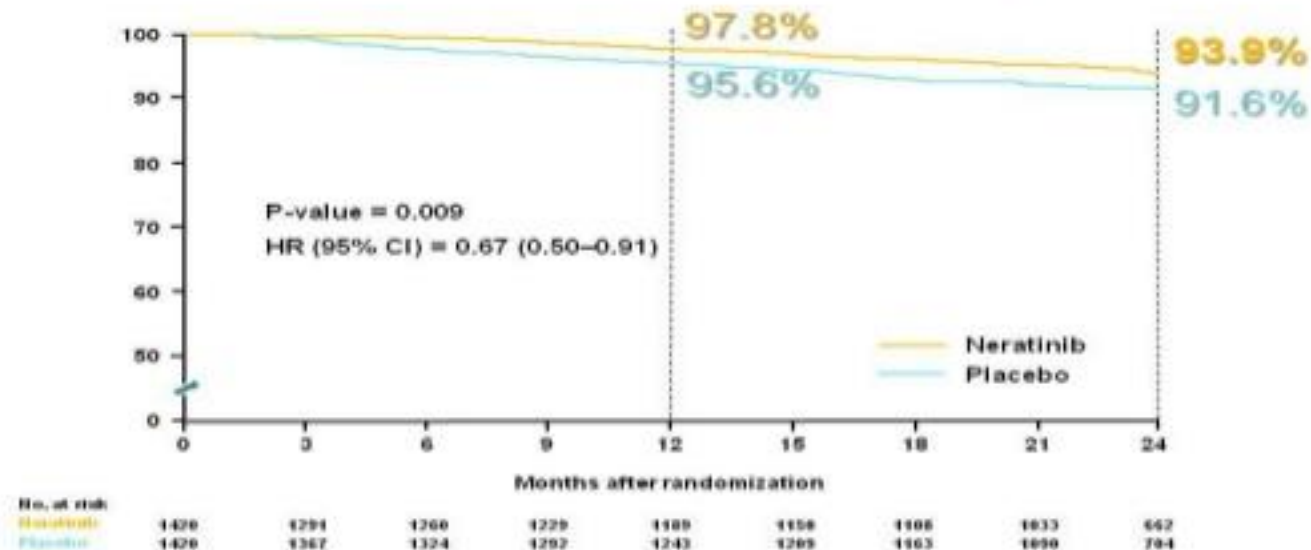
ExteNET: study design



- **Primary endpoint:** invasive disease-free survival (iDFS)
- **Secondary endpoints:** DFS-DCIS, time to distant recurrence, distant DFS, CNS metastases, overall survival, safety
- **Other analyses:** biomarkers, health outcome assessment (FACT-B, EQ-5D)
- **Stratified by:** nodes 0, 1–3 vs 4+, ER/PR status, concurrent vs sequential trastuzumab

First evidence of benefit of anti-her 2 therapy beyond 1 year

ExteNET Trial: Invasive DFS, N=2840



Chan A, ASCO 2015

PRESENTED AT: ASCO Annual Meeting

Presented By Shanu Modi at 2015 ASCO Annual Meeting

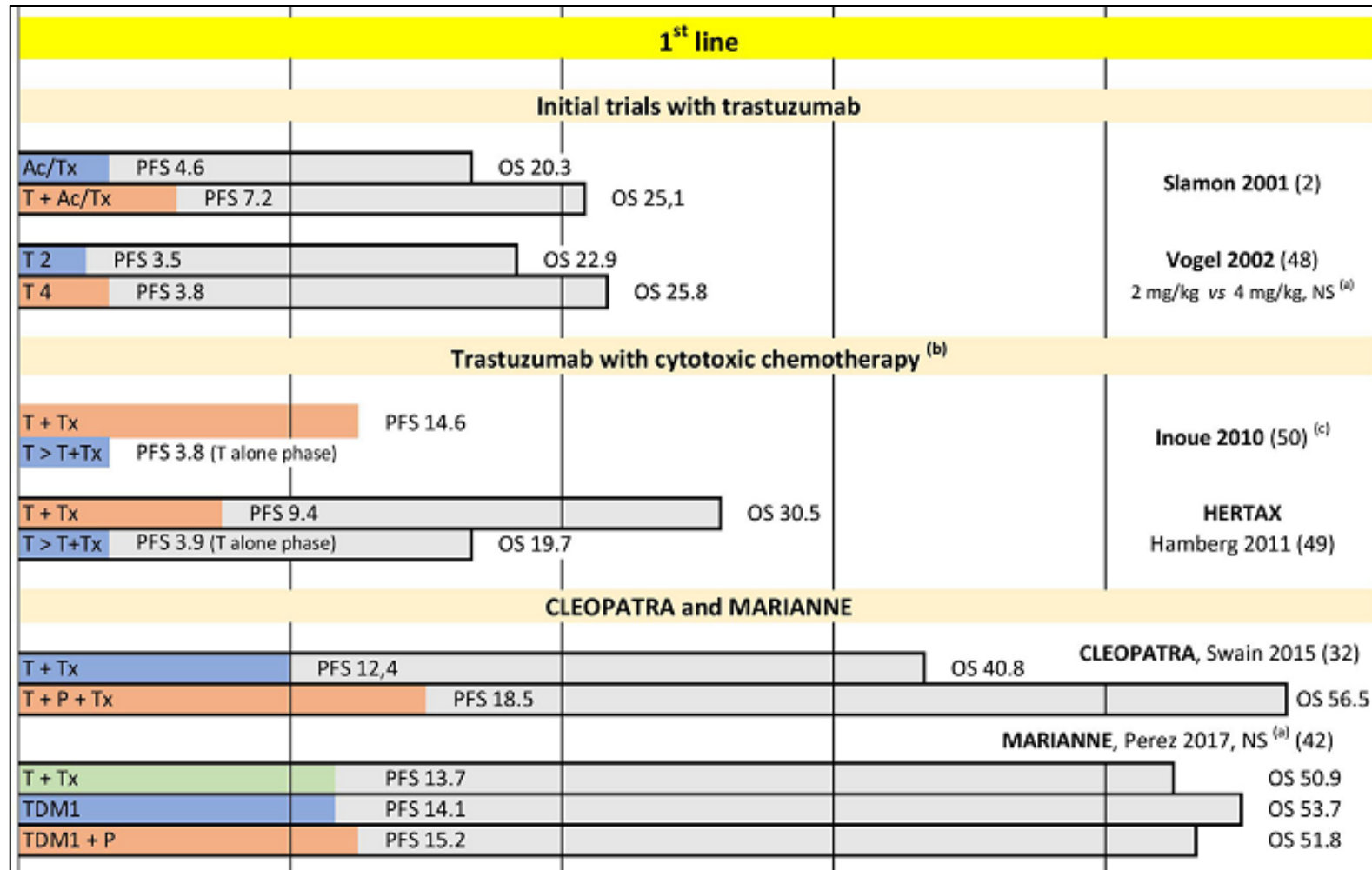
Summary

- Adjuvant trastuzumab is highly effective and should be given to all HER2-positive early breast cancer patients who do not have contraindications for its use, with the possible exception of selected cases with very low risk, such as T1aNO tumours
- One year of (neo)adjuvant trastuzumab remains a standard for the vast majority of HER2- positive patients: however, in highly selected, low-risk patients who receive anthracycline/taxane-based ChT, shortening trastuzumab duration to 6 months may be discussed
- Trastuzumab should usually not be given concomitantly with anthracycline-based ChT and it can be safely combined with non-anthracycline-based ChT (i.e. taxanes) and its concomitant use is more effective than sequential treatment [1]
- Regular cardiac monitoring is mandatory before starting and during trastuzumab treatment
- Dual blockade with trastuzumab/pertuzumab can be considered in high-risk patients, defined as N-positive or ER-negative, for the duration of 1 year, starting before or after
- In cases of residual invasive disease after completion of neoadjuvant ChT combined with anti-HER2 therapy, adjuvant trastuzumab should be replaced by adjuvant T-DM1, once approved and where available
- Extended anti-HER2 therapy with neratinib may be considered in selected high-risk patients, not previously treated with dual blockade, and with appropriate diarrhoea prophylaxis and management

Anti Her2 Neu therapy in metastatic carcinoma breast

| | |
|-------------|--|
| First line | <ul style="list-style-type: none">• Trastuzumab + Pertuzumab + Taxanes (vinorelbine may be considered instead of taxanes)• Trastuzumab-emtansine (T-DM1) may be considered if patient is not suitable for the above or in case of a fast progression on/ after adjuvant Trastuzumab |
| Second line | <ul style="list-style-type: none">• Trastuzumab-emtansine (T-DM1)• Trastuzumab + pertuzumab + cytotoxic chemotherapy (taxanes, vinorelbine, or capecitabine) may be considered if not exposed to pertuzumab previously |
| Third line | <ul style="list-style-type: none">• Regimens currently recommended for first or second line should be considered for the later lines, if not used previously• Trastuzumab or lapatinib + cytotoxic chemotherapy (including vinorelbine, capecitabine, gemcitabine, eribulin, and others, if not used previously)• Trastuzumab + lapatinib if not suitable for cytotoxic chemotherapy |

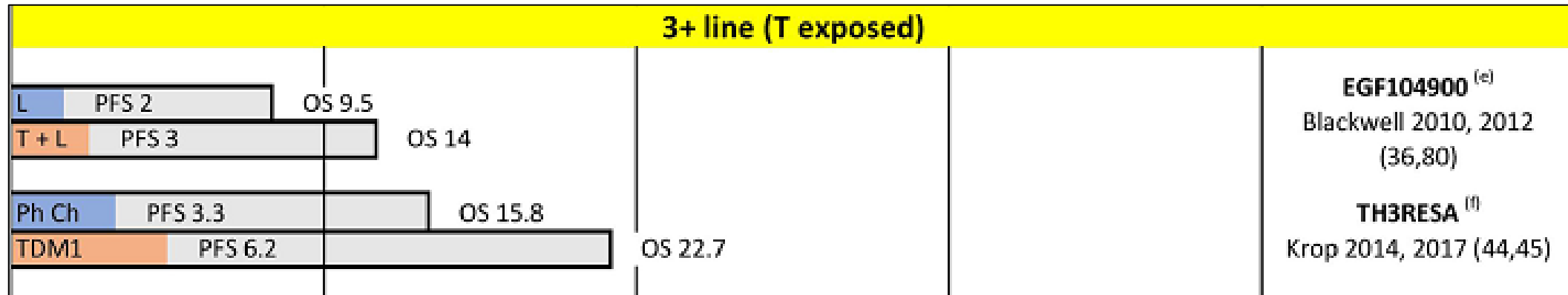
KEY TRIALS SUPPORTING CURRENT CLINICAL PRACTICE



KEY TRIALS SUPPORTING CURRENT CLINICAL PRACTICE

| 2 nd line (progression on T) | | | | |
|---|----------|---------|--|---|
| Cp | PFS 4.3 | OS 15.3 | | EGF100151 Cameron 2008, 2010 (34,79) Minckwitz 2009, 2011 (63,81) EMILIA Verma 2012, Dieras 2017 (43,46) PHEREXA Urruticoechea 2017, NS ^(a) (78) |
| L + Cp | PFS 6.2 | OS 15.6 | | |
| Cp | PFS 5.6 | OS 20.4 | | |
| T + Cp | PFS 8.2 | OS 25.5 | | |
| L + Cp | PFS 6.4 | OS 25.1 | | |
| TDM1 | PFS 9.6 | OS 30.9 | | |
| T + Cp | PFS 9.0 | OS 28.1 | | |
| T + P + Cp | PFS 11.1 | OS 36.1 | | |
| | | | | |
| | | | | |

KEY TRIALS SUPPORTING CURRENT CLINICAL PRACTICE



Central Nervous System Metastases in HER 2-Positive Breast Cancer

| Trials | Treatment arms | Treatment-specific criteria | Results |
|---|---|--|--|
| HER2-positive mBC without CNS metastasis at baseline | | | |
| CEREBEL ^[37] | Capecitabine + lapatinib (n=271) versus capecitabine + trastuzumab (n=269) | HER2-positive mBC without CNS metastasis at baseline | Capecitabine + lapatinib versus capecitabine + trastuzumab Incidence of CNS metastasis as first site of relapse: 3% versus 5% (HR: 0.65; <i>P</i> =0.36) Median PFS: 6.6 months versus 8.1 months; (HR: 1.30; <i>P</i> =0.021) Median OS: 22.7 months versus 27.3 months; (HR: 1.34; <i>P</i> =0.095) |
| CLEOPATRA ^{@[38]} | Trastuzumab + docetaxel + pertuzumab (n=55) versus trastuzumab + docetaxel + placebo (n=51) | Patients without CNS metastasis at baseline | Pertuzumab arm versus placebo arm Median TTP in CNS: 15 months versus 11.9 months (HR: 0.59; <i>P</i> =0.0049) Median OS in patients with CNS progression 34.4 months versus 26.3 months (HR: 0.66; <i>P</i> =0.1139) |
| HER2-positive mBC with CNS metastasis at baseline | | | |
| LANDSCAPE ^[39] | Lapatinib + capecitabine (n=45) | HER2-positive mBC: At least one measurable CNS lesion of ≥10 mm in diameter on MRI | Objective CNS response [§] : 65.9% |
| EMILIA ^{*[40]} | T-DM1 (N=45) versus lapatinib + capecitabine (n=50) | HER2-positive mBC patients who had stable CNS disease at baseline [#] | T-DM1 versus lapatinib+capecitabine Median PFS: 5.9 months versus 5.7 months (HR: 1; <i>P</i> =1.000) Median OS: 26.8 m vs. 12.9 m (HR: 0.38; <i>P</i> =0.0081) |
| LUX breast-3 ^[41] | Vinorelbine + afatinib (n=38) versus Afatinib (n=40) versus investigator's choice | HER2-positive breast cancer with documented CNS recurrence/progression (on imaging) during or after trastuzumab and/or lapatinib-based therapy | Patient benefit at 12 weeks (absence of CNS or extra-CNS disease progression, no tumor-related worsening of neurological signs or symptoms, and no increase in corticosteroid dose) Vinorelbine + afatinib, 34.2% Afatinib, 30.0% Investigator's choice: 41.9% |
| KAMILLA ^[42] | T-DM1 (n=399) | Subgroup of HER2-positive breast cancer received prior HER2-targeted therapy and chemotherapy with CNS metastasis at baseline | Partial response: 44% Clinical benefit rate: 59% Median PFS: 6.1 months |

Initial locoregional treatment of CNS metastasis, followed by T-DM1 as a preferred option in this scenario, although trastuzumab- or lapatinib-based combination therapy could also be considered.

Other targeted therapy strategies

- Hormone receptor positive cases
- Adjuncts to hormone therapy
- Triple negative breast cancer

Subtyping of Triple-Negative Breast Cancer: Implications for Therapy

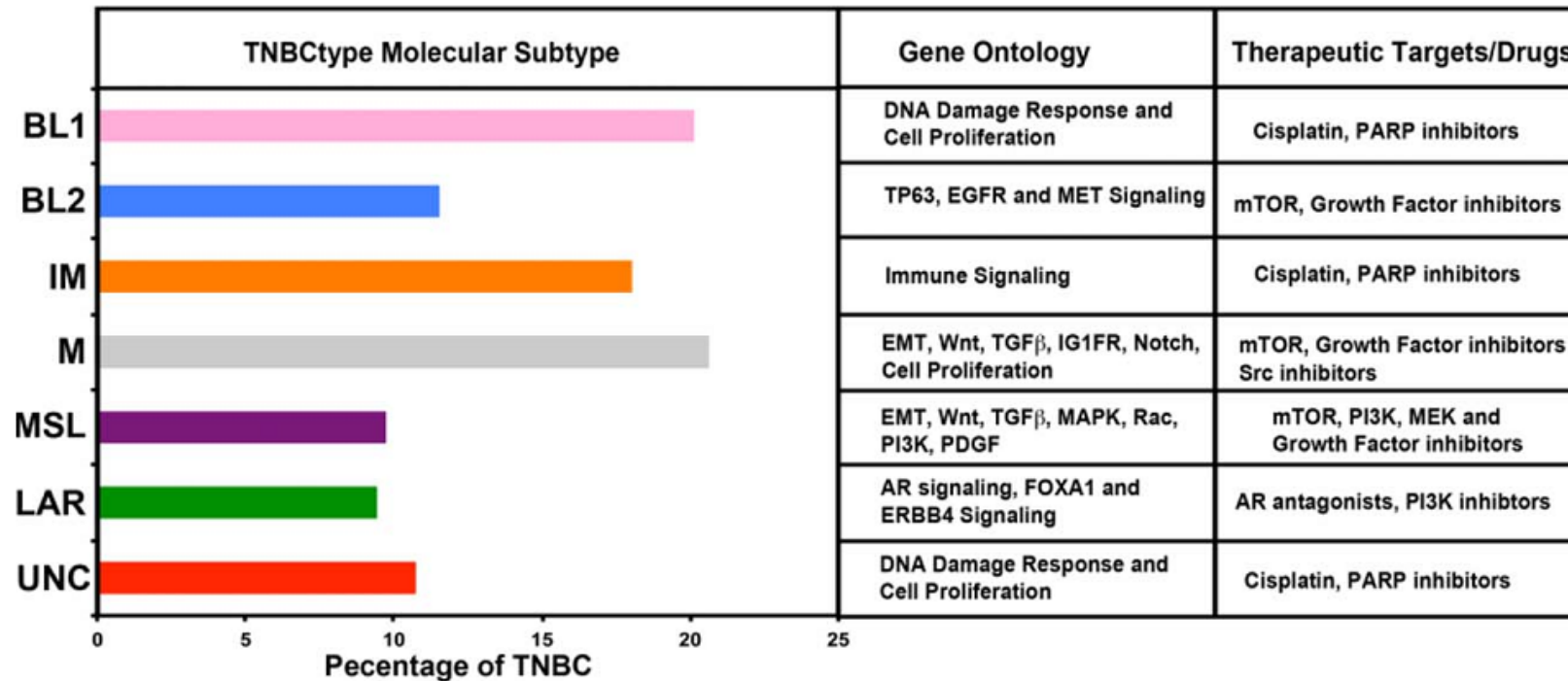


Figure 1. The distribution of triple-negative breast cancer (TNBC) subtypes from The Cancer Genome Atlas is illustrated with enriched gene ontology and potential therapeutic targets. Bar graphs display the subtype percentage relative to TNBC. BL1 indicates basal-like subtype 1; BL2, basal-like subtype 2; IM, immunomodulatory subtype; M, mesenchymal subtype; MSL, mesenchymal stem cell-like subtype; LAR, luminal subtype expressing androgen receptor; PARP, poly-AD-ribose polymerase; TP63, tumor protein 63; EGFR, epidermal growth factor receptor; MET, MET proto-oncogene receptor tyrosine kinase; mTOR, mammalian target of rapamycin; EMT, epithelial-mesenchymal transition; Wnt, Wnt proto-oncogene; TGF β , transforming growth factor β ; IG1FR, insulin-like growth factor 1 receptor; MAPK, mitogen-activated protein kinase; Rac, ras-related family of proteins; PI3K, phosphatidylinositol 3-kinase; PDGF, platelet-derived growth factor; MEK, mitogen-activated protein kinase kinase; FOXA1, forkhead box protein A1; ERBB4, v-erb-a erythroblastic viral oncogene homolog 4; AR, androgen receptor; UNC, unclassified.

Poly (adenosine diphosphate-ribose) polymerase inhibitors

- Play a key role in these pathways by mediating the repair of single-strand DNA breaks via base-excision repair
- Loss of PARP activity results in the accumulation of single-strand breaks, which are normally repaired by double-strand homologous recombination pathways that include the important tumor-suppressor proteins BRCA1 and BRCA2

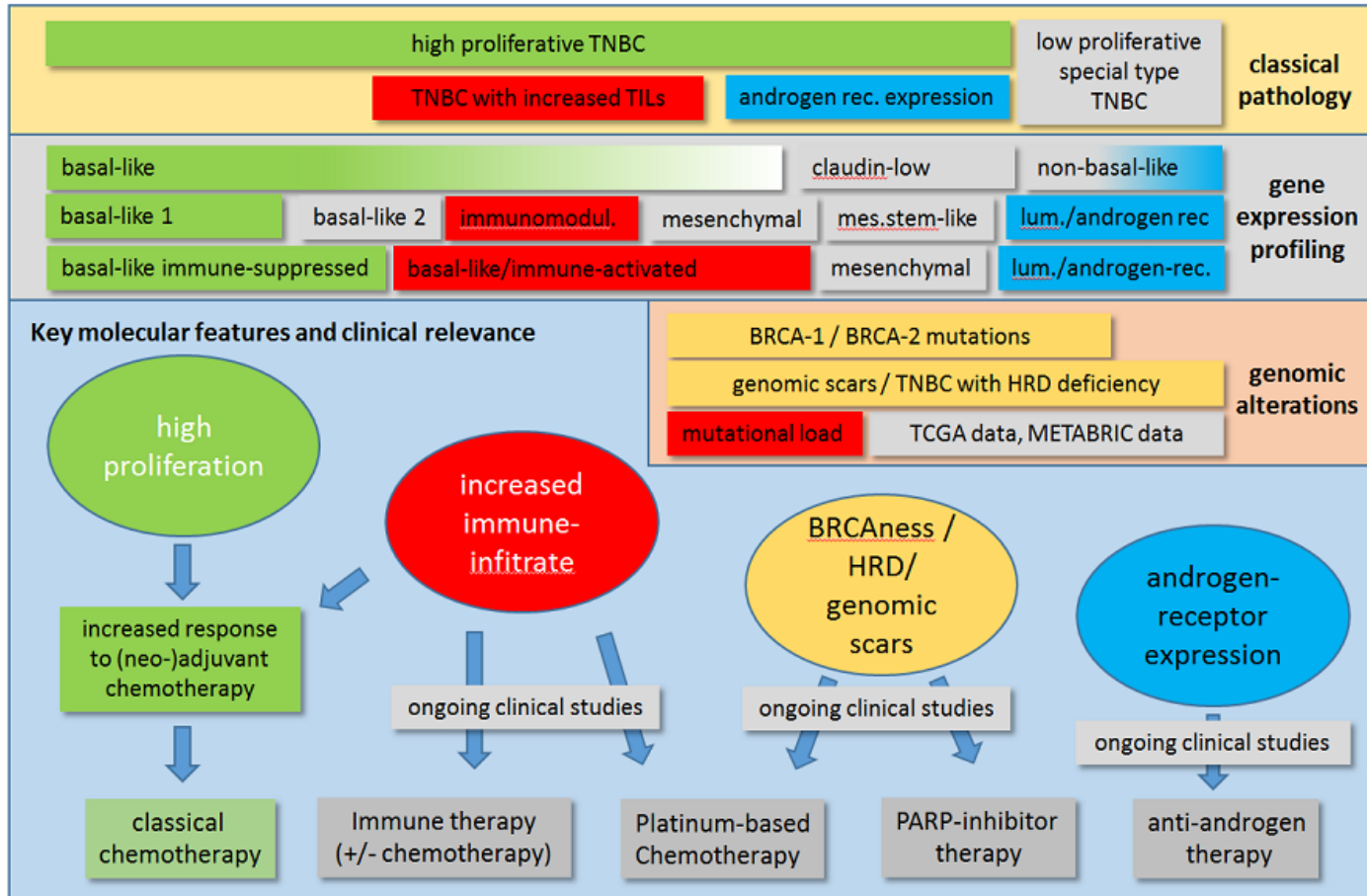
| Trial | Line of treatment | Schedule | Patients, n | Median age, years | ORR (%) | Median PFS (months), HR | Median OS (months), HR |
|------------------------------------|----------------------|-----------------|-------------|-------------------|----------------------|------------------------------|------------------------------|
| PARP inhibitors | | | | | | | |
| O'Shaughnessy et al, ⁷⁶ | First-line + | Gem, carbo, ini | 61 | 56 | 52 (<i>P</i> =0.02) | 5.9; 0.59 (<i>P</i> =0.01) | 12.3; 0.57 (<i>P</i> =0.01) |
| Phase II | (0–3 prior regimens) | Gem, carbo | 62 | 53 | 32 | 3.6 | 7.7 |
| O'Shaughnessy et al, ⁷⁷ | First-line + | Gem, carbo, ini | 261 | 53 | 34 | 5.1; 0.79 (<i>P</i> =0.027) | 11.8; 0.88 (<i>P</i> =0.28) |
| Phase III | (0–2 prior regimens) | Gem, carbo | 258 | 54 | 30 | 4.1 | 11.1 |

Anti VEGF studies

| Trial | Line of treatment | Schedule | Patients, n | Median age, years | ORR (%) | Median PFS (months), HR | Median OS (months), HR |
|--|-------------------------|---|-------------|-------------------|-------------|-------------------------|------------------------|
| Anti-VEGF/VEGFR monoclonal antibody | | | | | | | |
| Miller et al, ⁹⁹ | First-line | Pacli + beva | NR | NR | NR | 10.6; 0.49 | NR |
| E2100 – Phase III, subgroup | | Pacli | | | | 5.3 | |
| Miles et al, ¹⁰⁰ | First-line | Doce, beva (15 mg/kg) | 53 | NR | NR | 8.2; 0.53 | NR |
| AVADO – Phase III | | Doce, beva (7.5 mg/kg) | 52 | | | 6.2; 0.69 | |
| | | Doce, placebo | 96 | | | 5.4 | |
| Robert et al, ¹⁰¹ | (Tax/Anthra) first-line | Tax- or anthra-based + beva | 46 | NR | NR | 6.5; 0.78 | NR |
| RIBBON-1 – Phase III | | Tax- or anthra-based + placebo | 87 | | | 6.2 | |
| | (Cape) first-line | Cape-based + beva | 50 | NR | NR | 6.1; 0.72 | NR |
| | | Cape-based + placebo | | | | 4.2 | |
| Brufsky et al, ¹⁰² RIBBON-2, | Second-line | Cape-, tax-, gem-, vino-based + beva | 112 | 55 | 41 (0.0078) | 6.0; 0.494 (P=0.0006) | 17.9 (P=0.0534) |
| Phase III – subgroup | | Cape-, tax-, gem-, vino-based + placebo | 47 | 49 | 18 | 27 | 12.6 |
| Cameron et al, ¹⁰⁶ | Adjuvant | Anthra – tax-based + beva | 1,301 | NR | | | |
| Phase III – BEATRICE | | Anthra – tax-based | 1,290 | | | | |

| Trial | Line of treatment | Schedule | Patients, n | Median age, years | ORR (%) | Median PFS (months), HR | Median OS (months), HR |
|--|---|--------------------------------------|-------------|-------------------|--------------|-------------------------|------------------------|
| Anti-VEGFR tyrosine kinase inhibitors | | | | | | | |
| Curigliano et al, ¹⁰⁹ | Second-line + (≥1 prior regimen) | Sun 37.5 mg, continuous daily dosing | 113 | 52 | 9 | 2.0 | 9.4 |
| Phase II | | Standard of care | 104 | 52 | 12 (P=0.814) | 2.7; 1.16 (P=0.847) | 10.5; 1.22 (P=0.892) |
| Bergh et al, ¹¹⁰ | First-line | Doce, sun | 58 | NR | 55 (P=0.001) | 8.6; 0.92 (P=0.265) | 24.8 (P=0.904) |
| Phase III | | Doce | 69 | | 42 | 8.3 | 25.5 |
| Baselga et al, ¹¹² | First- or second-line (0–1 prior regimens) | Cape, sora | 20 | NR | NR | 4.3; 0.596 (0.3–1.1) | 17.5; 0.98 (0.50–1.89) |
| SOLT1-0701, | | Cape, placebo | 33 | | | 2.5 | 16.1 |
| Phase II – subgroup | First-line | Pacli, sora | 48 | NR | NR | 5.6; 0.856 | NR |
| Gradishar et al, ¹¹⁴ | | Pacli | 46 | | | 5.5 | |
| Schwartzberg et al, ¹¹⁵ | First- or second-line (0–1 prior regimen) | Sora, gem, or cape | 23 | NR | NR | 3.1; 0.57 | NR |
| Phase II – subgroup | | Placebo, gem, or cape | 27 | | | 2.6 | |

Approach to targeted therapy in TNBC



Important studies: chemotherapy platinum

| | | |
|---|--|--|
| TNT trial Randomized phase 3 trial NCT00532727 | Recurrent locally advanced or metastatic TNBC, n=376 Carboplatin vs. docetaxel | No difference in response rates to therapy arms in the complete cohort; Increased response rate to carboplatin (68% vs. 33% with docetaxel) in the subgroup of BRCA1/2 mutated tumors: HRD-assay: increased score linked to increased response in both therapy arms; PAM50 assay, non-basal subtype: higher response to docetaxel compared to carboplatin |
|---|--|--|

Important studies: Immunotherapy

| Immune checkpoint inhibitors | | |
|---|---|--|
| KEYNOTE-012 nonrandomized, multicohort, phase Ib study NCT01848834 | Metastatic PD-L1-positive TNBC (all therapy lines) the PD-L1 inhibitor pembrolizumab given intravenously at 10 mg/kg every 2 weeks 32 patients with TNBC enrolled, 28 pts. with evaluable response | Efficacy: overall response rate: 18.5% median time to response: 17.9 weeks Safety: 15.6% incidence of grade 3 to 5 treatment-related AEs |
| NCT01375842 multicenter Phase Ia study | pts with pretreated metastatic PD-L1 positive TNBC enrolled (n=27) received the PD-L1 inhibitor atezolizumab (MPDL3280A) at 15 mg/kg, 20 mg/kg or 1200 mg flat dose IV q3w. | Efficacy: unconfirmed RECIST ORR 24%; Safety: Grade 3-5 related AE in 11% of pts |
| GP28328 Phase Ib multicenter NCT01633970 | metastatic TNBC treated with ≤ 3 prior lines of therapy (n=32) atezolizumab (MPDL3280A; 800 mg q2w (d1,15)) in combination with nab- paclitaxel (125 mg/m ² q1w (d1,8,15) q3 of 4 weeks) | Data from ongoing study presented at SABCS 2015: Efficacy: overall response rates were 1 st line: 67% 2 nd line 25% 3 rd line 29% all patients: 42% Safety: 56% Grade 3-4 AEs |

Important studies: AR positive

| Androgen receptor inhibitors | | |
|---|--|--|
| UCBG 12-1 Single arm open label multicenter Phase II NCT01842321 | metastatic or locally advanced, triple negative and AR-positive BC (n=30) abiraterone acetate (AA, 1000 mg) once a day + prednisone (5 mg) twice a day | Clinical benefit rate (CBR) 20.0% [95%CI 7.7%-38.6%] ORR 6.7% (0.8%-22.1%) median PFS 2.8 months (1.7%-5.4%). Safety: 14.7% grade 3 AEs |
| MDV3100-11 phase 2 study NCT01889238 | evaluating single agent enzalutamide in advanced AR+ TNBC (n=118 treaten, n=75 evaluated for response) evaluation of AR signature as possible biomarker | Clinical benefit rate (16 wks): 35% (all pts) 39% (AR signature +) Safety: 5% AE >= grade 3 |

Important studies: PARP inhibitor

| PARP inhibitor therapy | | |
|---|---|--|
| NCT00494234 Phase 2 multicenter trial | Recurrent advanced breast cancer with BRCA1/2 mutations Subcohort 1 (n=27): olaparib (AZD2281) 400mg twice daily, 50% TNBC Subcohort 2 (n=27): olaparib 100mg twice daily, 64% TNBC | Objective response rates: 41% (subcohort 1) 22% (subcohort 2) Safety: grade 3-4 SAEs in 24% of pts. |
| I-SPY 2 multicenter neoadjuvant, adaptively randomized phase 2 study NCT01042379 | Stage 2-3 breast cancer, paclitaxel, doxorubicin, cyclophosphamide with or without veliparib (ABT888)-carboplatin (n=116, all TNBC) | Estimated pCR rates (Bayesian predicted probability) higher for veliparib-carboplatin Tx (51% vs. 26%); Probability of success in phase 3 trial: 88% in TNBC ; Higher rate of toxic effects in veliparib-carboplatin group |
| Brightness Phase 3 randomized multicenter study NCT02032277 | Planned N=624, T2-T4 TNBC Standard NACT vs. NACT+carboplatin vs. NACT+carboplatin+veliparib | Study under follow-up |
| OlympiA Phase 3 randomized multicenter trial NCT02032823 | adjuvant olaparib in high -risk TNBC and ER+/HER2-ve BC with germline BRCA1/2 mutation; planned n=1500 | Recruitment ongoing |