EVALUATION OF RESPONSE AND END POINTS IN ONCOLOGY

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MULTIDISCIPLINARY APPROACH











WHO Guidelines

- Minimum Data about the Patient
- Data about the Tumor :
- > Site of the primary
- > Measurability of the disease
- <u>Measurable, bidimensional</u>: Measured in two dimensions by ruler or caliper with surface area determined by multiplying the longest diameter by the greatest perpendicular diameter. E.g. metastatic pulmonary nodules, lymph nodes, and subcutaneous masses.
- <u>Measurable, Unidimensional</u>: Tumor measurable (metric system) in one dimension. eg. mediastinal adenopathy, malignant hepatomegaly, or abdominal masses.
- Nonmeasurable, evaluable : Evident on clinical examination but not measurable. eg. Pelvic and abdominal masses, lymphangitic or confluent multinodular lung metastases, skin metastases.
- <u>Chemical values and biologic markers</u> should be measured during therapy but are not used to evaluate response, unless specifically stipulated in individual protocols.

REPORTING OF RESPONSE

- Objective response can be determined clinically, radiologically, biochemically, or by surgico-pathologic restaging.
- Measurable disease:
- Complete response (CR) The disappearance of all known disease, determined by two observations not less than four weeks apart.
- Partial response (PR) 50% or more decrease in total tumor load of the lesions that have been measured and maintained for 4 weeks

✓ No change (NC) -

- ✤ 50% decrease in total tumor size cannot be established.
- < 25% increase in the size of one or more measurable lesions.</p>
- ✓ Progressive disease (PD)
 - 25% or more increase in the size of one or more measurable lesions.
 - Appearance of new lesions



REPORTING OF RESPONSE

- Non-measurable disease:
- Complete response (CR) Complete disappearance of all known disease for at least four weeks.
- Partial response (PR) Estimated decrease in tumor size of 50% or more for at least four weeks.
- ✓ No change (NC) -
- No significant change for at least four weeks.
- Stable disease.
- Estimated decrease of less than 50%.
- Lesions with estimated increase of less than 25%.
- ✓ Progressive disease (PD) -
- Appearance of any new lesions not previously identified.
- Estimated increase of 25% or more in existent lesions.

REPORTING OF RESPONSE

Bone Metastases :

- Complete response (CR) Complete disappearance of all lesions on x-ray or scan for at least four weeks.
- Partial response (PR) Partial decrease in size of lytic lesions, <u>recalcification of lytic lesions, or decreased</u> <u>density of blastic lesions for at least four weeks</u>.
- V No change (NC) Because of the slow response of bone lesions, the designation of no change should not be applied until at least eight weeks.
- Progressive disease (PD) Increase in size of existent lesions or appearance of new lesions.
- Occurrence of bone compression or fracture and its healing should not be used as the sole indicator for evaluation of therapy.

DRAW BACK OF WHO CRITERIA

1. Minimum lesion size and number of lesions not reflected.

2. Newer technologies [CT & MRI] have added concept of three-dimensional measurement.

Implementation issues with RECIST

Minimum number of lesions

RECIST in randomized trials

Imaging with CT, MRI and PET



RECIST Working Group 1995 - 99"

- Consensus approach
- Reviewed different guidelines/criteria in use
- Changes if possible supported by data/literature
- First draft new criteria in 1997
- Consultation: ICH approach:
 - US Canada Europe Japan
 - Industry Regulatory Research Groups
- International Workshop to discuss/resolve issues October 1998
- Presentation: ASCO 1999, Publication 2000

SPECIAL ARTICLE

New Guidelines to Evaluate the Response to Treatment in Solid Tumors

Patrick Therasse, Susan G. Arbuck, Elizabeth A. Eisenhauer, Jantien Wanders, Richard S. Kaplan, Larry Rubinstein, Jaap Verweij, Martine Van Glabbeke, Allan T. van Oosterom, Michaele C. Christian, Steve G. Gwyther

Journal of the National Cancer Institute, Vol. 92, No. 3, February 2, 2000

RECIST CRITERIA .. METHODS OF MEASUREMENT

- > **CLINICAL EXAMINATION** : For superficial lesions.
- > CHEST X-RAY :
 - > Full inspiration with PA view.
 - > Constant film to tube distance.
 - > Clearly defined lesions with surrounding aerated lung.

C.T SCAN :-STANDARD

- > The minimum size of the lesion should be no less than double the slice thickness to avoid "partial volume" effects.
- > The longest diameter of each target lesion should be selected in the axial plane only.
- > Intravenous &/ oral contrast agents should also be given,
- > The same windows should be used on subsequent examinations to measure any lesion.
- > MRI:- NOT CONSISTENT, NOT IN THORAX
- > ULTRASOUND (US) :- not be used to measure tumor lesions.
 - (possible alternative to clinical measurements of superficial palpable lymph nodes, subcutaneous lesions and thyroid nodules..)
- > ENDOSCOPY AND LAPAROSCOPY :- not yet been fully and widely validated.
- **TUMOR MARKERS:** alone cannot be used to assess response.
 - (If markers are initially above the upper normal limit, they must normalize for a patient to be considered in complete clinical response when all lesions have disappeared.)
- CYTOLOGY AND HISTOLOGY can be used to differentiate between PR and CR in rare cases (e.g., after treatment to differentiate between residual benign lesions and residual malignant lesions in tumor types such as germ cell tumors).

TIME POINT FOR EVALUATION

Baseline / Screening:

- within 21 days prior to treatment
- Follow-Up:
 - every 6 weeks (± 3 days)

End of Treatment/ early discontinuation:

After 4 weeks (discontinuation due to PD, or early discontinuation)

All baseline evaluations should be performed as closely as possible, <u>never</u> <u>more than 4 weeks before the</u> <u>beginning of treatment, IDEALY 3</u> <u>WKS</u>



PROCEDURE

- Briefly review all scans/imaging provided for the subject's visit
- Determine the overall disease burden of the subject
 - Are there "good" target lesions to select?
 - Is the disease burden restricted to specific areas of anatomy?
 - Are there many non-targets?
 - Are there lesions to be evaluated in a lung window?
 - Are there any bone lesions?
- Begin selecting/categorizing target lesions and non-targets
- Consider lymph node rules



RECIST : Response Evaluation

Baseline Evaluation :

- Baseline documentation of "TARGET" AND "NON TARGET" LESIONS :
- Measurable lesions up to a MAXIMUM OF TWO LESIONS PER ORGAN,5 LESIONS IN TOTAL, representative of all involved organs
- A sum of the longest diameter for all target lesions will be calculated and reported as the baseline SUM LONGEST DIAMETER(SLD).
- All other lesions / sites should be identified as non target lesions and recorded. Measurements of these lesions are not required.

RECIST Criteria .. Measurability

- > Measurable Lesions :
- Lesions that can be accurately measured in at least one dimension in at least one site.
 - >20 mm with X-RAY
 - >10 mm with spiral CT scan (LONGEST DIAMETER)
 - LYMPH NODE> 15 MM (SHORT AXIS)
 - CLINICALEXAMINATION:- 10MM

Nonmeasurable Lesions :

- All other lesions
- Smaller lesions [longest diameter <20 mm with conventional techniques or <10 mm with spiral CT scan]

TYPE OF LESION



20

EXAMPLE: 5 LESIONS

Site	Lesion	Baseline (mm)
Lung	1	20
Node	2	30
	3	22
Liver	4	40
	5	30
SLD		142

SLD:- SUM LONGEST DIAMETER = 14.2CM.

LYMPH NODE

Assessment of Lymph Nodes:

- Normal: short axis <10mm
- Non measurable = non-target: short axis >10mm <15mm
- Measurable (possible target): short axis ≥15 mm
 - Target nodes measured in the SHORT axis (perpendicular to longest diameter)
 - More reproducible and predictive of malignancy
 - Short axes of target nodes to be added to the SOD





Unidimensional measurements used to assess target lesions

Tumor burden based on the sum of diameters of target lesions

- Choose the slice where the target lesion is largest
- Always measure the longest diameter of the target lesion
- Target nodes measured in the SHORT axis
- \rightarrow SOD (no longer SLD)
- Liver lesions by CT should be preferably measured on portal venous phase images







Select lesions that can be accurately measured throughout all follow-up scans

laseline selection of target lesions:

All lesions up to a maximum of five lesions total and a maximum of two lesions per organ representative of all involved organs should be identified as target lesions



DO NOT MEASURE LESIONS ACROSS NORMAL, NON-TUMOR TISSUE



MEASURE WHERE THE TARGET LESION IS LARGEST, EVEN WHEN THE SLICE AND ORIENTATION ARE DIFFERENT COMPARED TO BASELINE

IV CONTRAST

IV contrast should be consistently administered

- If no IV contrast, lesion assessments may not be possible or may be inaccurate
- Enter a comment on Image Transmittal Form (ITF) noting contraindications to IV contrast

No IV Contrast





 Include the hypervascular "enhancing rim", if present, in the longest diameter measurement



CT THORAX LUNG WINDOW SETTING

- Use the same Baseline Window Level at all follow up visits. Tumors cannot be measured accurately if window levels are not kept consistent.
 - Prefer <u>soft tissue</u> windows for peripheral or central nodules
 - Prefer <u>lung windows</u> for lesions <u>surrounded by lung</u>



FOLLOW UP

 Choose the slice where the target lesion is largest, even if it is different from baseline



 The longest diameter of the lesion should be measured even if the actual axis is different from baseline



Splitting Lesions

- If a target lesion separates, each lesion should be measured separately and contribute to the SOD
- The child lesion(s) shall be labeled separately to the "parent" lesion (e.g., $\#3 \rightarrow \#3 + \#3a + \#3b$)
- The individual longest diameters of all the resulting lesions shall contribute to the SOD



Example of Splitting lesions

perceptive

perc





The individual longest diameters of all the resulting lesions shall contribute to the sum of diameters (SOD)

NON TARGET LESION

Non-Measurable Lesions

- Lesions too small to qualify as targets (<10mm)
- Lymph nodes smaller than measurable size (short axis 10 to <15mm)
- All other lesions including:
 - Leptomeningeal disease
 - Ascites
 - Pleural or pericardial effusions
 - Inflammatory breast disease
 - Lymphangitis cutis, -pulmonis
 - Abdominal masses
 - Abdominal organomegaly





Blastic, sclerotic bone lesion is **non-measurable**



Lytic bone lesion with soft tissue mass is **measurable**



RECIST : Response Evaluation

- Response Criteria
- 1. Evaluation of *target lesions*:
- Measurement of the longest diameter only for all target lesions.
- ✓ COMPLETE RESPONSE : Disappearance of all target lesions.
- ✓ PARTIAL RESPONSE : At least a 30% decrease in the sum of the longest diameter of target lesions
- STABLE DISEASE : Neither sufficient shrinkage to qualify for PR nor sufficient increase to qualify for PD.
- PROGRESSIVE DISEASE : At least a 20% increase in longest diameter of target lesions. (ref. smallest sum longest diameter)



Tumor Response Evaluation

Evaluation of non target lesions:

- COMPLETE RESPONSE :
- Disappearance of all non target lesions.
- Normalization of tumor marker level.
- INCOMPLETE RESPONSE/STABLE DISEASE:
- Persistence of one or more lesion (s).
- Elevated tumor marker level above the normal limits.
- PROGRESSIVE DISEASE :
- Appearance of one or more new lesions.
- Unequivocal progression of existing non target lesions.

NEW LESION

- Lesions that appear after BL = new lesion. Irrespective of size, in the same organ or different organ, which was not imaged at BL = new lesion.
- Lesions that re-appear after CR assessment are considered new = PD
- In the setting of PR or SD, if a lesion disappears and reappears at a subsequent time point it should continue to be measured. Response will depend upon the status of other lesions. The lesion should simply be added into the sum.
- Lymph nodes that were normal size at prior time point and grow or regrow are considered new lesions (>10mm)
 - 5mm 企 absolute!
- Finding of a new lesion should be unequivocal:
 - i.e. not attributable to differences in scanning technique, change in imaging modality or findings thought to represent something other than a tumor. This is particularly important when patient was SD, PR or CR.





Tumor Response Evaluation

Evaluation of best overall response depends on TARGET LESION+NON TARGET LESION+NEW LESION

Target	Non- Target	New	Overall response
CR	CR	Νο	CR
CR	SD	Νο	PR
PR	Non-PD	Νο	PR
SD	Non-PD	Νο	SD
PD	Any	Y/N	PD
Any	PD	Y/N	PD
Any	Any	Yes	PD

Tumor Response Evaluation

- Changes in tumor measurements must be confirmed by repeat assessments (no less than 4 weeks) after first response.
- Stable disease : Measurements must have met the criteria at least once after study entry at a minimum interval (not less than 6–8 wks).

Response : WHO Vs RECIST

Best response	WHO change in sum of products	RECIST change in sums longest diameters
CR	Disappearance; confirmed at 4 wks†	Disappearance; confirmed at 4 wks†
PR	50% decrease; confirmed at 4 wks†	30% decrease; confirmed at 4 wks†
SD	Neither PR nor PD criteria met	Neither PR nor PD criteria met
PD	25% increase; no CR, PR, or SD documented before increased disease	20% increase; no CR, PR, or SD documented before increased disease

Example: 5 Lesions

Site	Lesion	Baseline (mm)	Week 8 (mm)	Week 16 (mm)	Week 24 (mm)
Lung	1	20	17	15	30
Node	2	30	14	13	20
	3	22	15	17	25
Liver	4	40	26	25	40
	5	30	24	22	30
SLD		142	96	92	255

		-33%	-46%	+79%
Response		PR	PR	PD

RECIST Criteria.. Disadvantages

- RECIST makes no provision for total volume of disease.
- RECIST excludes bone and mediastinal structures, and hematologic malignancies.
- Number of target lesions may not account for the full burden of disease.
- The edges of irregular or infiltrating lesions are often difficult to identify.
- Its difficult to distinguish peritumoral fibrosis from tumour spread at times.

Overview: RECIST vs. RECIST 1.1

	RECIST	RECIST 1.1	
Measurable Disease at BL	Required, MTLS	When required then MTLS, Pats. with non-measurable disease only are allowed	
Minimum Target Lesion Size	≥10 mm (Spiral CT) ≥20 mm (Conventional CT, MRI)	≥10 mm (CT + MRI) ≥15 mm Lymph nodes ≥20 mm Chest X-Ray	
No. of measurable Lesions, per organ	1-10 5	1-5 2	
Measurement	Uni-Dimensional	Uni-Dimensional Lymph nodes = short axis	
PD	20 % increase in SLD from Nadir	20 % increase in SOD + min. 5mm increase from Nadir	
Confirmation of CR and PR	After at least 28 days	Only required, if response is primary endpoint and not randomized	
Non Measurable Assessment	Unequivocal progression	substantial worsening, tumor burden has increased sufficiently	
Lymph node Measurements	None	Specific instructions ≥15mm, 10-14mm, <10mm	
PET	Not available	May be considered to support CT; for PD and confirmation of CR	

DEFINING ROI IN PET



42

COMPLETE METABOLIC RESPONSE

• Complete metabolic response (CMR) complete resolution of [18F]-FDG uptake within the measurable target lesion so that it is less than mean liver activity and indistinguishable from surrounding background blood pool levels.



Partial Response

130% SUL peak

- EORTC: 15-25%
- 10-20% variability of SUV
- Lower thresholds, medically relevant
- 25% of a low number not much change

10.8 SUL units

0.9 and 0.5 SUV units previously proposed*

Weber et al. J Nucl Med 1999;40:1771



Stable Metabolic Disease

 Stable metabolic disease (SMD) Not CMR, PMR nor PMD. Note, the SUL peak in metabolic target lesion should be recorded as well as (ideally) time from start of most recent therapy in weeks (i.e. SMD -15,7). No new lesions





Progressive metabolic disease

• >30% increase in FDG SUL peak, with >0.8 SUL unit increase in tumor SUV peak from the baseline scan in pattern typical of tumor and not of infection/treatment effect.

46



47



No uptake FDG < MBP FDG > MBP ≤ liver FDG > liver FDG >> liver



LUGANO CRITERIA PET- CT BASED CHESON-2014

Modality	Complete Response	Partial Response	Stable Disease	Progressive Disease
FDG PET-CT	Scores 1, 2, 3 in nodal or extranodal sites with or without a residual mass	Scores 4 or 5 with \downarrow uptake compared with baseline and residual mass(es)	Scores 4 or 5 with no obvious change in FDG uptake	Scores 4 or 5 in any lesion with 个 uptake from baseline and/or New FDG-avid foci

Ldi = longest transverse diameter; Sdi = shortest transverse diameter; PPD = product of perpendicular diameters; SPD = sum of the product of the perpendicular diameters of multiple lesions; \uparrow = increase; \downarrow = decrease

MRD

• MRD NEGATIVITY MAY BE AN IMPORTANT CRITERION TO EVALUATE TREATMENT EFFICACY IN HEMATOLOGIC TUMORS

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• IT HAS BEEN SHOWN TO CORRELATE WITH SURVIVAL IN MULTIPLE CLINICAL STUDIES.

Progression free and Overall survival by MRD post-induction (Median Follow-up 5.5yr)





• FDA DEFINITIONS OF PCR :

• ABSENCE OF RESIDUAL INVASIVE AND IN SITU CANCER ON HEMATOXYLIN AND EOSIN EVALUATION OF THE COMPLETE RESECTED TISSUE SPECIMEN AND ALL SAMPLED REGIONAL LYMPH NODES FOLLOWING COMPLETION OF NEOADJUVANT SYSTEMIC THERAPY.

Immune-related response criteria (irRC)

- . The irRC utilize an important concept: the overall tumor burden.
- THE OVERALL TUMOR BURDEN EMBRACES THE COMBINED SIZE OF INDEX LESIONS PRESENT AT BASELINE PLUS ANY NEW TUMORS DETECTED AFTER TREATMENT BEGINS (HOOS 2010). UNDER RECIST, THESE NEW TUMORS WOULD BE REGARDED AS DISEASE PROGRESSION—INDICATING TREATMENT FAILURE—BUT IRRC TREATS NEW TUMORS AS PART OF THE TUMOR BURDEN INSTEAD OF CONSIDERING THEM AS NOTIFICATION THAT THE DISEASE HAS WORSENED (HOOS 2012).
- THE IRRC TYPICALLY INCLUDE 4 DIFFERENT KINDS OF RESPONSE:
- IMMUNE-RELATED COMPLETE RESPONSE (IRCR);
 - IMMUNE-RELATED PARTIAL RESPONSE (IRPR);
 - IMMUNE-RELATED STABLE DISEASE (IRSD);
- IMMUNE-RELATED PROGRESSIVE DISEASE (IRPD) (HOOS 2010)

ONCOLOGY END POINTS

PATIENT CENTRED END POINT OVER ALLSURVIVALHEALTH RELATED QUALITY OF LIFE

TUMOR CENTERED END POINT PROGRESSION FREE SURVIVL
TIME TO TUMOR PROGRESSION
DISEASE FREE SURVIVAL
OBJECTIVE RESPONSE RATE
DURATION OF RESPONSE
TIME TO TREATMENT FAILURE



"Hesponse-evaluable population (contirmed non-GCB DLBCL, measureable disease and at least one post-baselil response assessment); response assessments based on the 2007 Revised Response Criteria for Malignant



PROGRESSION-FREE SURVIVAL (PFS) TIME FROM RANDOMIZATION UNTIL DISEASE PROGRESSION OR DEATH

T/N ∟ O ∟ ∟ O ≥



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TIME FROM
 RANDOMIZATION*
 UNTIL DISEASE
 PROGRESSION
 OR DEATH



TIME TO TREATMENT FAILURE (TTF)

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TIME FROM RANDOMIZATION TO DISCONTINUATION OF TREATMENT FOR ANY REASON, INCLUDING DISEASE PROGRESSION, TREATMENT TOXICITY, AND DEATH

T/N

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DISCONTINUATION OF TREATMENT

Time to treatment failure with first-line afatinib versus gefitinib in patients with EGFR mutation-positive advanced NSCLC from the randomized phase IIb LUX-



TTF stratified by EGFR mutation type (exon 19 deletions and exon 21 L858R point mutation) was longer for afatinib vs. gefitinib.

EVENT-FREE SURVIVAL (EFS)

T/N \square O \square \square O \geq

TIME FROM RANDOMIZATION* TO DISEASE PROGRESSION, DEATH, OR DISCONTINUATION OF TREATMENT FOR ANY REASON (EG, TOXICITY, PATIENT PREFERENCE, OR INITIATION OF A NEW TREATMENT WITHOUT DOCUMENTED PROGRESSION)

> DISEASE PROGRESSION, DEATH, OR
> DISCONTINUATIO N OF
> TREATMENT FOR
> ANY-REASON



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DURATION OF RESPONSE (DOR)

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TIME FROM DOCUMENTATION OF TUMOR RESPONSE TO DISEASE PROGRESSION

DISEASE PROGRESSION



TAKE HOME MESSAGE

RESPONSE EVALUATION:-

WHO,RECIST,PERCIST, DEAUVILLES RESPONSE CRITERIA MRD,PCR,IMMUNE-RELATED RESPONSE CRITERIA (irRC),

• RECIST:-

- CT preffered over X-Ray chest.
- Measurable tumor lesions must be accurately measured at least one dimension with a minimum size of
- 10mm by CT Scan where slice thickness 5mm
- 10 mm caliper measurement
- 20mm by chest x-ray
- >/ 15 mm lymphnodes in short axis as target lesions.
- When more than one measurable lesions present at baseline,all lesions up to maximum 5 lesions total and maxm.2 les ion per organ to be identified

• TAKE HOME MESSAGE

- Target lesions should be based on longest diameter, Lymph nodes measured based on short axis diameter, Lumph node >15 mm :pathological
- CR;-COMPLETE DISSSAPEARANCE,PR:- ATLEAST 30%, STABLE DISEASE <30% DECREASE OR <20%INCREASE IN LD ,PROGRESSION:-PROGRESSIVE DISEASE->20 % INCREASE LD
- PERSIST:- METABOLIC RESPONSE BASED ON SUL
- CR:-COMPLETE RESPOSE, PR:-AT LEAST 30% OR 0.8% DECREASE OF SUL, STABLE DISEASE, PROGRESSIVE:-30% INCREASE OR .8% OF SUL INCREASE .
- DEAUVILLES RESPONSE CRITERIA:- IN LYMPHOMA ON COMPARISION OF SWITH MEDIASTINUM/LIVER.D 1,2,3 ARE NEGATIVE,45 ARE POSITIVE
- HEMATOLOGICAL MALIGNANCIES:- MRD

END POINTS

- ORR:- PROPORTION OF PATIENTS WITH REDUCTION IN TUMOR BURDEN OF A PREDEFINED AMOUNT
- OVERALL SURVIVAL:-TIME FROM RANDOMIZATION UNTIL DEATH FROM ANY CAUSE
- PROGRESSION FREE SURVIVAL:-PFS:-TIME FROM RANDOMIZATION UNTIL DISEASE PROGRESSION OR DEATH
- TIME TO TUMOR PROGRESSION :- TIME FROM RANDOMIZATION UNTIL OBJECTIVE TUMOR PROGRESSION; DOES NOT INCLUDE DEATHS
- DURATION OF RESPONSE: TIME FROM DOCUMENTATION OF TUMOR RESPONSE TO DISEASE PROGRESSION
- EVENT FREE SURVIVAL: TIME FROM RANDOMIZATION* TO DISEASE PROGRESSION, DEATH, OR DISCONTINUATION OF TREATMENT FOR ANY REASON -
- TIME TO TREATMENT FAILURE:- TIME FROM RANDOMIZATION TO DISCONTINUATION OF TREATMENT FOR ANY REASON, INCLUDING DISEASE PROGRESSION, TREATMENT TOXICITY, AND DEATH



