

Fractionation and its impact on the Management of Head & Neck Cancers



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FRACTIONATION

- ▶ Refers to division of total dose into no. of separate fractions over total t/t conventionally given on daily basis , usually 5days a wk.
- ▶ Size of each dose # whether for cure or palliation depends on tumor dose as well as normal tissue tolerance .
- ▶ e.g. if 40Gy is to be delivered in 20# in a time of 4wks then daily dose is 2Gy.



HISTORICAL REVIEW

- ▶ *X-ray were used for radiotherapy just 1 month after its discovery in a fractionated course because of the primitive X-ray machines available at that time & their low output*
- ▶ *To deliver a single dose to destroy a tumor would require several hours or even days.*
- ▶ *Single fraction radiotherapy became feasible only in 1914 with the advent of Coolidge hot cathode tube, with high output, adjustable tube currents & reproducible exposures.*



HISTORICAL REVIEW

- ▶ Earlier some radiotherapists believed that fractionated treatment was inferior & single dose was necessary to cure cancer.
- ▶ While radiobiological experiments conducted in France favored fractionated regimen for radiotherapy which allows cancerocidal dose to be delivered without exceeding normal tissue tolerance



RADIOBIOLOGICAL RATIONALE FOR FRACTIONATION

- ▶ *Delivery of tumorocidal dose in small dose fractions in conventional multifraction regimen is based on 4R's of radiobiology namely*
 - *Repair of SLD*
 - *Repopulation*
 - *Redistribution*
 - *Reoxygenation*
- ▶ *Radio sensitivity is considered by some authors to be 5th R of radiobiology.*



TIME DOSE MODELS

- ▶ *With introduction of various fractionation schemes in radiotherapy need for quantitative comparisons of treatments was felt in order to optimize treatment for particular tumor.*
- ▶ *Strandquist was 1st to device scientific approach for correlating dose to overall t/t to produce an equivalent biological isoeffect.*



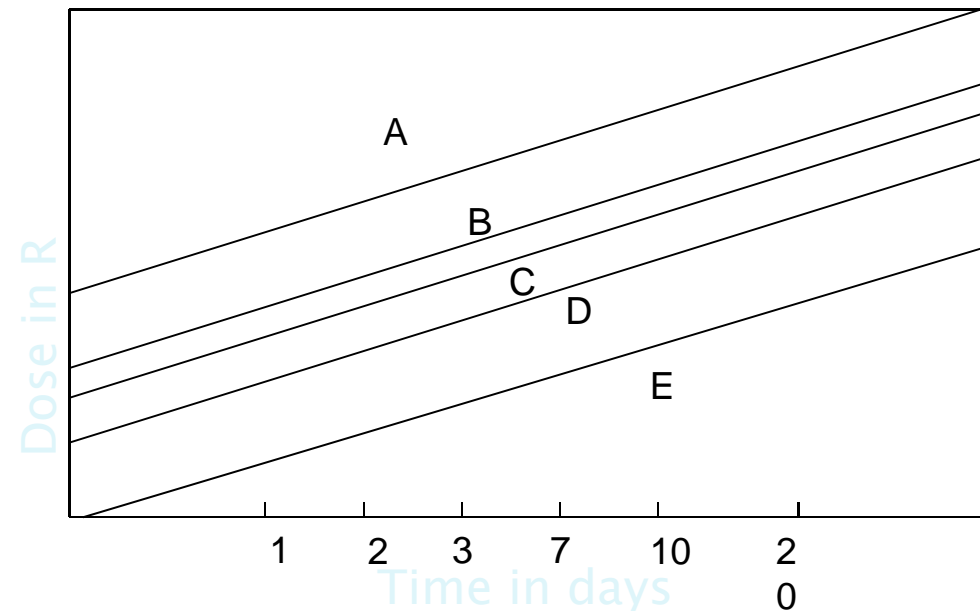
CUBE ROOT MODEL



- ▶ By Strandqvist (1944)
- ▶ He demonstrated that isoeffect curves (i.e. dose vs. no. of #s to produce equal biological effect) on log-log graph for skin reactions (erythema & skin tolerance) were st. lines with a slope of 0.33 i.e.

$$D \propto T^{0.33}$$

- ▶ As these plots were for fixed no. of #s N hence T was linear function of N & D was proportional to cube root of N also



A – Skin necrosis

C – moist desquamation

B – cure for skin carcinoma D – dry desquamation

E – skin erythema

Cohen's

- ▶ Cohen analyzed three diff. set of data of skin damage with end points as weak or strong erythema & skin tolerance.
- ▶ Cohen found an exponent of 0.33 for skin erythema / skin tolerance & 0.22 for skin cancers.
- ▶ According to Cohen's results, relationship b/w total dose & overall treatment time for normal tissue tolerance & tumor can be written as

$$D_n = K_1 T^{0.33}$$

$$D_t = K_2 T^{0.22}$$

- ▶ Where K_1 & K_2 are proportionality constants. D_n , D_t & T are normal tissue tolerance dose, tumor lethal dose & overall treatment time respectively
- ▶ The exponents, 0.33 & 0.22 of time factor represents the repair capabilities of normal tissue & tumor cells respectively.

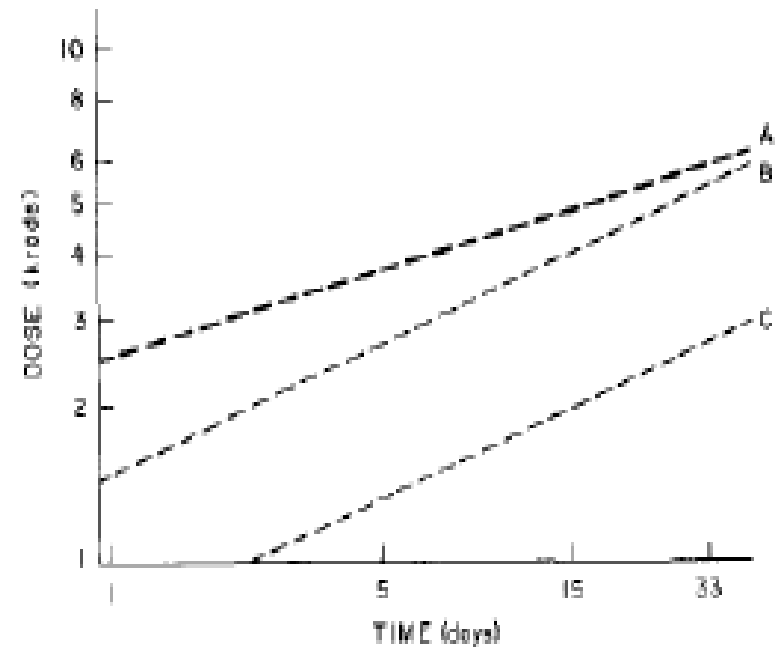


FIG. 1. Cohen's time-dose isoeffect model, shown on a log-log graph. Curve A = cure of squamous carcinoma of skin; Curve B = skin tolerance; Curve C = skin erythema.

Fowler

- ▶ *Difference in exponents of time factor in Cohen's formulations indicate that repair capacity of normal tissue is larger than that of tumor*
- ▶ *Fowler carried experimental studies on pig skin showing normal tissue have two type of repair capabilities*
 - *Intracellular – having short repair half time of 0.5 to 3hrs & is complete within few hrs of irradiation. multiplicity of completion of recovery is equal to no. of #s.*
 - *Hence no. of #s are more important than overall t/t*
 - *Homeostatic recovery that takes longer time to complete*
- ▶ *This led Ellis to formulate NSD*



NSD MODEL

- ▶ According to Ellis 's NSD formula time factor was a composite of N (no. of #s) & T (overall treatment time)
- ▶ Exponents for intracellular & homeostatic recovery are 0.22 & $0.33-0.22=0.11$ respectively
- ▶ Fractionation is twice as important as time according to clinical observations of Ellis.
- ▶ Hence dose is related to time & no. of #s as

$$D = (NSD) \times T^{0.11} \times N^{0.24}$$

- ▶ Where NSD (nominal stand. Dose) is proportionality constant for specific level of skin damage



TDF

- ▶ Developed by Ortan & Ellis (1973)
- ▶ In a complex multi-phase treatment protocol, total effective partial tolerance:

$$PT = (PT)_a + (PT)_b + \dots + (PT)_n$$

And to compare this protocol with another with partial tolerance PT'

$$(PT)_a + (PT)_b + \dots + (PT)_n = (PT)'_a + (PT)'_b + \dots + (PT)'_n$$

Basic formula of NSD is

- ▶ $NSD = D \chi T^{0.11} \chi N^{0.24}$
- ▶ Replacing
 - $D = nd$ (where n – no. of #s & d – dose/#)
 - $T = T/N$ for fixed no. of #s



TDF contd.

- $NSD = Nd \chi (T/N)^{-0.11} \chi N^{-0.24}$
- Or $NSD = d \chi (T/N)^{-0.11} \chi N^{0.65}$
- ▶ *Raising both side of equation to power 1.538*
 - $TDF = 10^{-3} \chi NSD^{1.538} = Nd^{1.538} (T/N)^{-0.17} \chi 10^{-3}$
- ▶ *Where 10^{-3} is scaling factor*
 - $TDF = 1.19 Nd^{1.54} (T/N)^{-0.17}$
- ▶ *Allowance must be made for repopulation during rest period or break*
- ▶ *According to Ellis, TDF before break should be reduced by decay factor to calculate TDF after break*
 - Decay factor = $\left(\frac{T}{T + R} \right)^{0.11}$
- ▶ *Where T days is time from beginning of course of radiotherapy to break & R days is length of rest period.*

CRITICISMS OF NSD

- ▶ Do not take into account complex biological processes that take place during or after irradiation
- ▶ Values of exponent of N in NSD eq. are not same for diff. tissue types.
- ▶ Validity of NSD w.r.t. different effects in same tissue is doubtful. For late effects in skin the influence of no. of #s may be considerably larger than for acute skin responses
- ▶ Uncertainty relates to no. of #s for which formula provides reasonable approximation of tolerance dose of a given tissue. For effects in skin approximation is obtained b/w 10 to 25 #s
- ▶ Another difficulty is with time factor $T^{0.11}$. this suggests an increase in dose by approx. 20% in 1st week, 10% in 2nd week & 5% in 3rd week, but for acute reactions in skin & mucosa accelerated repopulation starts only after 2-3 wks after start of fractionated treatment while for late reacting tissue cell proliferation during the fractionated course (4-8 wks) is not expected to increase tolerance dose as predicted by NSD formula

TARGET THEORY

- ▶ *To express relationship b/w no. of cells killed & dose delivered in mathematical terms Target theory was proposed by Crowther & expended by Lea.*
- ▶ *Curve representing relation b/w dose & surviving # after radiation delivery is called survival curve.*



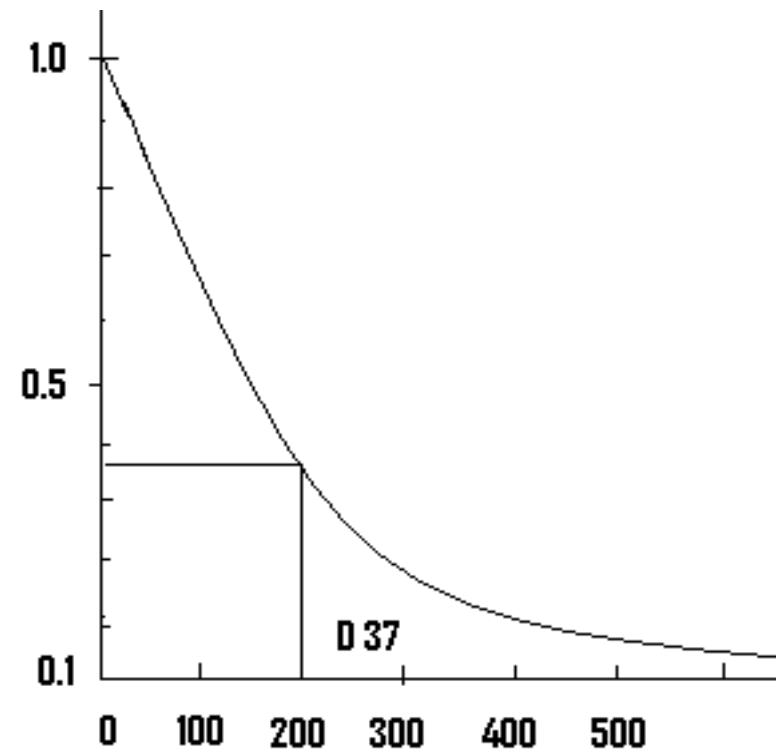
SIMPLE TARGET THEORY

- ▶ Also called single hit single target theory.
- ▶ Single hit is sufficient to produce measured effect or to inactivate a cell
- ▶ The curve is exponential i.e. at low doses the relationship is linear as process continues larger doses are required to inactivate same no. of organisms.

$$p \propto \frac{1}{D_0} D$$

- ▶ Where $1/D_0$ is constant of proportionality

$$S = e^{-\left(\frac{D}{D_0}\right)}$$



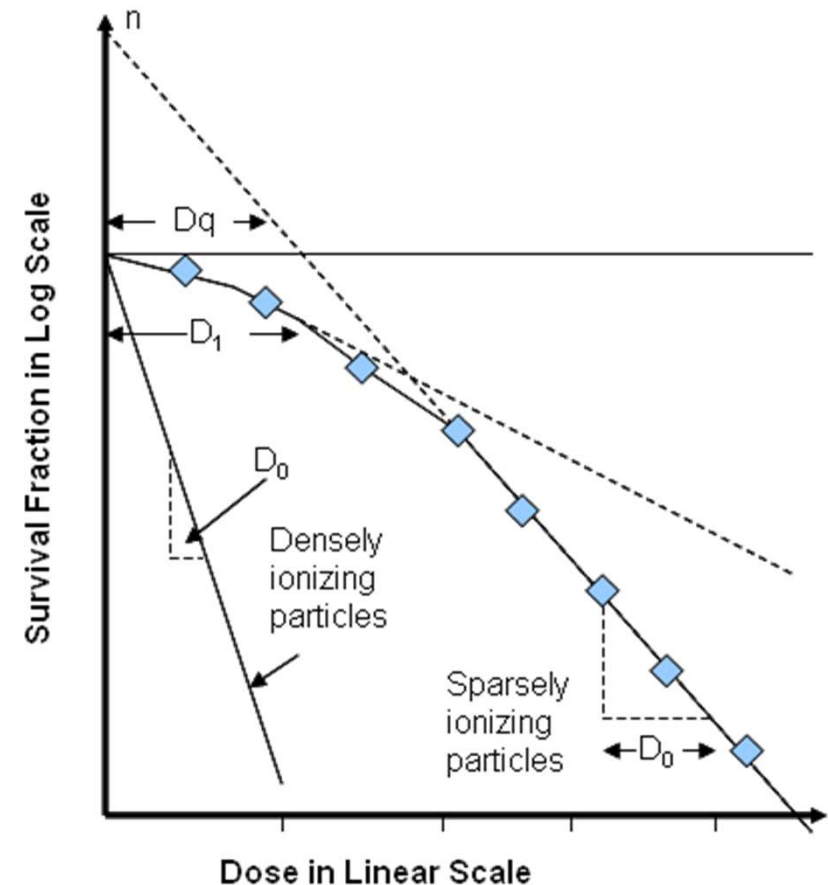
SIMPLE TARGET THEORY

- ▶ *Where D_0 is the mean lethal dose that will produce avg. one hit per cell*
- ▶ *such log survival curve is linear showing D_0 as dose that reduce cell survival fraction to 37%*
- ▶ *Such curves are observed in mammalian cells only*
- ▶ *When cells are irradiated with high LET radⁿ e.g. α - particles*
- ▶ *When cells irradiated are synchronized in most sensitive phases of cell cycle (late G_2 or M)*



MULTITARGET THEORY

- ▶ According to this theory some organisms contain more than one target \mathcal{L} to inactivate organism each target should receive one hit
- ▶ Survival curves corresponding to this theory start with less sensitive region at low doses \mathcal{L} show exponential behavior at large doses i.e. curves show a shoulder region in the beginning.
- ▶ Such curves are observed when mammalian cells are irradiated with low LET radⁿ e.g. χ -rays
- ▶ Shoulder represents cells in which fewer than n targets have been damaged after receiving a dose D i.e. cells have received SLD which can be repaired.



LINEAR QUADRATIC MODEL

- ▶ Basis of LQ theory is that cell is damaged when both strands of DNA are damaged.
- ▶ This can be produced either by single ionizing particle i.e.

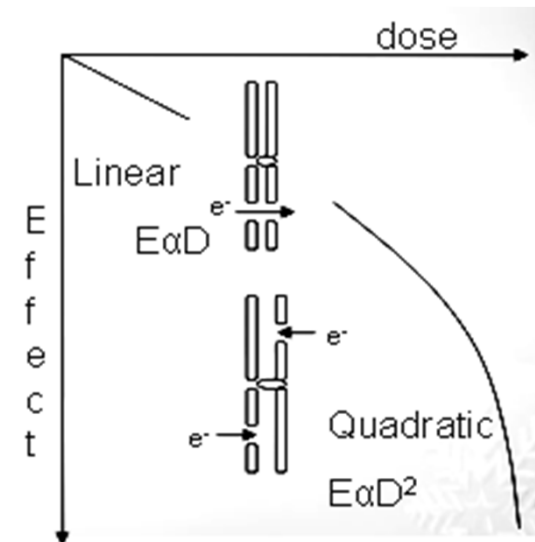
$$E \propto D \qquad E = \alpha D$$

- ▶ Where α is constant of proportionality

$$S = e^{-\alpha D}$$

- ▶ Or it can be accomplished by independent interaction by two separate ionizing particles such that

$$E \propto D^2 \qquad E = \beta D^2$$

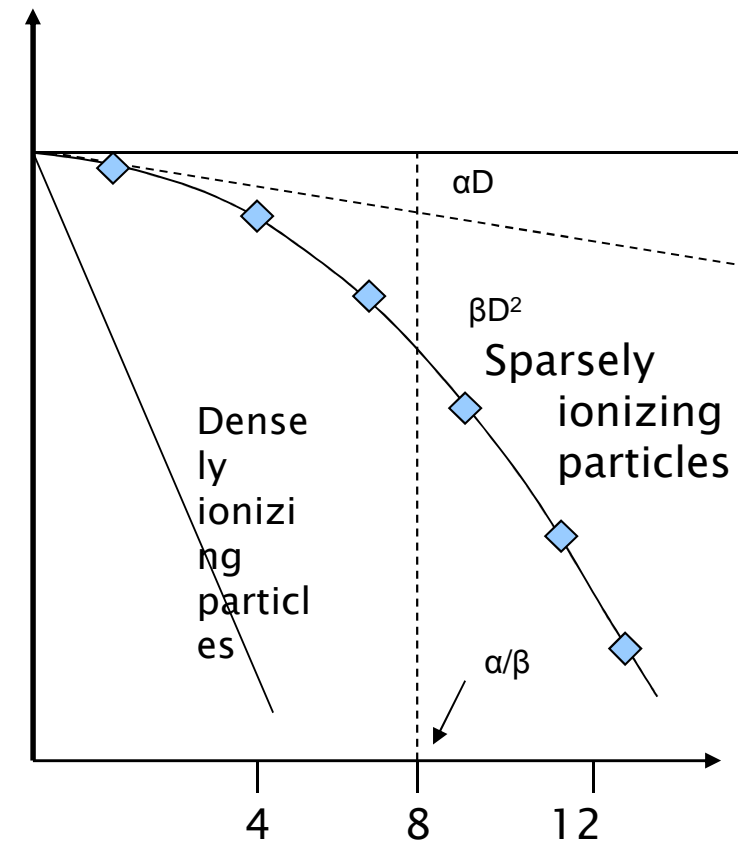


$$S = e^{-(\beta D)}$$

LINEAR QUADRATIC MODEL

- ▶ Overall LQ eq. for cell survival is therefore

$$S = e^{(-\alpha D - \beta D^2)}$$
- ▶ This shows the two components to cell killing, α -damage (irreparable) & β -damage (reparable) combine to form cell survival curve.
- ▶ $D = \alpha / \beta$ is the dose at which log surviving # for α -damage equals that for β -damage.
- ▶ Parameter α / β represents curviness of cell survival curve.
- ▶ Higher the α / β , straighter is the curve & cells show little repair of SLD while low α / β indicates high capability of repair.
- ▶ Tumor usually have high α / β values in range of 5-20Gy (mean 10Gy) while late responding normal tissue have α / β in range 1-4Gy (mean 2.5Gy)



LQ MODEL

- ▶ *NSD & TDF models are empirical models while LQ model is derived from cell survival curves.*
- ▶ *LQ model is based on fundamental mechanism of interaction of radn with biological systems.*
- ▶ *Biologically effective dose is the quantity by which diff. fractionation regimens are intercompared*
- ▶ *BED = total dose X relative effectiveness*

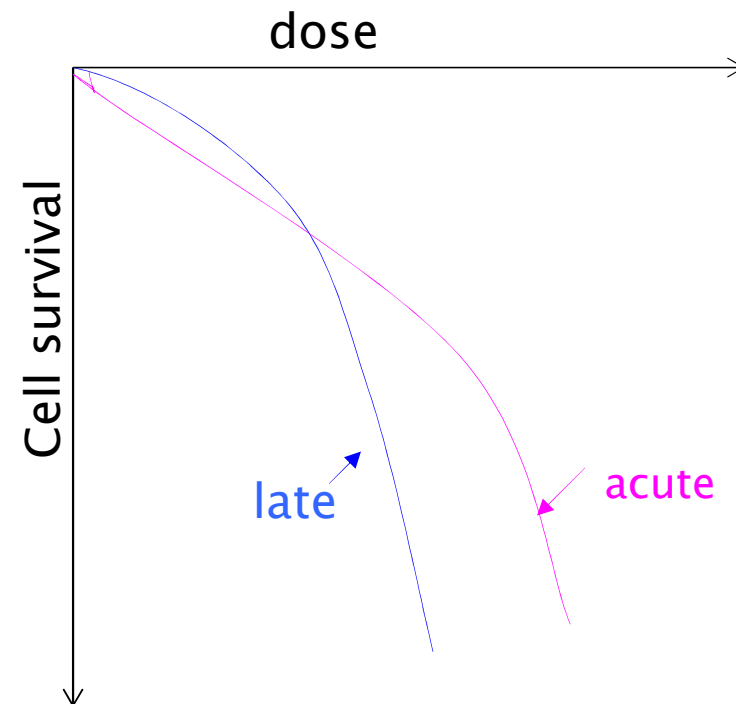
$$E/\alpha = nd \times \left(1 + \frac{d}{\alpha/\beta} \right)$$

- ▶ *Where*
- ▶ *n - no. of #s*
- ▶ *d - dose/#*



RADIATION RESPONSE

- ▶ *Survival curves of early & late responding cells have different shapes.*
- ▶ *Curves for late responding tissue are more curved because of difference in repair capacity of late & early responding tissues.*
- ▶ *In terms of linear quadratic relationship b/w effect & dose this translates into larger α/β ratio for early than late effects.*
- ▶ *If fewer & larger dose #s are given late reactions are more severe.*
- ▶ *It can be interpreted as diff. in repair capacity or shoulder shape of underlying dose – response curve.*



EXPLANATION FOR DIFF. IN SHAPE OF EARLY & LATE RESPONDING TISSUES

- ▶ *The radio sensitivity of a population of cells varies with the distribution of cells through the cycle .*
- ▶ *Two different cell populations may be radio resistant :-*
 1. *Population proliferating so fast that S phase occupies a major portion of cycle .*
 2. *Population proliferating so slowly that many cells are in early G_1 or not proliferating at all so that cells are in resting (G_0) phase.*



EXPLANATION FOR DIFF. IN SHAPE OF EARLY & LATE RESPONDING TISSUES

- 1. Population proliferating so fast that S phase occupies a major portion of cycle .*
 - Redistribution occurs through all phases of cell cycle in such population & is referred to as self sensitizing activity.*
 - New cells produced by fast proliferating population offset cells killed by dose #s & thus offers resistance to effect of radiation in acutely responding tissues & tumors.*
 - Thus proliferation occurring b/w dose #s help in repopulation of normal tissue (i.e. spares normal tissue) at the risk of tumor repopulation*



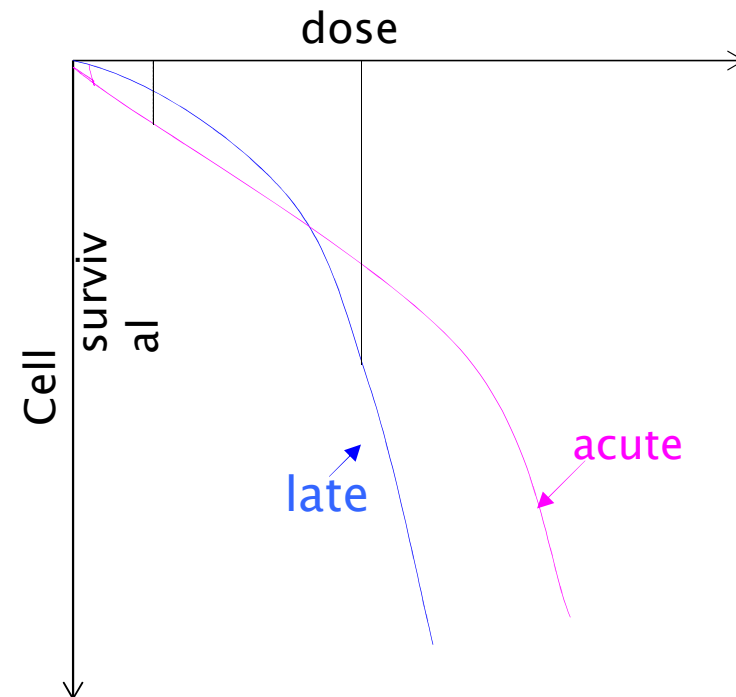
EXPLANATION FOR DIFF. IN SHAPE OF EARLY & LATE RESPONDING TISSUES

2. *Population proliferating so slowly that many cells are in early G_1 or not proliferating at all so that cells are in resting (G_0) phase.*
 - *Hence late responding normal tissue are resistant due to presence of many resting cells.*
 - *Such resistance disappears at high dose/#*



IMPLICATIONS

- ▶ For early effects α/β is large, as a consequence α i.e. irreparable damage dominates at low doses & dose – response curve has marked initial slope & bends at higher doses.
- ▶ For late effects α/β is small, i.e. β term (repairable damage) has an influence at low doses.
- ▶ Implications of diff. in shape of dose – response curves of early & late reacting tissues :-
- ▶ If fractionation regimen is changed from many small doses to few large dose fractions leads to severe late tissue toxicity.
- ▶ Late reacting tissues are more sensitive to changes in fractionation pattern than early responding tissues.



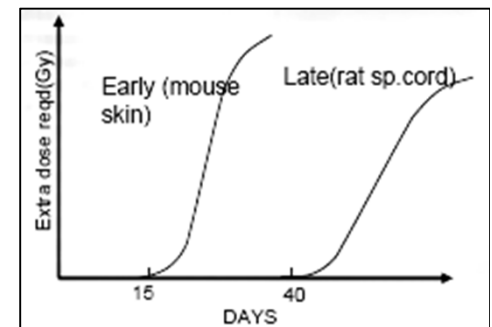
ADV. OF FRACTIONATION

- ▶ *Acute effects of single dose of radiation can be decreased*
- ▶ *Pt.'s tolerance improves with fractionated RT*
- ▶ *Exploits diff. in recovery rate b/w normal tissues & tumors.*
- ▶ *Radⁿ induced redistribution & sensitization of rapidly proliferating cells.*
- ▶ *Reduction in hypoxic cells leads to –*
 - *Reoxygenation*
 - *Opening of compressed blood vessels*
- ▶ *Reduction in no. of tumor cells with each dose #*



RADIATION RESPONSE

- ▶ *Response of all normal tissues to radⁿ is not same*
- ▶ *Depending on their response tissues are either*
 - *Early responding – constitute fast proliferating cells such as skin, mucosa, intestinal epithelium, colon, testis etc.*
 - *Late responding – have large no. of cells in the resting phase e.g. spinal cord, bladder, lung, kidneys etc.*
- ▶ *Early responding tissues are triggered to proliferate within 2-3wks after start of fractionated RT.*
- ▶ *Prolonging overall treatment time can reduce acute reactions without sparing late damage*



VARIOUS FRACTIONATION SCHEDULES

- ▶ *Fractionated radiation exploits difference in 4R's between tumors and normal tissue thereby improving therapeutic index*
- ▶ *Types*
 - *Conventional*
 - *Altered*
 - *Hyper fractionation*
 - *Accelerated fractionation*
 - *Split course*
 - *Hypofractionation*



Conventional fractionation

- ▶ *Division of dose into multiple # spares normal tissue through repair of SLD b/w dose #s & repopulation of cells. The former is greater for late reacting tissues & the later for early reacting tissues.*
- ▶ *Concurrently , fractionation increases tumor damage through reoxygenation & redistribution of tumor cells.*
- ▶ *Hence a balance is achieved b/w the response of tumor & early & late reacting normal tissue.*
- ▶ *Most common fractionation for curative radiotherapy is 1.8 to 2.2Gy/#*



CONVENTIONAL FRACTIONATION

- ▶ *Evolved as conventional regimen because it is*
 - *Convenient (no weekend treatment)*
 - *Efficient (treatment every weekday)*
 - *Effective (high doses can be delivered without exceeding either acute or chronic normal tissue tolerance)*
 - *Allows upkeep of machines.*
- ▶ *Rationale for using conventional fractionation*
 - *Most tried & trusted method*
 - *Both tumorocidal & tolerance doses are well documented*



HYPERFRACTIONATION

- ▶ *Rationale –*
 - *To take maximal adv. of diff. in repair capacity of late reacting normal tissue compared with tumors.*
 - *Radio sensitization through redistribution.*
- ▶ *Pure hyper fractionation – total dose & over all t/t same as conventional regimen but delivering dose in twice as many #s i.e. treating twice daily.*
- ▶ *Impure hyper fractionation - Since dose/# decreases hence total dose need to be increased.*



HYPERFRACTIONATION

- ▶ *A hyper fractionated schedule of 80.5Gy/70#(1.15Gy twice/day)/7wks compared with 70Gy/35#/7wks in head & neck cancer.*
- ▶ *Implications –*
 - *Increased local tumor control at 5yr from 40 to 59%*
 - *Reflected in improved survival*
 - *No increase in side effects*



- **head and neck cancers and who are being treated with radiation therapy alone have improved local-regional control and no increase in late toxicity when radiation therapy is delivered twice a day in two smaller doses which we call hyperfractionation,”**
- **The results suggest that twice-daily radiation may improve cure and limit late side effects for patients. Twice-daily radiation might be worth considering in place of concurrent chemoradiotherapy for those patients who are at low risk for distant metastases and those patients who cannot tolerate systemic therapy.”**

ACCELERATED TREATMENT

- ▶ *Alternative to hyperfractionation*
- ▶ *Rationale – To reduce repopulation in rapidly proliferating tumors by reducing overall treatment time.*
- ▶ *Pure accelerated treatment – same total dose delivered in half the overall time by giving 2 or more #s/day. but it is not possible to achieve as acute effects become limiting factor.*
- ▶ *Impure accelerated treatment – dose is reduced or rest period is interposed in the middle of treatment.*



Types of accelerated fraction

- ▶ *Multiple std # / day*
- ▶ *Comparison of head & neck cases accelerated regimen 72Gy/45# (1.6Gy, 3#/day)/5wks with 70Gy/35#/7wks*
- ▶ *Implications –*
 - *15% increase in loco regional control*
 - *No survival adv.*
 - *Increased acute effects*
 - *Unexpected increase in late complications*



ACCELERATED TREATMENT

▶ Concomitant boost


- *Developed at M.D. Anderson cancer centre*
- *Boost dose to a reduced volume given concomitantly, with t/t of initial layer volume*
- *Conv 54Gy in 30 # over 6 wks & boost dose of 1.5 Gy per # in last 12 # with Inter # interval of 6 hr in last 12 #*
- *large field gets 54 Gy & boost field 72 Gy in 6 wks time*
- *E.g. Head and Neck cancer*



concomittant

- **2-year probability of local-regional disease control was 65% and of survival 55%. 14/53 patients sustained moderate to severe late complications:**

CHART

- ▶ *Regimen conceived at Mount Vernon Hospital, London*
 - ▶ *With CHART treatments 6hrs apart delivered 3times a day, 7days a wk, with dose # of 1.5Gy, total dose of 54Gy can be delivered in 36# over 12 consecutive days including weekends.*
 - ▶ *This schedule was chosen to complete treatment before acute reactions start appearing i.e. 2wks*
 - ▶ *Characteristics*
 - *Low dose /#*
 - *Short treatment time*
 - *No gap in treatment, 3 #/day at 6hr interval*
 - ▶ *Implications-*
 - *Better local tumor control*
 - *Acute reactions are brisk but peak after treatment is completed*
 - *Dose/# small hence late effects acceptable*
- Promising clinical results achieved with considerable trauma to pt.*
- 

CHART

- **Similar local turnout control was achieved by CHART as compared with conventional radiotherapy despite the reduction in total dose from 66 to 54 Gy supporting the importance of repopulation as a cause of radiation failure. The effects seen in advanced laryngeal cancer and those related to histological differentiation need further study. Reduced late morbidity is a factor which together with patient preference should be considered in the decision as to the programme of radiotherapy to employ in the curative treatment of head and neck cancer.**

SPLIT-COURSE

- ▶ *Total dose is delivered in two halves with a gap in b/w with interval of 4wks.*
- ▶ *Purpose of gap is*
 - *to allow elderly pts. to recover from acute reactions of treatment*
 - *to exclude pts. from further morbidity who have poorly tolerated 1st half or disease progressed despite treatment.*
- ▶ *Applied to elderly pts. in radical treatment of ca bladder & prostate & lung cancer.*
- ▶ *Disadv : impaired tumor control due to prolong T/T time that results in tumor cell repopulation*



HYPOFRACTIONATION

- ▶ *High dose is delivered in 2-3 # / wk*
- ▶ *Rationale*
 - *Treatment completed in a shorter period of time.*
 - *Machine time well utilized for busy centers.*
 - *Higher dose /# gives better control for larger tumors.*
 - *Higher dose /# also useful for hypoxic fraction of large tumor.*
- ▶ *Disadv.*
- ▶ *Higher potential for late normal tissue complications.*
- ▶ *E.g. 50Gy/10#/5wks treating 2 days a wk in head & neck cancer.*



5f/6f

- **Results : 5yrs LRC and DSS were 42% (6F) vs 30% (5F) ($p=0.004$) and 50% vs 40% ($p=0.03$). OS was trend to favor 6F/Wk but not statistical significant 35% vs 28% ($p=0.07$). No significant differences in late radiation side-effects**

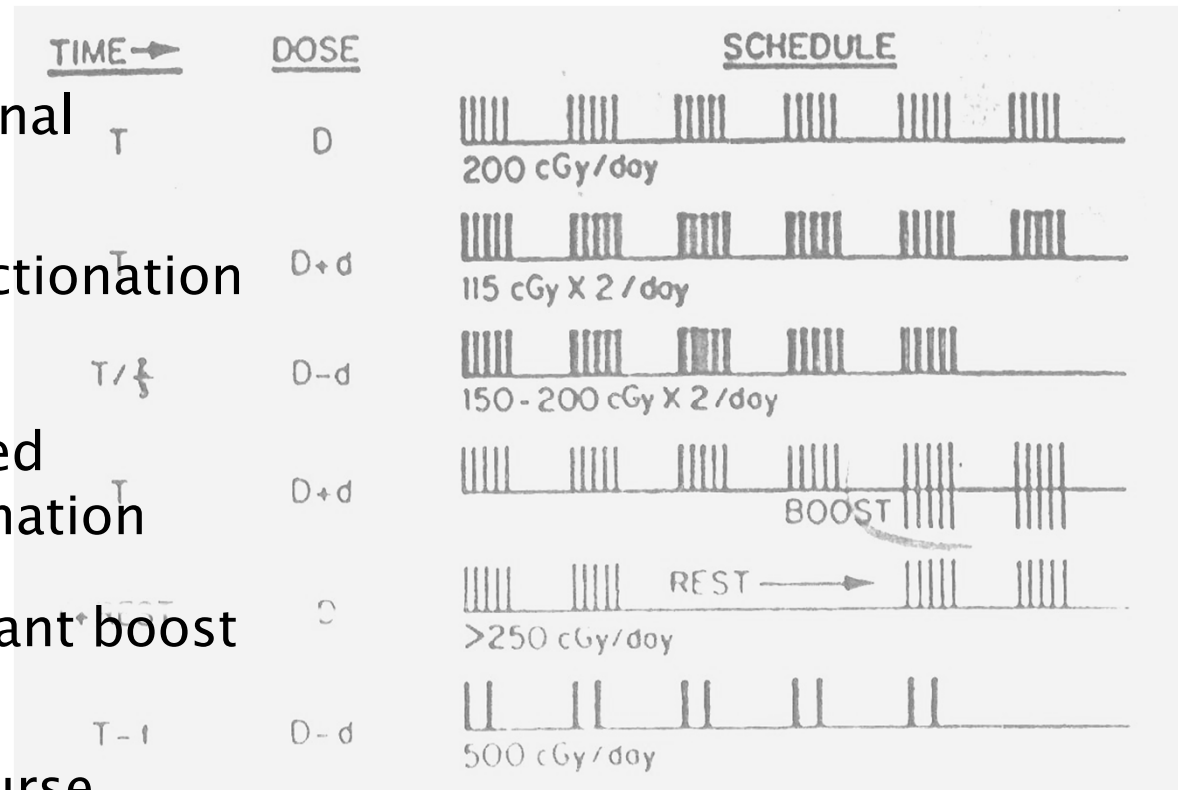
conventional

Hyper fractionation

Accelerated
fractionation

Concomitant boost

Split – course



Hypo
fractionation

THANK YOU

