

# Radiation Dose Response

LQ model, RBE, LET, OER,  
TCP & NTCP

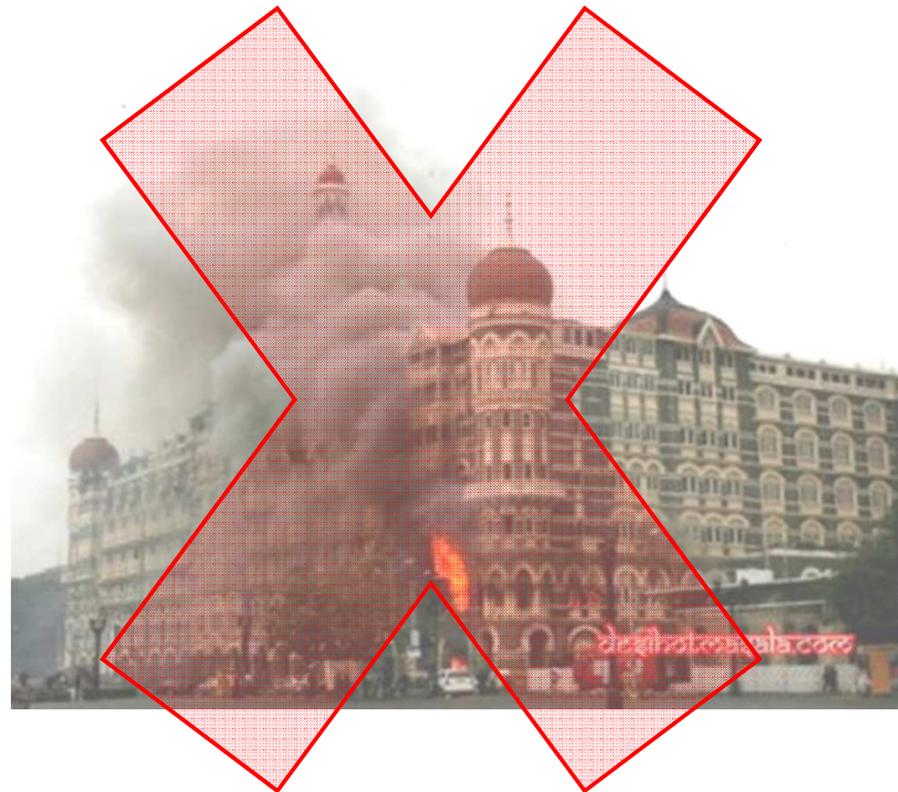
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# Radiotherapeutic Paradigm

- The basic goal of Radiotherapy- Kill the enemy (TUMOUR) but prevent collateral damage (NORMAL TISSUES).



# TUMOUR CONTROL AND NORMAL TISSUE COMPLICATIONS

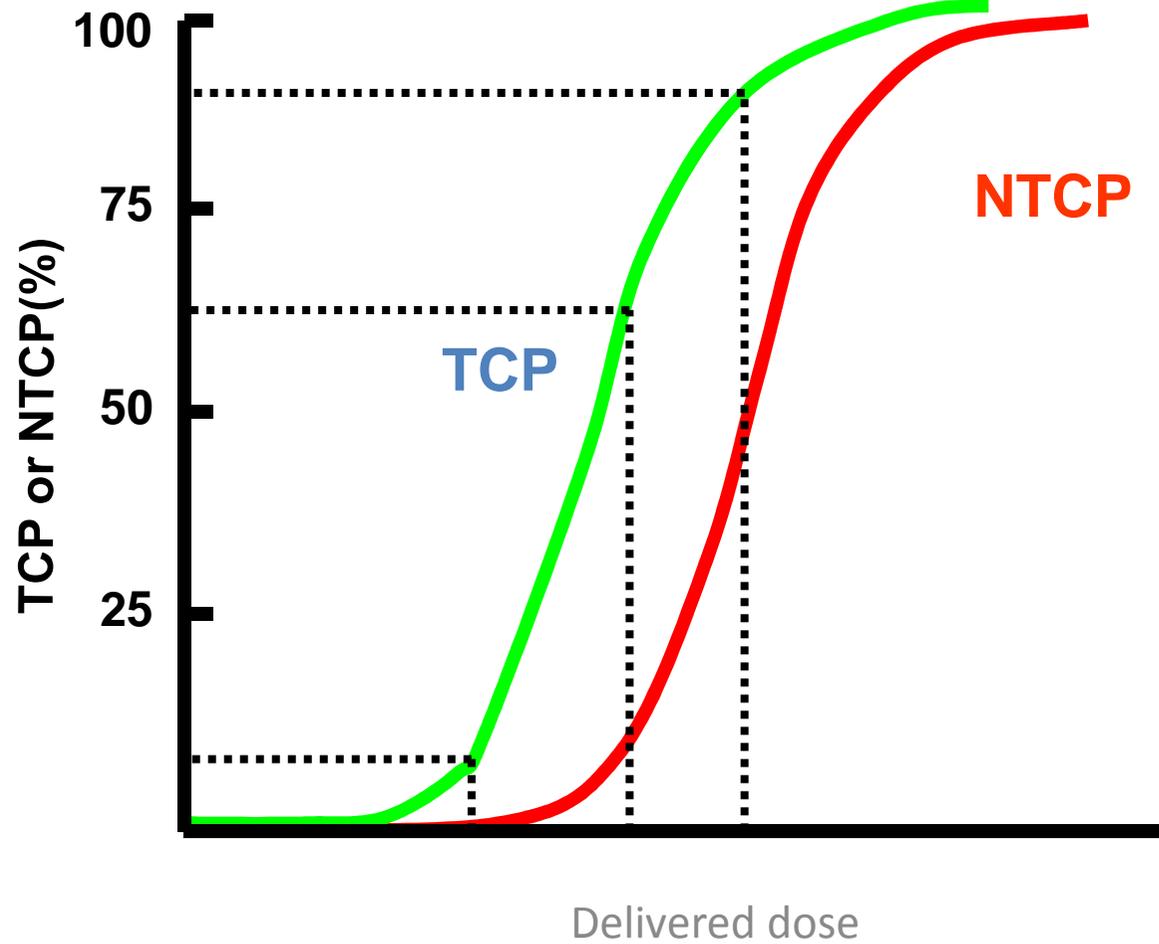


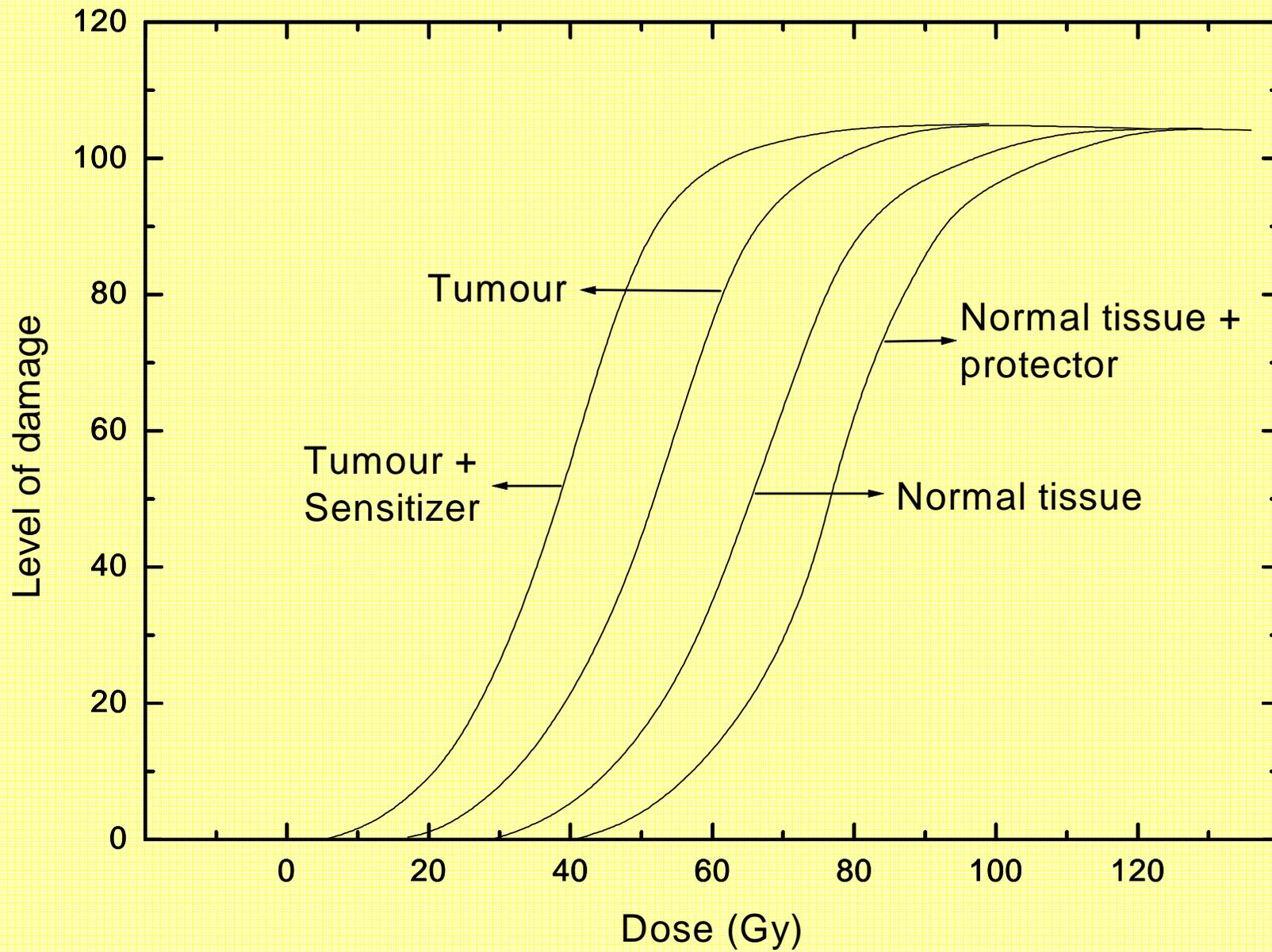
- Repair of sublethal damage
- Redistribution
- Repopulation
- Reoxygenation
- Radiosensitivity



- Total dose
- Overall treatment time
- Fraction size
- Radiation technique
- Type of radiation
- Sensitisation or protection

# What we want to achieve..

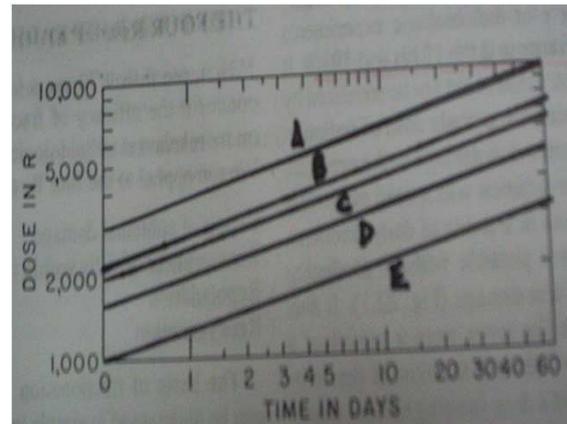




# The early days....



Coutard's experiment 1934



Strandqvist's curves 1944

Ellis' Nominal Standard Dose 1969-73

$$\text{Total dose} = (\text{NSD}) T^{0.11} N^{0.24}$$

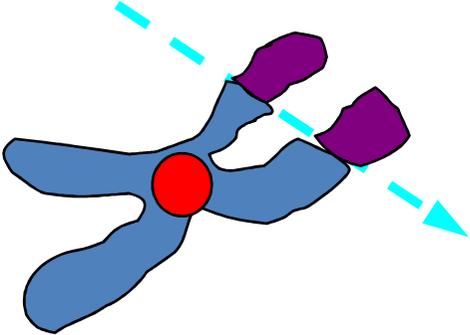
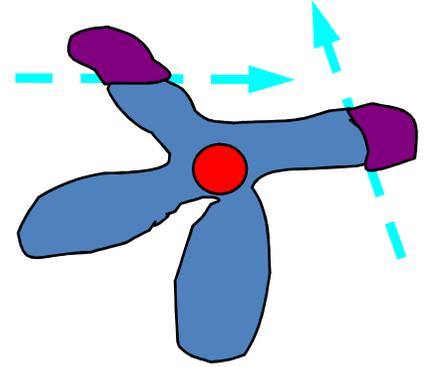
No. of fractions  $N$   
Overall treatment time  $T$

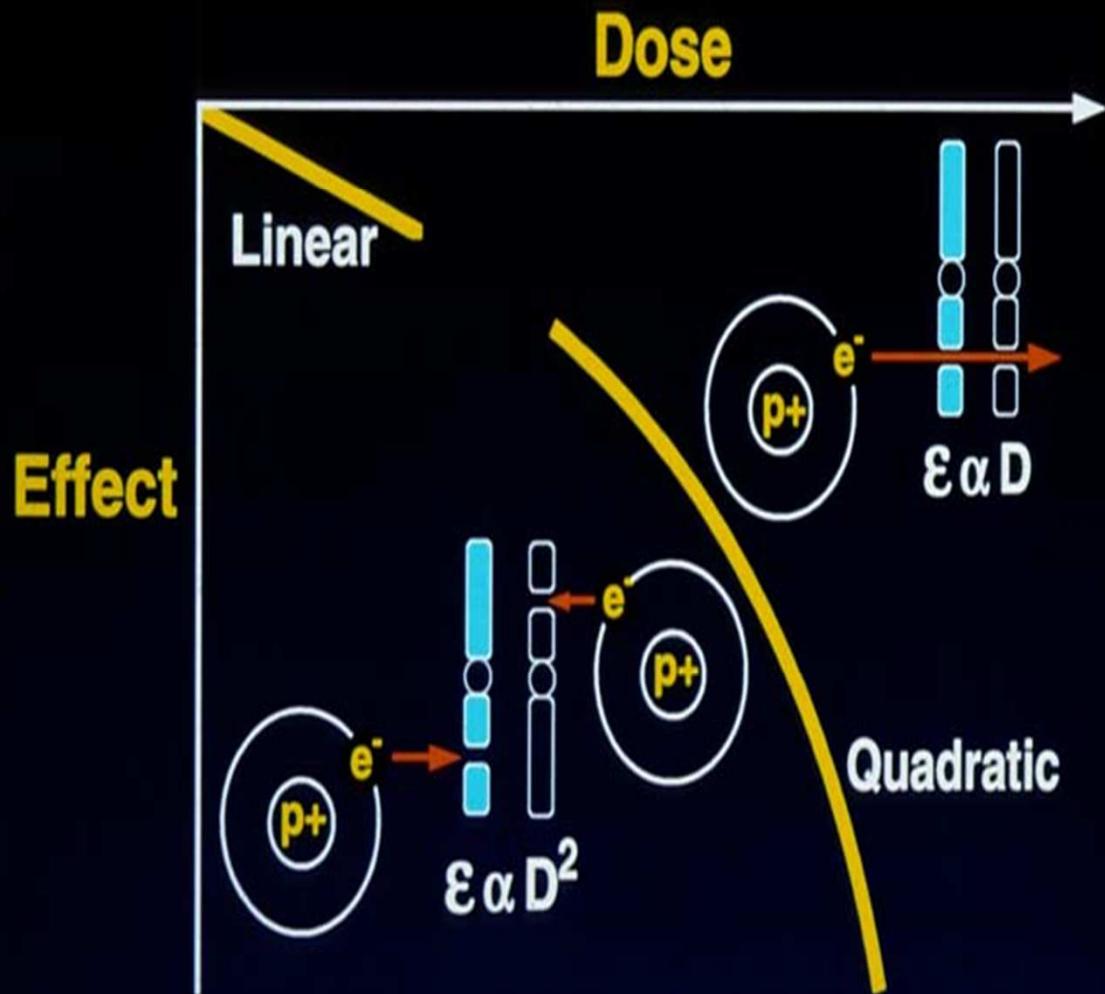
# Linear Quadratic Model

- Mechanistically based model
- Calculates radio-therapeutic iso-effect doses for different fractionation schemes

# Assumptions

- Basic lesion which is responsible for radiation induced cell death is the di-centric exchange type chromosomal aberration .
- Equal dose fractions are equally effective, independent of the preceding or following dose fractions.
- Complete repair between two fractions.

<i>Type A damage</i>	<i>Type B damage</i>
	
<p>Always lethal</p>	<p>Not always lethal</p>
<p>Amount of damage is always proportional to dose.</p>	<p>For instantaneous exposures, the amount of damage is always proportional to square dose.</p>
<p>Amount of damage is independent of dose rate and exposure time.</p>	<p>Amount of damage is additionally dependent on dose-rate and exposure time.</p> <p>For any given dose, as exposure time increases, the amount of Type B damage is reduced.</p>



Two component of cell killing by radiation, one dependent by the dose and the other one proportional to the square of the dose

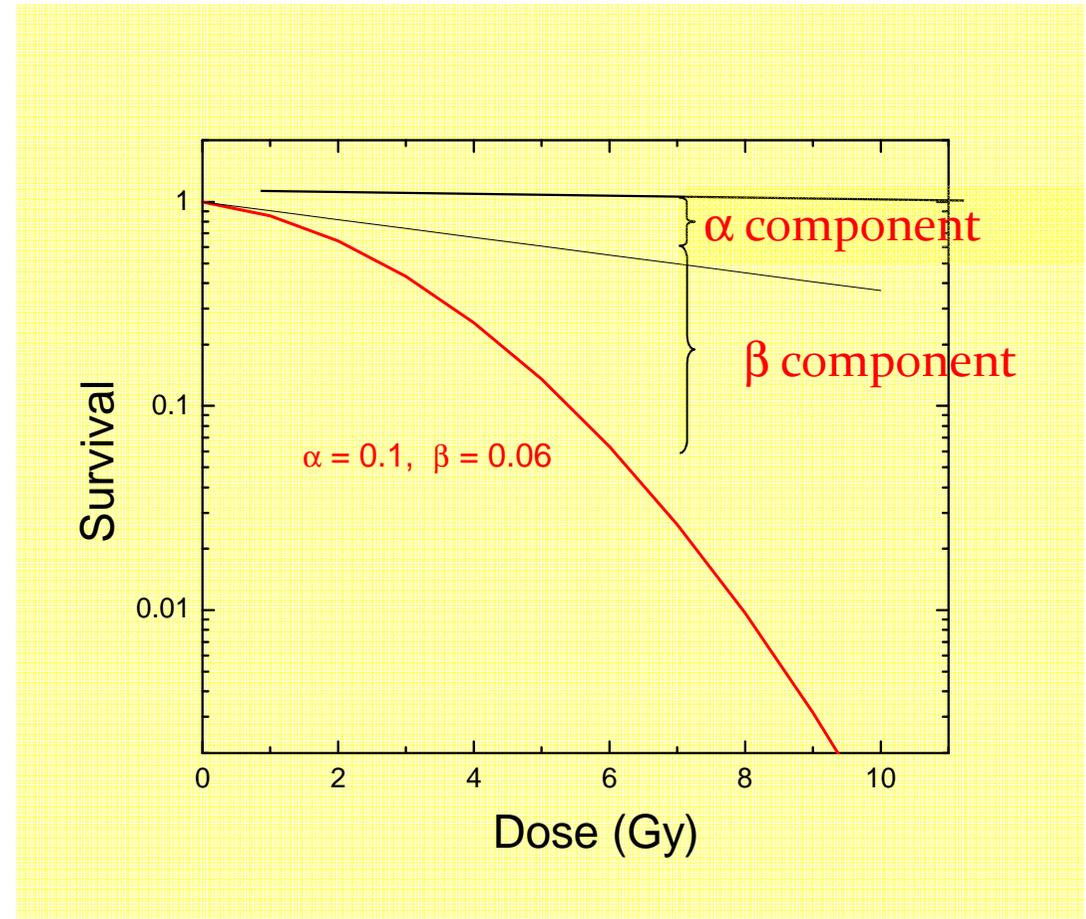
-cell survival curve is continuously bending

$$S = e^{-\alpha D - \beta D^2}$$

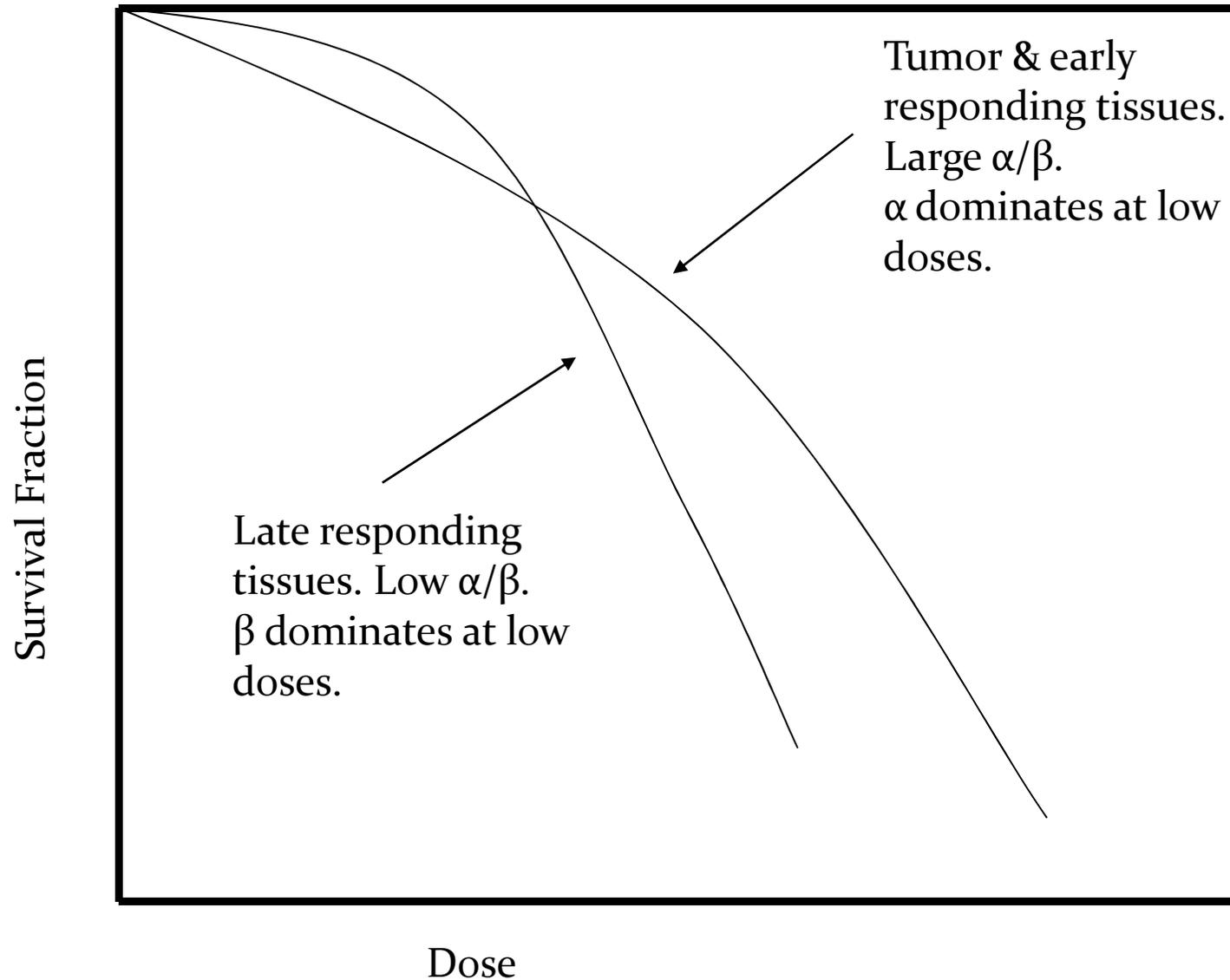
$\alpha$  and  $\beta$  = Constant.  
S = Survival, D = Dose.

# $\alpha/\beta$ Ratio

- Defines the dose at which cell killing by linear and quadratic components are equal.
- $\alpha/\beta$  ratio is thus the measure of how soon the survival curve begins to bend over significantly.
- Late responding tissue have low  $\alpha/\beta$  ratio and early responding tissue have more  $\alpha/\beta$  ratio .



# Dose Response Relationship



PARAMETERS	$\alpha/\beta$ ratio	Dose Response Curve	Isoeffect Curve Shape	Dose fractionation
Acutely Responding Normal tissues & Tumors	High 10-20 Gy	Steep	Shallow	Less sensitive
Late Responding normal Tissues	Low 1-6 Gy	Shallow	Steep	Marked sparing

## $\alpha/\beta$ VALUES FOR EARLY AND LATE REACTING TISSUES

TISSUE	$\alpha/\beta$ VALUE
<b><i>EARLY REACTIONS</i></b>	
SKIN (DESQUAMATION)	9 – 12
JEJUNUM	6 – 10.7
COLON	8 – 13
TESTIS	12 – 13
TUMOR BED (45 DAYS)	5.6 – 6.8
<b><i>LATE REACTIONS</i></b>	
SPINAL CORD (CERVICAL)	1.5 – 3
LUMBAR	3.7 – 4.9
COLON (WEIGHT LOSS)	3.1 – 5
KIDNEY (PIG)	1.7 – 2
LUNG (LD <sub>50</sub> )	2 – 6
LUNG (BREATHING RATE)	1.9 – 3.1
BLADDER (FUNCTION)	5 – 10

## $\alpha/\beta$ VALUES FOR HUMAN TUMORS

<b>HUMAN TUMORS</b>	<b><math>\alpha/\beta</math> VALUE</b>
HEAD AND NECK	14.5
VOCAL CORD	13
OROPHARYNX	16
BUCCAL MUCOSA	6.6
TONCIL	7.2
MASOPHARYNX	16
SKIN	8.5
<b>MELANOMA</b>	<b>0.6</b>
<b>LIPOSARCOMA</b>	<b>0.4</b>
SKIN (EARLY REACTIONS)	8 – 12
ORAL MUCOSA (EARLY REACTIONS)	8 – 15
SKIN (LATE REACTIONS)	1.7 – 2.8
MUSCLE/VASCULATURE/CARTILATURE	3.5
SPINAL CORD	< 3.3
LUNG	< 3.3

# Use of the LQ model in external beam radiotherapy:

- Calculate 'equivalent' fractionation schemes
- Comparing different fractionation schemes
- Correcting treatment gaps
- Changing overall treatment time
- Changing time interval between dose fractions
- Changing dose per fraction
- Re-irradiation
- Double trouble
- Allowance for tumour proliferation

# Biological Effective Dose (BED)

- Allow for the quantitative assessment of the biological effects associated with different patterns of radiation delivery.
- Is a measure of the biological dose delivered to a tumour or organ.
- The theoretical dose, which, if delivered in infinitely small fractions, would produce the same biological endpoint as that under consideration
- BED is a measure of effect in units of  $Gy_x$ , where the suffix  $x$  indicates the value of  $\alpha/\beta$  assumed in the calculation.

# Derivation of BED formula

- $E = \alpha D + \beta D^2.$

$$= \alpha nd + \beta nd^2$$

$$= n (\alpha d + \beta d^2)$$

$$= nd (\alpha + \beta d)$$

$$= \alpha (nd) (1 + d / \alpha / \beta)$$

$$E / \alpha = nd (1 + d / \alpha / \beta)$$

**BED = Total dose X Relative effectiveness.**

$nd$  = total dose       $1 + d / \alpha / \beta =$  relative effectiveness

# Calculating Isoeffective relationship

Describe range of fractionations schedules that are isoeffective

$$\frac{D_2}{D_1} = \frac{\alpha/\beta + d_1}{\alpha/\beta + d_2}$$

$D_1$  = initial known total dose ,

$d_1$  = initial dose / fraction

$D_2$  = total dose to be calculated for new dose schedule

$d_2$  = new dose / fraction

# EQUIVALENT EFFECTIVE DOSE (EQD)

Simple way of calculating isoeffective relationship is  
To convert into equivalent dose in 2 Gy fraction  
EQD2

- $$\text{EQD2} = D \left[ \frac{d + \alpha/\beta}{2 + \alpha/\beta} \right]$$

# Example

We want to change current practice of palliative radiotherapy in advanced head neck cancer from 40Gy/16# to 4Gy/# due to logistic reason

Excluding late effects from calculation

What would be total dose needed to achieve same effective dose to that of current practice.

- Let us suppose  $D_1=40\text{Gy}$   $d_1=2.5\text{Gy}$   
 $D_2=?$  And  $d_2= 4\text{Gy}$  .

We want  $D_1$  and  $D_2$  isoeffective thus,  
 BED equation can be modified to

$$D_2/D_1 = d_1 + \alpha/\beta / d_2 + \alpha/\beta$$

$$D_2/D_1 = d_1 + 10/d_2 + 10$$

$$D_2/40 = 2.5+10 / 4+10$$

$$D_2 = 40 \times 12.5 / 14$$

$$= 35.72 \text{ Gy.}$$

# Comparison of different fractionation schemes

- Different fractionation schemes can be compared by comparing their BED for acute and late effects
- This is obviously only valid for one tissue/tumour type with one set of alpha, beta values

# Clinical examples.

- Comparison of various treatment schedules for head neck cancer.
  - *For calculation purpose for early effect and tumor (10 Gy), late effect (2Gy).*
- Treatment **1(conventional)** = 70Gy/35#/ 7 weeks @ 1#/day , 2Gy/#.
- Treatment 2 (**Hyperfractionation**) = 80.5Gy /70#/7weeks @ 2# /day , 1.15Gy/#.
- Treatment 3 (**Conco boost**) = 54Gy/30#/6weeks 1.8Gy/# with conc boost 18Gy/12# , 1.5Gy/3 during same period.
- Treatment 4 (**CHART**) = 54Gy/36#/12day @ 3#/day , 1.5Gy/#

Treatment 1(conventional) = 70Gy/35#/ 7 weeks  
@ 1#/day , 2Gy/#.

- **Early effect**

$$\text{BED} = nd (1 + d / \alpha / \beta)$$

$$= 70 (1 + 2/10)$$

$$= 70 (1.2) = 84.$$

- **Late effects**

$$= 70 (1 + 2/2)$$

$$= 140$$

Using the formula we will see that.....

Arm	Early	Late
Conventional	84	140
Hyper#	89.6	126.8
Conco Boost	84.4	134.1
CHART	62.1 ★	94.5 ★

# Allowance for tumour proliferation

- An alternative to put time scale in BED formulae.
- Crude method based on the assumption that rate of cellular proliferation remains constant throughout the overall treatment time.
- $N = N_0 e^{\lambda t}$

$N$  = number of clonogens at time  $t$ .

$N_0$  = initial clonogens.

$\lambda$  = constant =  $0.693 / T_{pot}$ .

- Thus after modification
- $BED = nd (1 + d / \alpha / \beta) - (0.693 / \alpha) (t / T_{pot})$

Arm	Early Effect	Proli. correction	Corrected dose for time
Conventional	84	22.64	61.36
Hyper#	89.6	22.64	67.16
Conco Boost	84.4	19.4	65
CHART	62.1	5.55	56.5

# Double trouble

- Dosimetric hotspots receive not only higher total dose but also higher dose per fraction
- Withers called it *double trouble*

Biological effect of a hotspot is relatively more important for late effects than for tumour control

# Unplanned gaps in treatment

- Gaps negative therapeutic effect (strongest for sq.ca. of head & neck, uterine cervix)
- Options
  - accelerating treatment after gap
  - hyper fractionation
  - delivering remaining in hypofractionated dose/fr

# Example

Pt of colorectal ca planned to receive RT  
5 Fraction of 5 Gy from Monday to Friday, no  
Treatment on Wednesday..

Planned to deliver isoeffective tumour dose  
by increasing size of last 2 # .  $\alpha/\beta = 10$  Gy for  
colorectal Gy

$$\text{EQD}_2 = 15 \left[ \frac{5 + 10}{2 + 10} \right] = 18.75 \text{ Gy}$$

$$\text{EQD}_2 (\text{New}) = 2x \left[ \frac{x + 10}{2 + 10} \right]$$

$$2x * \left[ \frac{x + 10}{12} \right] = 18.75$$

$$2x^2 + 10 = 18.75 * 12$$

$$2x^2 + 20x - 225 = 0$$

$$x = \frac{-b + \sqrt{b^2 - 4ac}}{2a} = \frac{-20 + \sqrt{(20)^2 - 4 * 2 * -225}}{2 * 2}$$

$$= 6.7$$

# Limitations of LQ model

- The LQ model from which the BED concept is derived does not intrinsically include an **allowance for the volume effect**. The methods for assessing volume effects are complex (e.g. integrated BED, EUD, NTCP and TCP)
- Limitation for **reirradiation**.
- Applies best within **dose range of 2-8 Gy /#** application beyond that is not established

Inaccuracy due to incorrect estimation of  $\alpha/\beta$

Important in tissues with low  $\alpha/\beta$

- Since curve is steep, wide variation of SF with little change in dose/#
- So any inaccuracy in  $\alpha/\beta$  estimation leads to amplified differences in isoeffective dose prediction

No application to treatment given in single dose per fraction

Lacks time factor

# Time Dose Parameters

- Time dose parameters determining normal tissue tolerance are:
  - Total dose.
  - Overall duration of treatment.
  - Size of dose per fraction.
  - Frequency of dose fractionation.
- Size of dose per fraction and fractionation frequency determine the rate of dose accumulation.
- Intensity of acute reaction depends upon rate of cell kill & cell survival through proliferation of surviving stem cells which, depends on dose accumulation.
- At the peak of acute reaction, further irradiation will not increase the intensity of acute reaction, but will increase the time to heal.

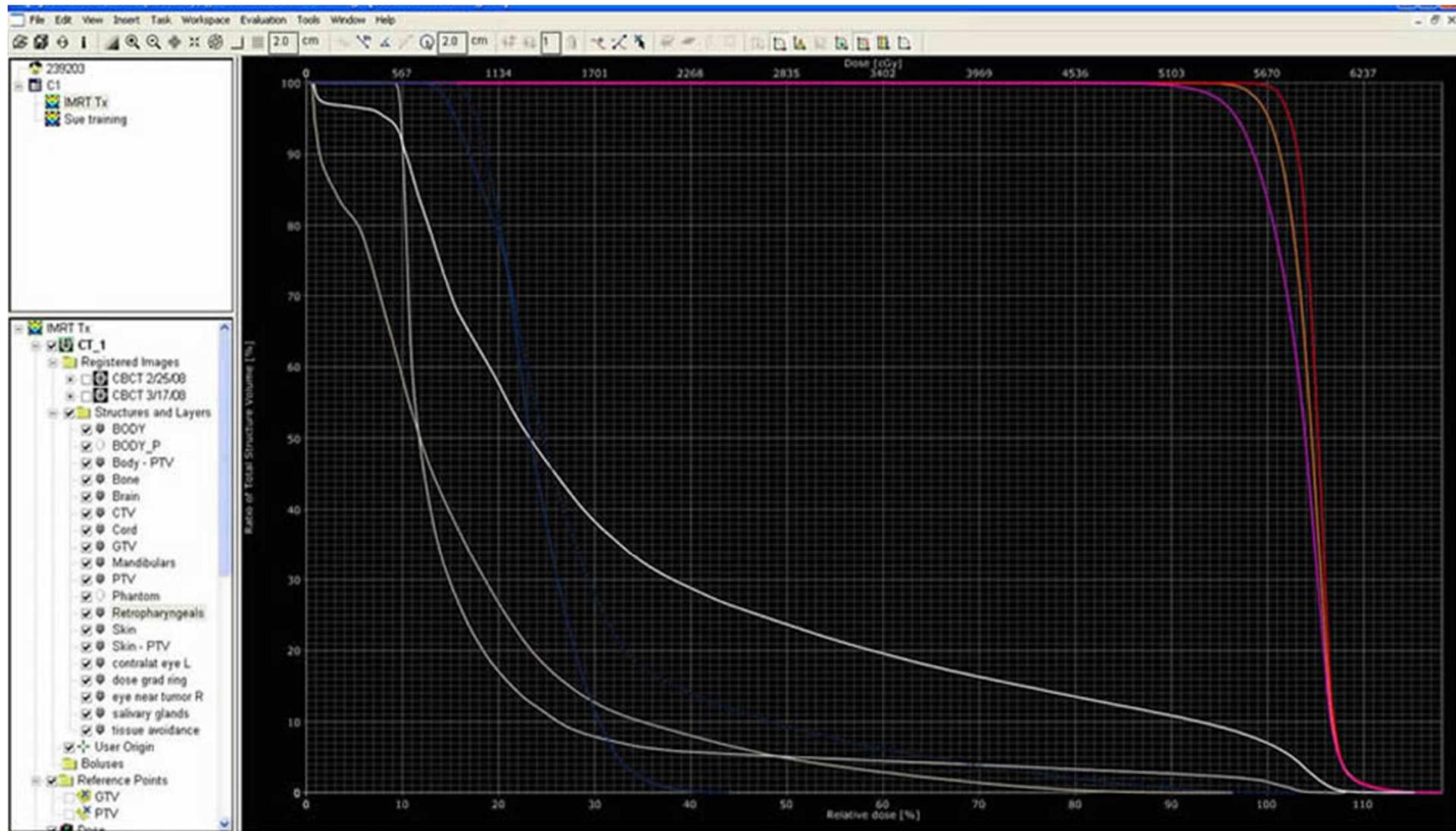
# Time Dose Parameters

- Late reaction occurs in tissues with slow cellular turnover like mature connective tissue and parenchymal cells of organs. Eg. Spinal Cord.
- No depletion of cells in late reacting tissues even if full course of RT is complete.
- Hence overall Rx time as well as dose accumulation has little role in severity of late reaction.
- Instead severity of late reaction is dominated by size of dose per fraction and interfraction interval.
- Time interval is necessary for complete repair of sublethal damage. Recommended time interval is at least six hours.
- As overall treatment time increases the probability of tumor control decreases / isoeffective dose for tumor control increases.

# The era of IMRT...

- Target and critical organ



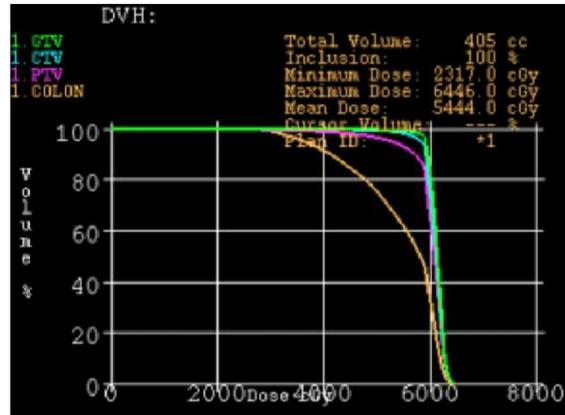
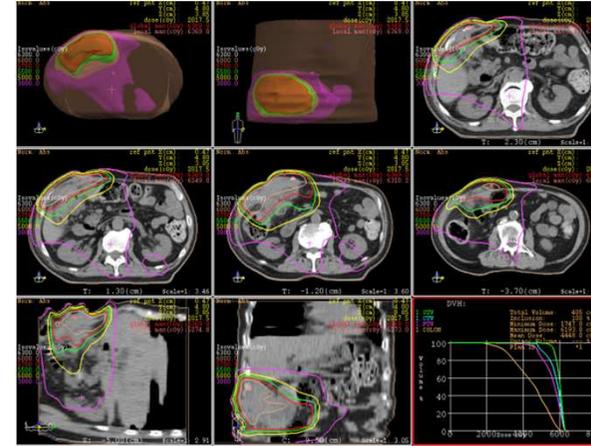
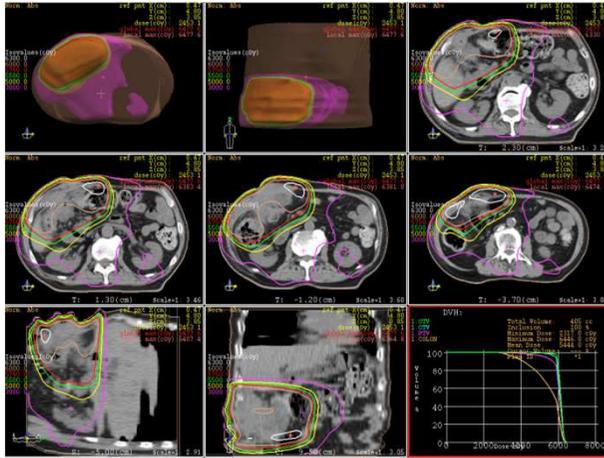


Selection Registration Contouring Field Setup Plan Evaluation

Fields Dose Prescription Dose Statistics Plan Sum

View	DVH Line	Structure	Plan	Course	Volume [cm <sup>3</sup> ]	Dose Cover [%]	Sampling Cover [%]	Min Dose [%]	Max Dose [%]	Mean Dose [%]
<input checked="" type="checkbox"/>		GTV	IMRT Tx	C1	53.2	100.0	100.0	97.2	118.2	105.2
<input checked="" type="checkbox"/>		Mandibulars	IMRT Tx	C1	4.6	100.0	99.9	82.2	72.8	72.8
<input checked="" type="checkbox"/>		PTV	IMRT Tx	C1	130.6	100.0	99.9	77.5	118.2	103.2
<input checked="" type="checkbox"/>		Retropharyngeals	IMRT Tx	C1	4.8	100.0	100.1	72.9	88.1	82.1
<input checked="" type="checkbox"/>		Skin	IMRT Tx	C1	269.9	100.0	95.3	0.5	96.4	16.1
<input checked="" type="checkbox"/>		Skin - PTV	IMRT Tx	C1						
<input checked="" type="checkbox"/>		contralat eye L	IMRT Tx	C1	9.4	100.0	100.0	12.1	44.0	23.8
<input type="checkbox"/>		dose grad ring	IMRT Tx	C1						

- Do the DVH parameters really predict the tumour control and normal tissue complications?
- This led to the development of more probabilistic models predicting tumour control or normal tissue complication probabilities.
- They essentially aimed at making plan evaluation easier between two close High Precision plans.



TCP 32.28  
 NTCP 61.30%

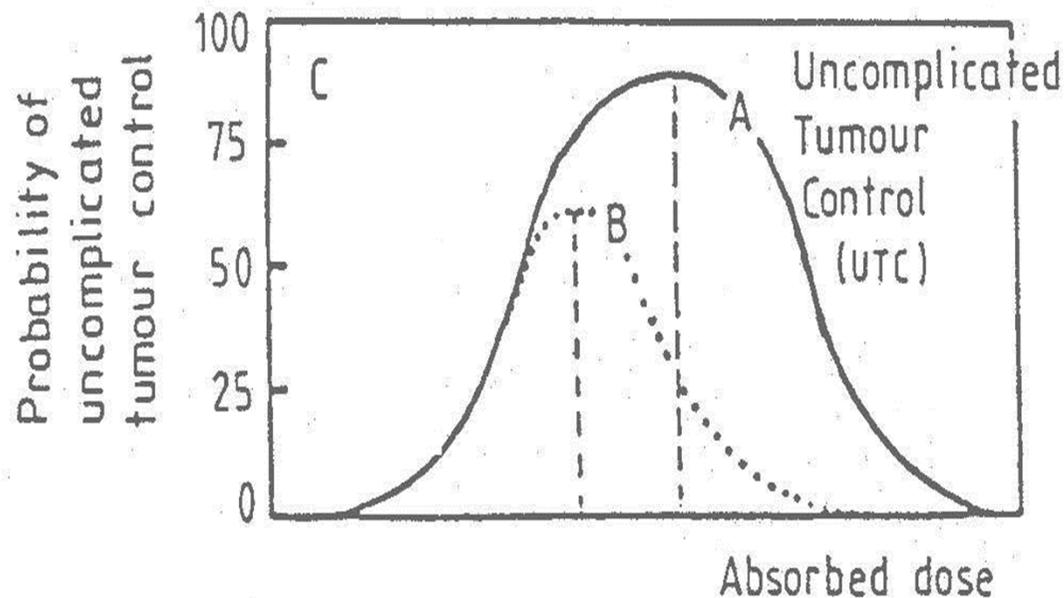


TCP 26.83  
 NTCP 14.91%

# Tumour Control Probability

- The probability of uncomplicated tumor control ( $P_{UTC}$ ):

$$P_{UTC} = TCP(1 - NTCP)$$



## Normal Tissue Complication Probability

- These models aim to predict the probability of a complication as a function of the dose or biologically equivalent dose and volume

## Normal Tissue Complication Probability

- Functionally, the whole organ does not fail if some part of it is destroyed
- Withers suggested that the tolerance of tissues depends on the ability of the remaining clonogenic cells to maintain a sufficient number of mature cells suitably structured to maintain organ function

# Normal tissue complication probability

- Organ function depend upon the aggregation of cells into functional sub-units ( FSUs )
- FSUs in an organ can be organized in series or parallel
  - Series: gastrointestinal tract and spinal cord, damage in one portion of the organ may produce total organ fail
  - Parallel: lung or kidney, function is often maintained since the undamaged part operates independently from the damage part

## Normal tissue complication probability

- Volume dependence
- A lot to a little or a little to a lot ?
  - Whether it is better to give a lot to a little as unconventional treatment, or a little to a lot as in 3D and IMRT

# Models for NTCP

- Homogeneous dose distribution
  - Empirical model
    - Probit model : Lyman (1985)
    - Logistic model
  - Tissue architecture model
- Inhomogeneous dose distribution
  - Effective dose method : Lyman and Wolbarst (1987)
  - Effective volume method : Kutcher and Burman (1989)
  - Integral probability model : Schultheiss et al. (1983)

# Probit model (Lyman)

$$NTCP = 1 / \sqrt{2\pi} \int_{-\infty}^t \exp(-t^2 / 2) dt$$

$$v = V / V_{ref}$$

$$t = (D - TD_{50}(v)) / (m \cdot TD_{50}(v))$$

$$TD_{50}(v) = TD_{50}(1) \cdot v^{-n}$$

**TD<sub>50</sub>(1)** : the tolerance dose  
for reference volume  
irradiation

**m** : the steepness slope of the dose  
response curve

**V<sub>ref</sub>** : the reference volume

**n** : tissue-specific parameter

## Tolerance Data: Emami *et al.*, 1991

### Lung

<u>Vol</u>	<u>1/3</u>	<u>2/3</u>	<u>3/3</u>
<b>TD<sub>5/5</sub></b>	<b>4500</b>	<b>3000</b>	<b>1750</b>
<b>TD<sub>50/5</sub></b>	<b>6500</b>	<b>4000</b>	<b>2450</b>

TD<sub>5/5</sub> is the dose to the partial organ that would have a 5 % probability of complication in 5 years.

TD<sub>50/5</sub> is the dose to the partial organ that would have a 50 % probability of complication in 5 years.

# Fitting of Tolerance Data: Burman *et al.*, 1991

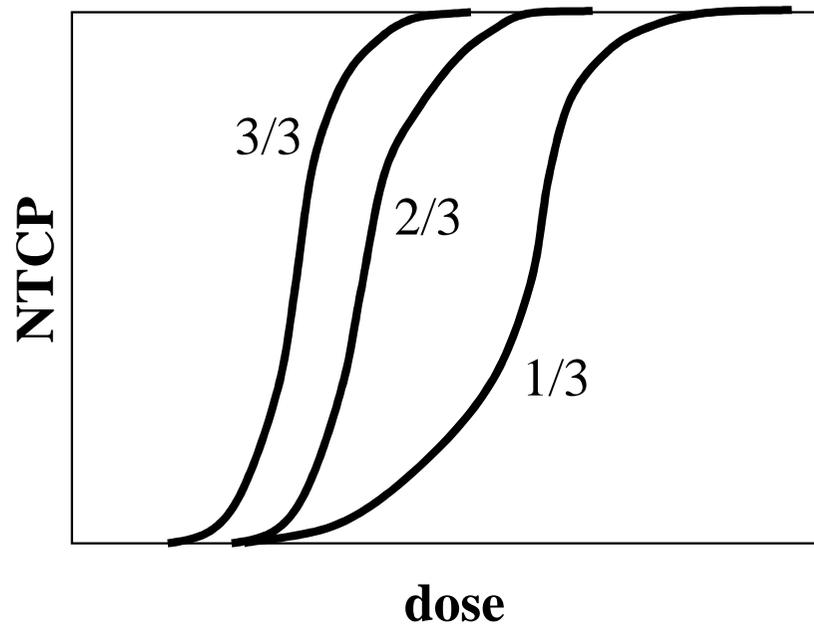
## Lung, brainstem, optic nerve

<u>organ</u>	<u>n</u>	<u>m</u>	<u>TD50</u>	<u>end point</u>
Lung 0.87	0.18	24.5	Pneumonitis	
Brainstem	0.16	0.14	65	necrosis/infarction
Optic nerve	0.25	0.14	65	blindness

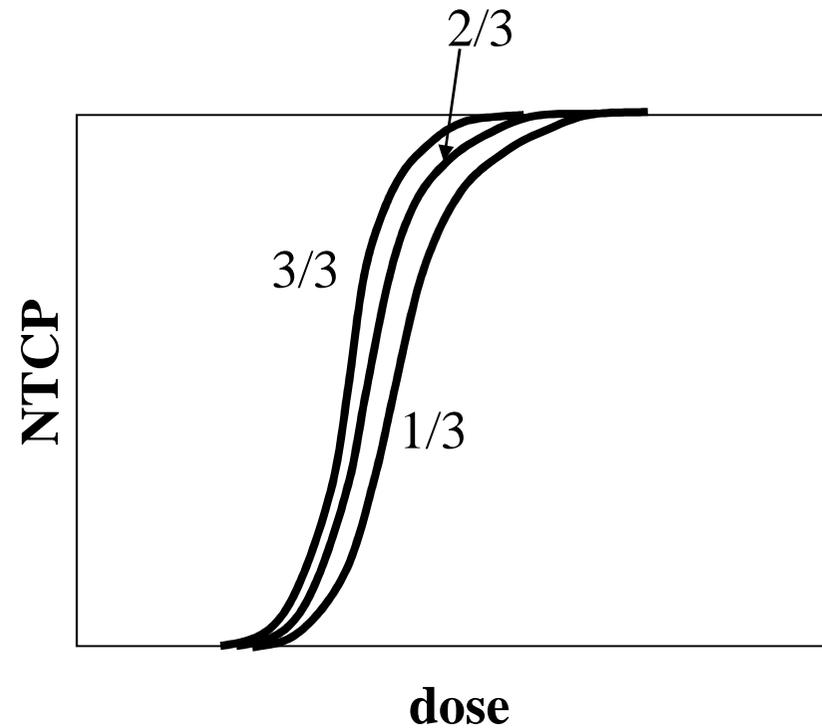
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		$TD_{5/5}$			$TD_{50/5}$		
<u>organ</u>	<u>45</u>	<u>1/3</u>	<u>2/3</u>	<u>1</u>	<u>1/3</u>	<u>2/3</u>	<u>1</u>
Lung	45	30	17.5	65	40	24.5	
(fitted data)		45	24	17	64	35	25
Brainstem		60	53	50	-	-	65
(fitted data)		60	53	50			65
Optic nerve		-	-	50	-	-	65
(fitted data)		-	-	50	-	-	65

## NTCP vs. dose, fixed partial irradiated volume



Large volume effect,  $n \rightarrow 1.0$



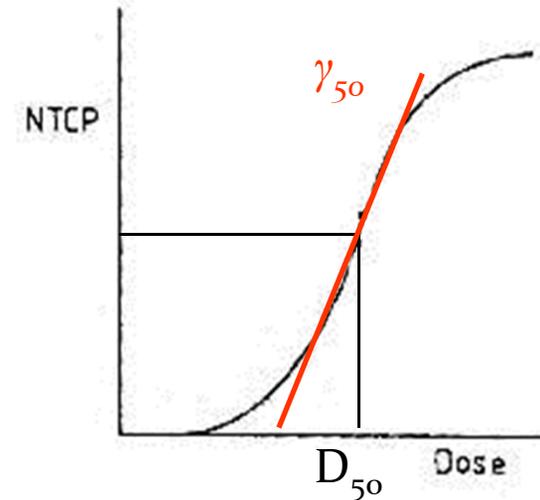
small volume effect,  $n \rightarrow 0.0$

# Logistic model

$$NTCP(D,1) = \frac{1}{1 + (D_{50} / D)^k}$$

$D_{50}$  : the dose resulting in a 50% complication probability for some spec complication or end point

$k$  :  $4\gamma_{50}D_{50}$  ( $\gamma_{50}$  : slope of  $D_{50}$ )



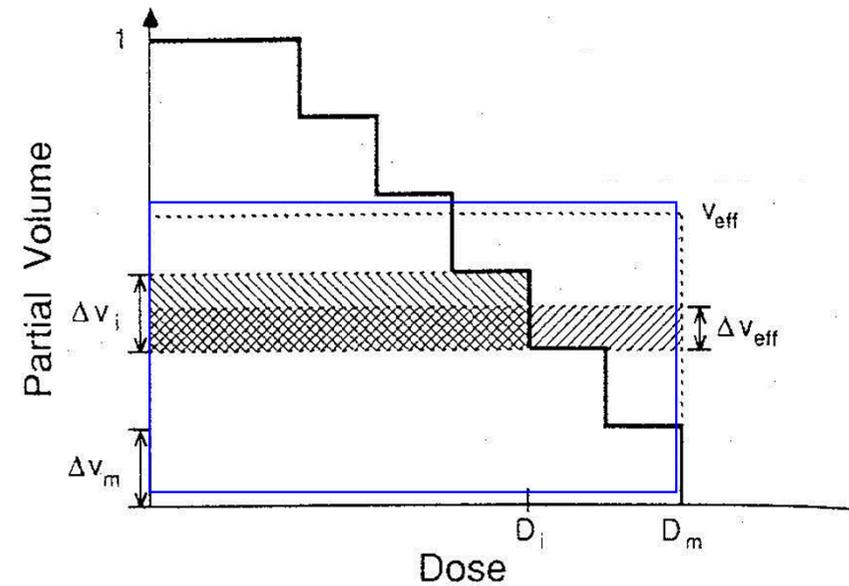


# Effective volume method

$$V_{eff} = \sum_i V_i (D_i / D_{max})^{1/n}$$



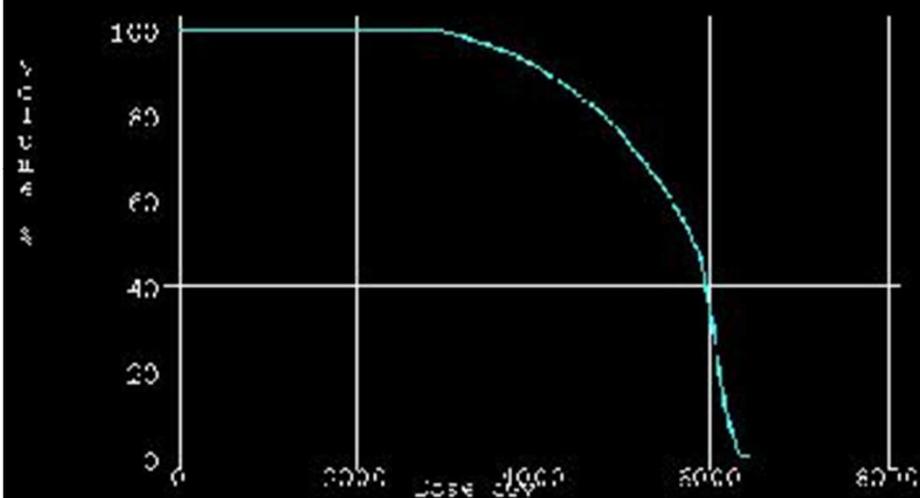
Lyman model or  
logistic model



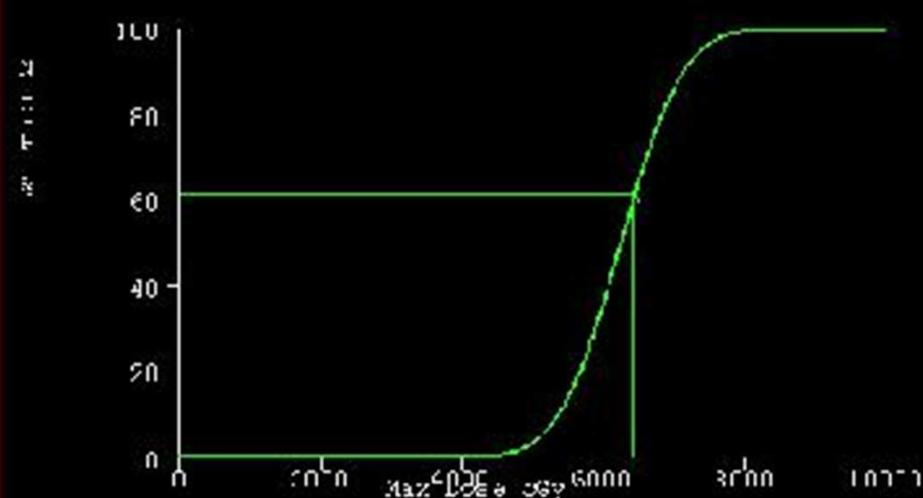
From Kutcher et al.1989

### Cumulative DVH

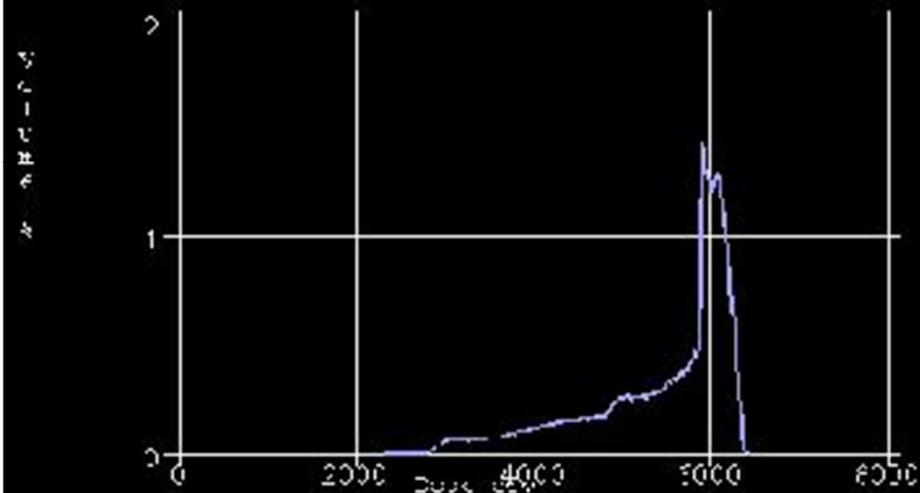
Min. Dose: 2317.3 cGy  
 Max. Dose: 6448.3 cGy  
 Mean Dose: 5444.1 cGy



### Normal Tissue Complication



### Dose Distribution



### NTCP Statistics

Patient ID: 00915311  
 Plan ID:  
 Structure: COLON  
 Volume: 705.1 cc  
 End organ:  
 Ref. volume:  
 D50: 55.33 Gy  
 m: 0.17  
 n: 0.11

$v_{eff}$ : 47.19 %  
 $D_{max}$ : 6448.3 cGy  
 NTCP ( $D_{max}$ ): 61.30 %

Cursor Dose: 6448.3 cGy  
 NTCP (Cursor Dose): 61.30 %

Eq. #: 19220763822.255103.101

# Disadvantages of NTCP models

Lymen's model only applies to fractional volumes receiving uniform doses. In practice it is never the case. Modern systems describes dose to an organ in terms of DVH.

DVH needs to be transformed into into a single point dose:

Method 1: DVH is transformed into an equivalent dose given to the whole volume

Method 2: DVH is transformed into a fractional volume that received the maximum dose in DVH

(ASSUMPTION: Each fractional volume will follow the same dose-volume relationship as the whole organ).

**Use of NTCP in clinical decision making is highly controversial.**

# Dose is not the most important....

Two identical doses may not produce identical responses due to other modifying factors

## 1. Physical factors

- **Linear energy transfer**
- **Relative biologic effectiveness**
- **Fractionation & protraction**

## 2. Biological factors

- **Oxygen Effect-Oxygen enhancement ratio**
- **Age**
- **Recovery**
- **Chemical Agents**

# OER: Oxygen Enhancement Ratio

## Definition

The Oxygen Enhancement Ratio (OER) is defined as: –

$$\frac{\text{Dose of radiation required for a given biological effect in the absence of oxygen}}{\text{Dose of radiation required for the same biological effect in the presence of oxygen}}$$

# OER

- for sparsely ionizing radiation (i.e., x-rays)  
*for a synchronous cell population: OER varies according to cell cycle phase*

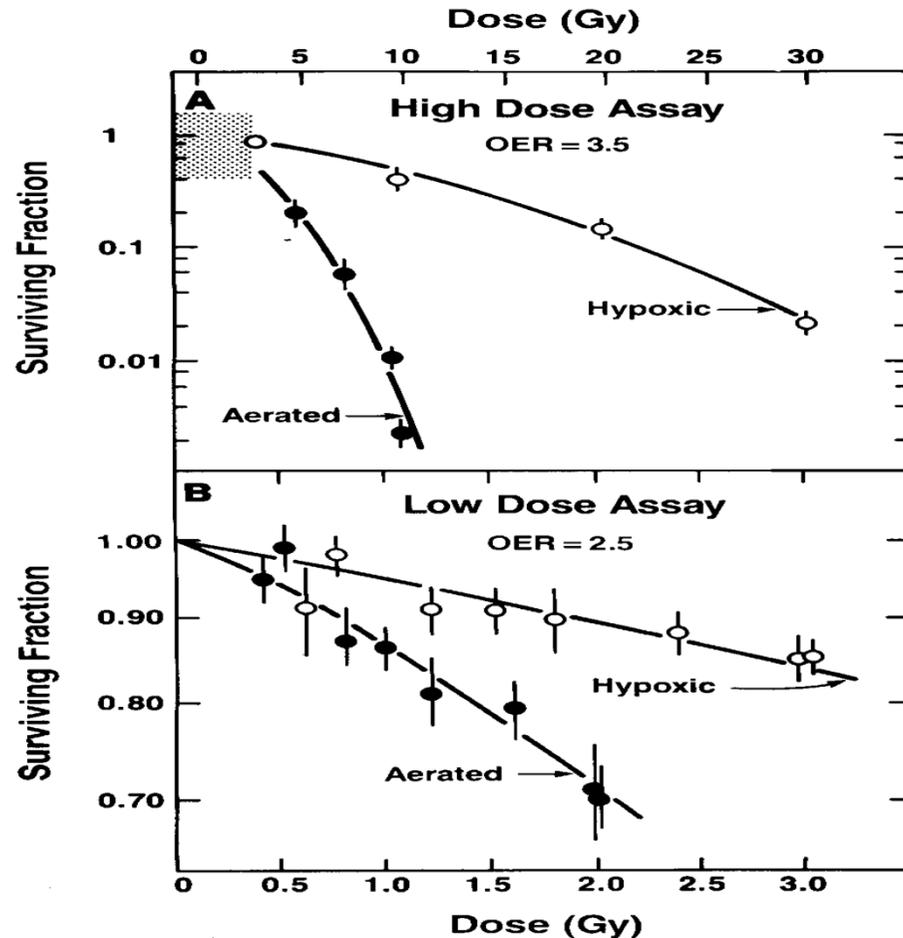
*S phase: 2.9*

*G2 and M phases: 2.3*

*G1 phase: 2.6*

*for an asynchronous cell population: OER varies according to radiation dose*

# OER & radiation sensitivity



*for radiation of low ionizing density (i.e x-rays): OER 2.5-3.*

*for radiation of intermediate ionizing density (i.e., neutrons): OER is 1.6 and is much smaller than for x-rays.*

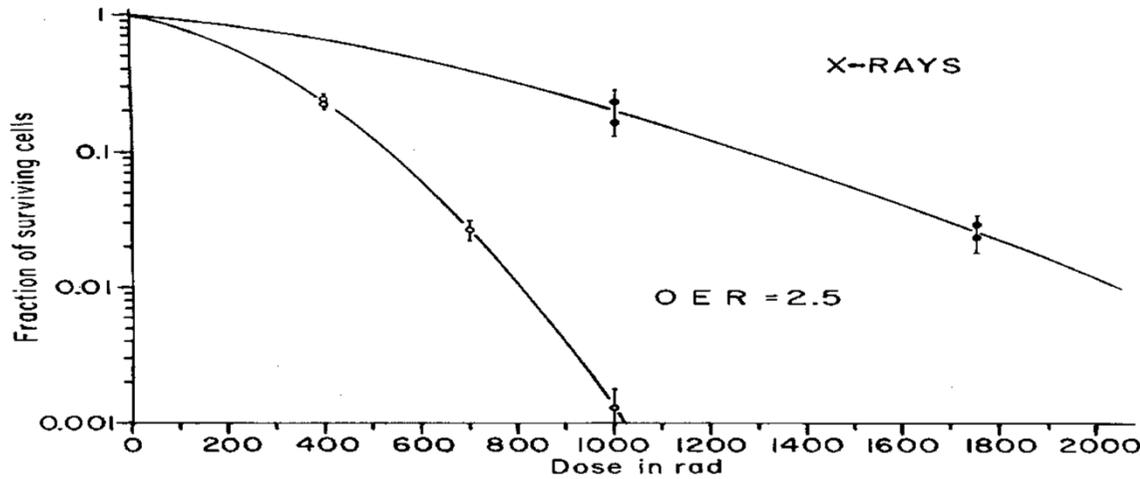
*for densely ionizing radiation (i.e.,  $\alpha$ -particles): OER is 1 – that is, there is no oxygen effect*

Palcic et al Rad Res  
1984

# OER for X-RAYS

- ***at low doses (i.e., < 200 cGy)***
  - OER ~ 2.5
  - *rationale*
  - cells in G1, G2, or M phase are more radiosensitive than cells in S phase  
OER tends to be lower for cells in G1, G2, or M phase than cells in S phase  
therefore, at low doses, cells in radiosensitive phases constitute most of the killed cells, and OER is low.
- ***at high doses (i.e., > 200 cGy)***
  - OER ~ 3 – 3.5
  - *rationale:*  
at high doses, an increasing proportion of radioresistant cells are killed, and OER is therefore observed to increase

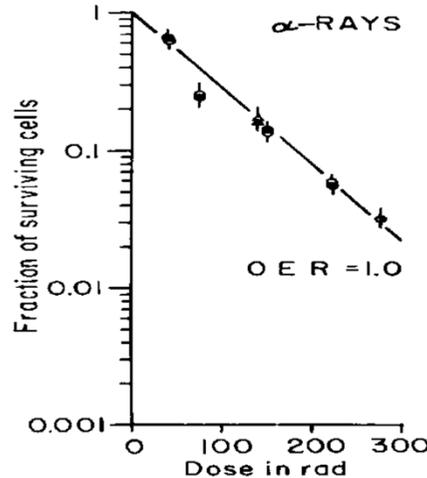
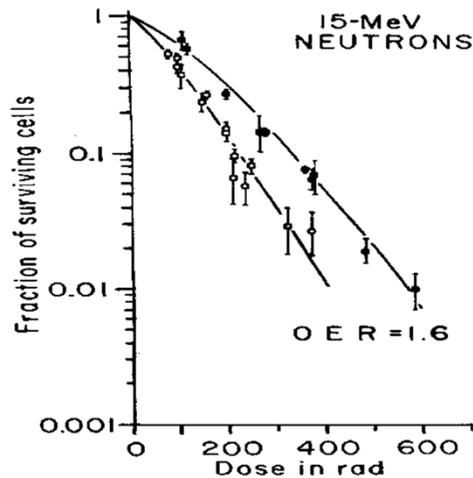
# OER & LET



Oxygen effect is large for sparsly ionizing radiation e.g X-rays

Oxygen effect is absent for densly ionizing radiation.

Oxygen effect is Intermmediate for neutrons.



according to radiation type: OER decreases as LET increases

# LET: Linear Energy Transfer

**Definition: introduced by Zirkle**

- **Energy deposited per unit of track length of soft tissue.**

- **$LET = dE/dl$**

- ***Where:***

**$dE$  is the average energy locally imparted to the medium by a charged particle of a specified energy in traversing a distance of length  $d/$ .**

- **Units: KeV/um**

- **What LET tells us is that the number of ionization events increase as the LET increases and decrease as the LET decreases**

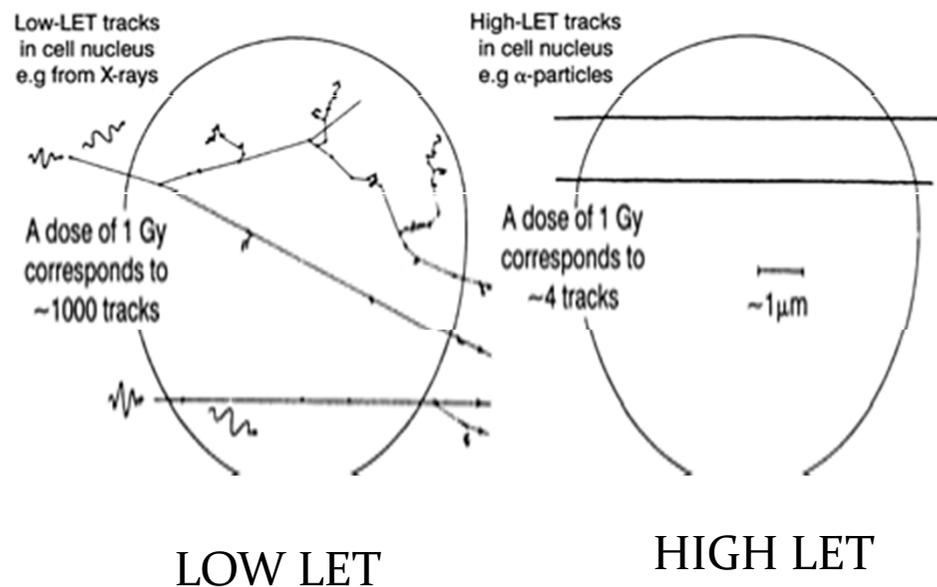
- **Track average = equal track length**

- **Energy average = equal energy increments.**

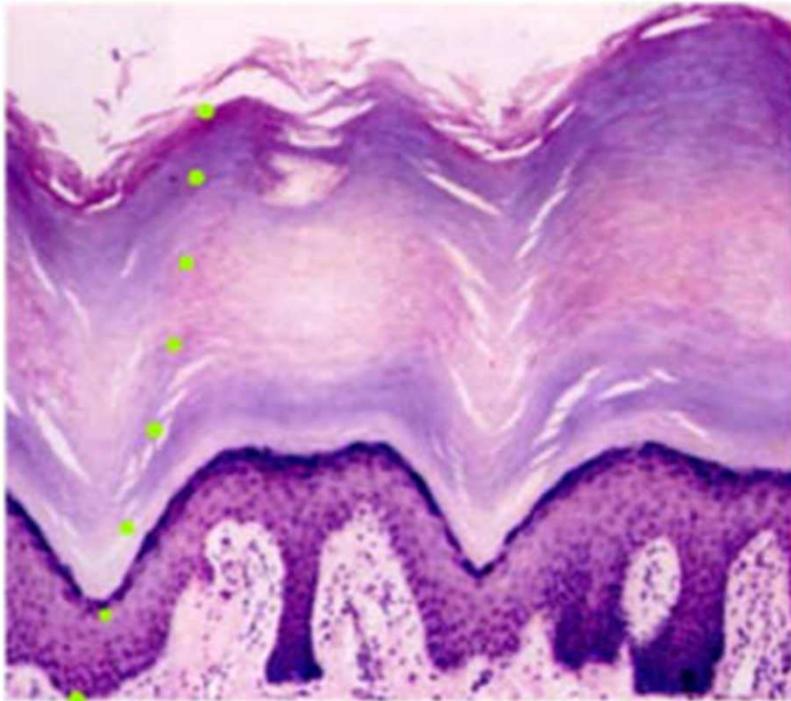
# LET

## Microdosimetry

- Sparsely ionizing: x-rays gamma rays.
- Densely ionizing: Alfa particles, protons, neutrons
- A measure of average ionization density.

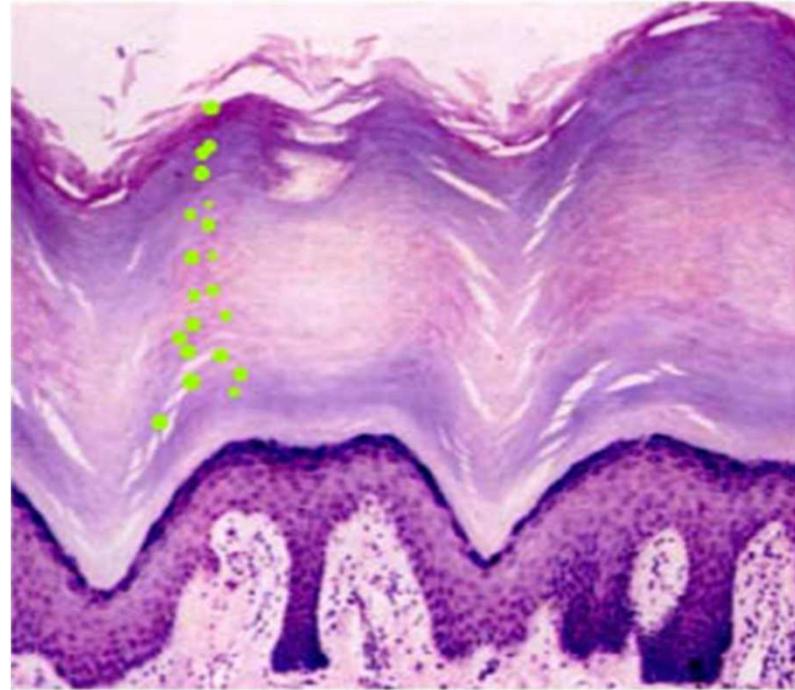


# LET



Specific Ionization by X-rays or gamma rays ●

The specific ionization of low LET radiation such as x-rays and gamma rays do not create ion pairs close together.



Specific Ionization by alpha particles ●

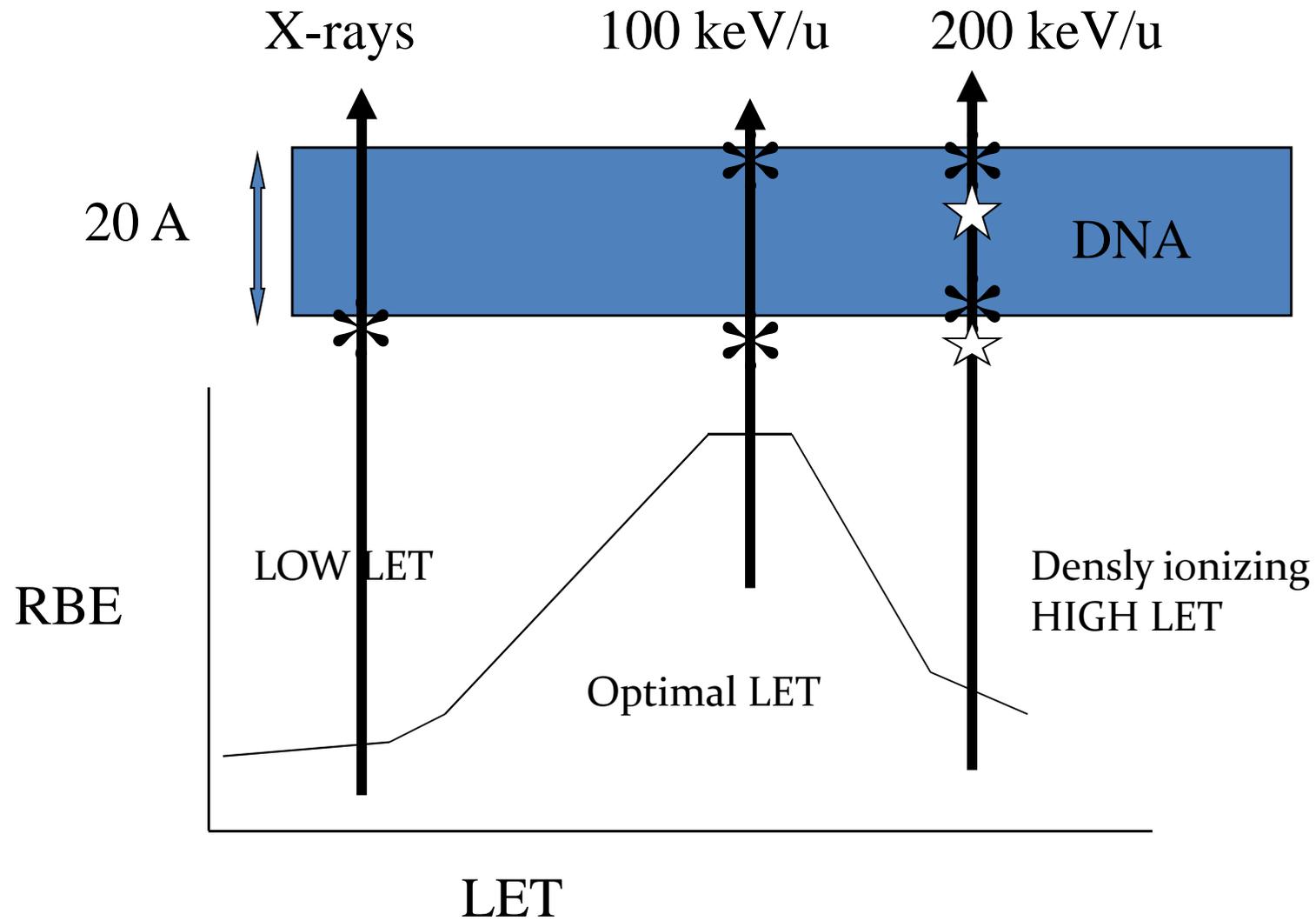
The specific ionization of particulate radiations (e.g. alpha particles) is high as ionization occurs more frequently and at closer intervals along the radiation's path.

# LET

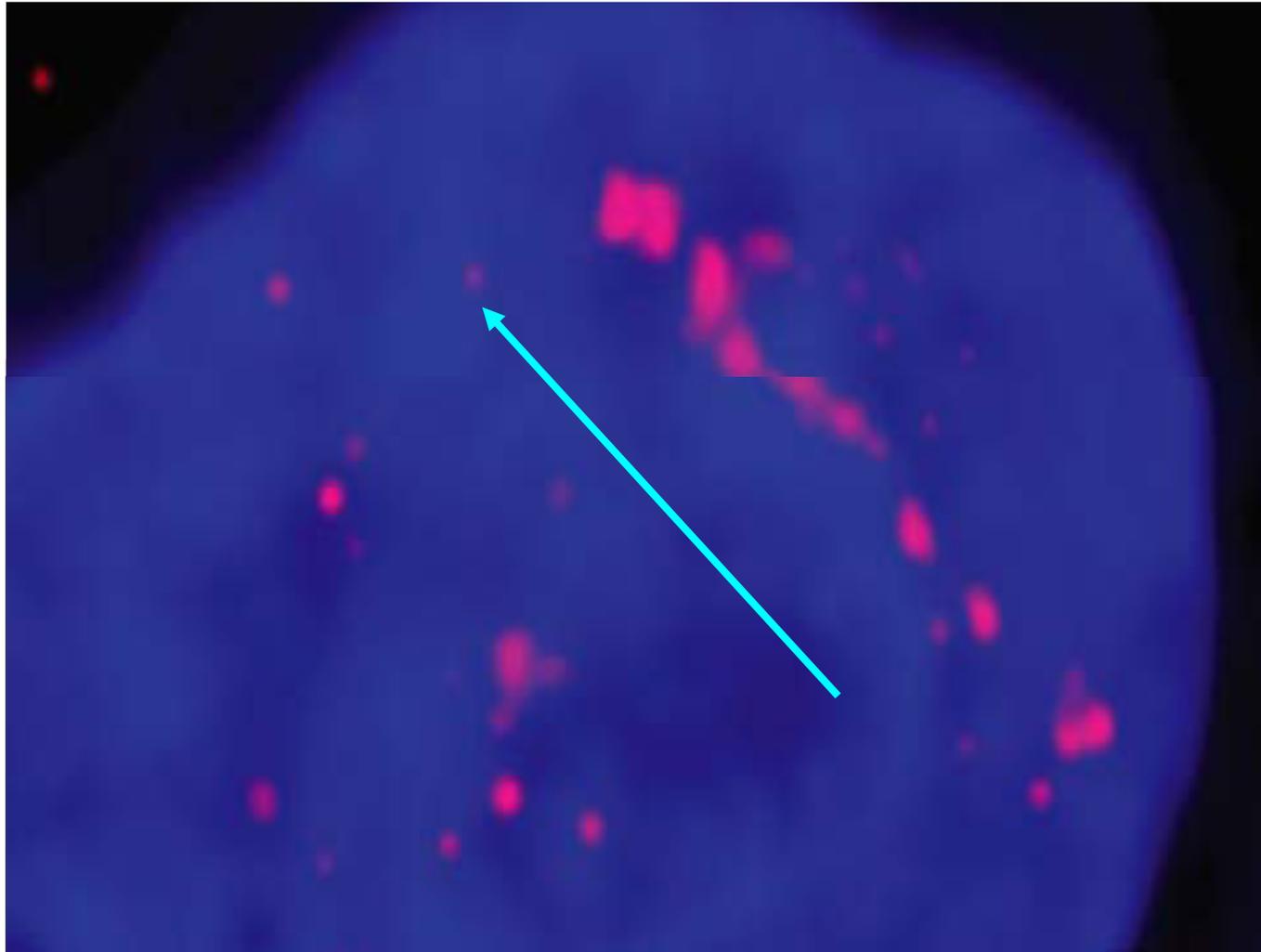
- As the energy of a photon of electromagnetic radiation increases its LET decreases, for example a 25-MeV photon will impart a LET of approximately  $0.2 \text{ keV}/\mu\text{m}$ .
- X-rays and gamma rays are highly penetrating radiations as such do not easily give up their energy and are considered low LET radiations.
- Less penetrating radiations such as particulate radiation, photoelectrons, alpha particles, and beta radiation are high LET radiations.

**so LET is inversely proportional to energy and range of travel**

# RBE & LET (phenomenon of overkill effect)



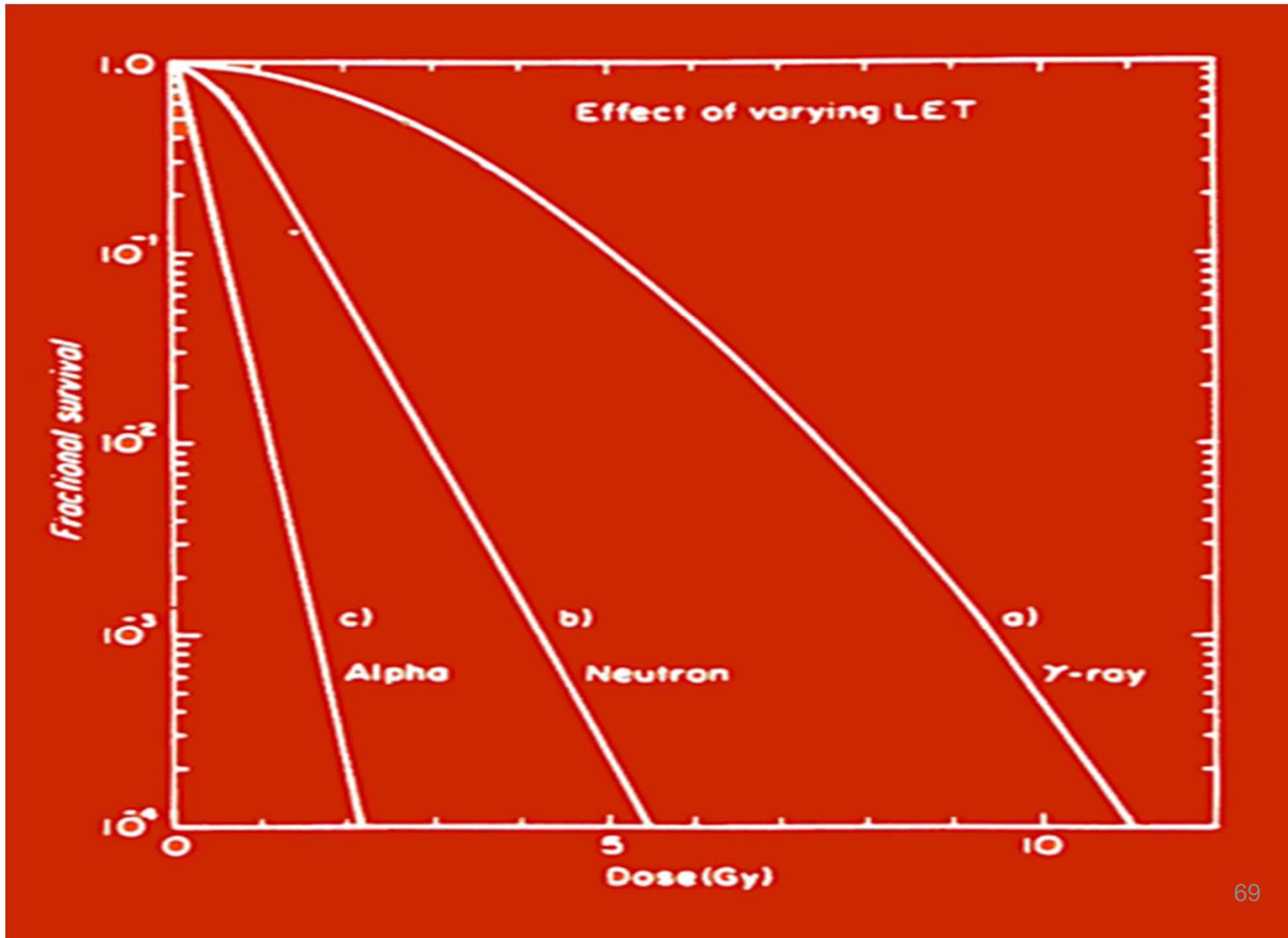
Initial DNA damage from an alfa- particle,  
measured by histone H2AX accumulation



# LET

- Measure of the rate at which energy is transferred from ionizing radiation to tissue.
- Another way of expressing radiation quality & determining the value of the tissue weighting factor (WT)
- **A simple way to indicate the quality of radiation.**

# Effect of varying LET on surviving fraction



# Rationale of High LET beams

(1) **Hypoxic** cells

- are very resistant to low-LET radiation
- less resistant to high-LET radiation

(2) **Slowly proliferating** cells

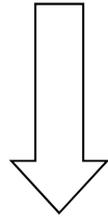
- in the G<sub>0</sub> or G<sub>1</sub> phase resistant to low-LET radiation
- but not so resistant to high-LET

(3) **Repair of sub lethal damage**

- Less repair of sub-lethal damage after high-LET radiation,
- high-LET treatments resemble large dose-per-fraction of X rays.

*Jack F. Fowler International Journal of Radiation Applications and Instrumentation. Part D. Nuclear Tracks and Radiation Measurements, Volume 16, Issues 2-3, 1989, Pages 89-95*

# High-LET



- Neutrons
- Heavy ions
- Light ions
- Protons

*Original article*

## Energetic heavy ions overcome tumor radioresistance caused by overexpression of Bcl-2

Nobuyuki Hamada<sup>a,b,c,\*,1</sup>, Takamitsu Hara<sup>a,b,c,1</sup>, Motoko Omura-Minamisawa<sup>d</sup>, Tomoo Funayama<sup>c</sup>, Tetsuya Sakashita<sup>c</sup>, Sakura Sora<sup>a,b,c</sup>, Yuichiro Yokota<sup>c</sup>, Takashi Nakano<sup>b,e</sup>, Yasuhiko Kobayashi<sup>a,b,c</sup>

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### Abstract

**Background and purpose:** Overexpression of Bcl-2 is frequent in human cancers and has been associated with radioresistance. Here we investigated the potential impact of heavy ions on Bcl-2 overexpressing tumors.

**Materials and methods:** Bcl-2 cells (Bcl-2 overexpressing HeLa cells) and Neo cells (neomycin resistant gene-expressing HeLa cells) exposed to  $\gamma$ -rays or heavy ions were assessed for the clonogenic survival, apoptosis and cell cycle distribution.

**Results:** Whereas Bcl-2 cells were more resistant to  $\gamma$ -rays (0.2 keV/ $\mu$ m) and helium ions (16.2 keV/ $\mu$ m) than Neo cells, heavy ions (76.3-1610 keV/ $\mu$ m) yielded similar survival regardless of Bcl-2 overexpression. Carbon ions (108 keV/ $\mu$ m) decreased the difference in the apoptotic incidence between Bcl-2 and Neo cells, and prolonged G<sub>2</sub>/M arrest that occurred more extensively in Bcl-2 cells than in Neo cells.

**Conclusions:** High-LET heavy ions overcome tumor radioresistance caused by Bcl-2 overexpression, which may be explained at least in part by the enhanced apoptotic response and prolonged G<sub>2</sub>/M arrest. Thus, heavy-ion therapy may be a promising modality for Bcl-2 overexpressing radioresistant tumors.

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**Keywords:** Bcl-2; Radioresistance; Heavy ions; Linear energy transfer; G<sub>2</sub>/M arrest

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High-LET radiation enhanced apoptosis but not necrosis regardless of p53 status.

Takahashi A, Matsumoto H, Yuki K, et al. IJROBP 2004;60:591–7.

# RBE: Relative Biological Effectiveness

- RBE : 
$$\frac{\text{Dose of standard Radiation to produce a given effect}}{\text{Dose of test radiation to produce the same effect}}$$
- Standard radiation, by convention, is X-radiation in the 200- to 250-kVp range or 60Co gamma rays
- For diagnostic X-rays, RBE = 1

# Factors which influence the RBE

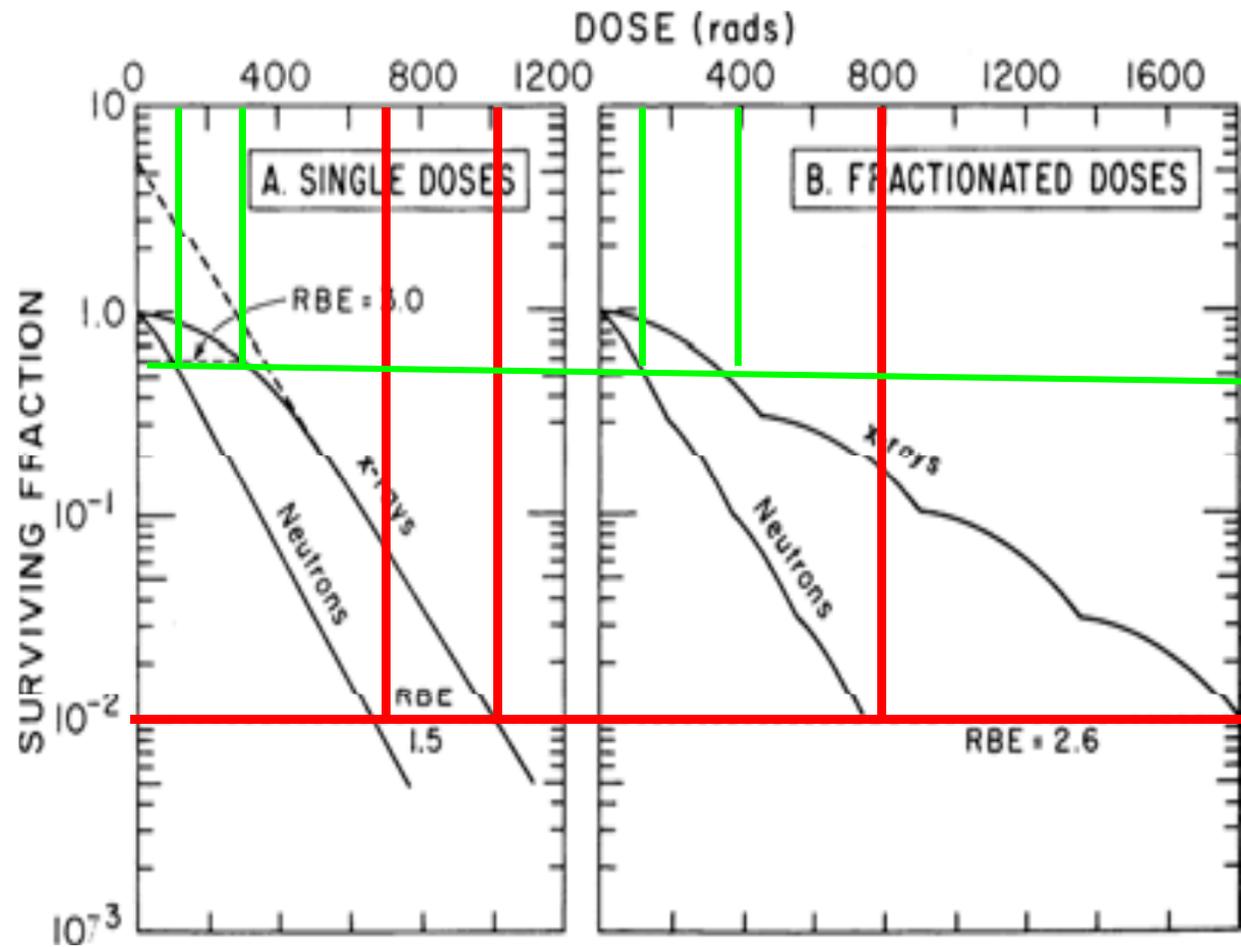
RBE depends upon:

- radiation dose (dose per fraction) ,dose rate
- radiation quality (LET)

RBE increases with increase in LET up to a maximum at  $\sim 100\text{keV /micron}$ , and thereafter decreases due to the “overkill” effect.

- biological system or endpoint
- conditions, *e.g.* oxygenation

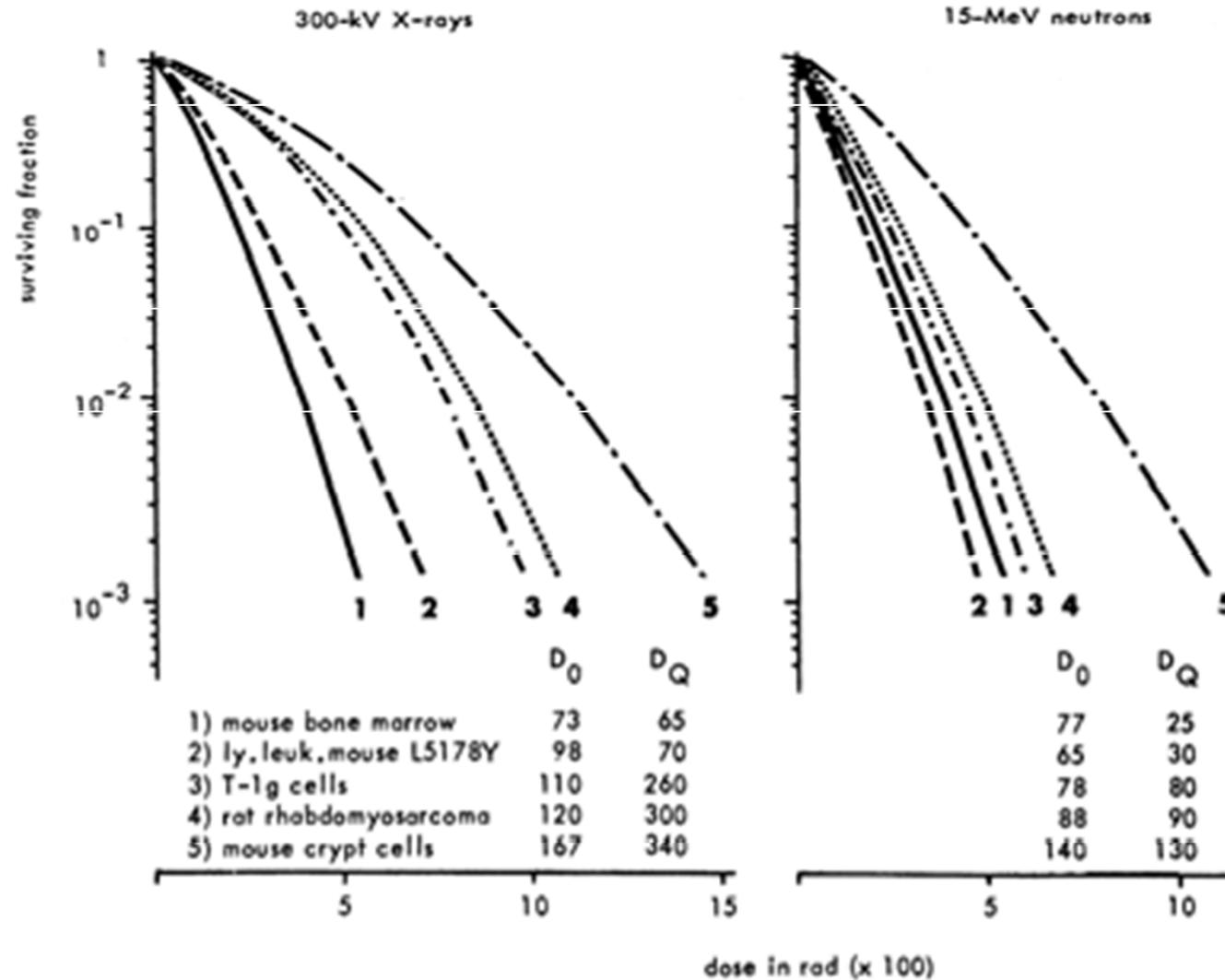
# Effect of dose and dose per fraction on the RBE



## Dependence of RBE on the type of cell irradiated

- In general, cells which exhibit large shoulders in their X-ray survival curves will show large RBEs for neutrons.
- Conversely, cells with *little, if any, shoulder* will have *low RBE's* for neutrons
- But there are exceptions, due to the different interaction mechanisms between low- and high-LET radiations *e.g.* cell-cycle effect.

# Dependence of RBE on type of cell irradiated



# Applications of RBE in Radiation Protection

## Radiation Weighting Factor (WR)

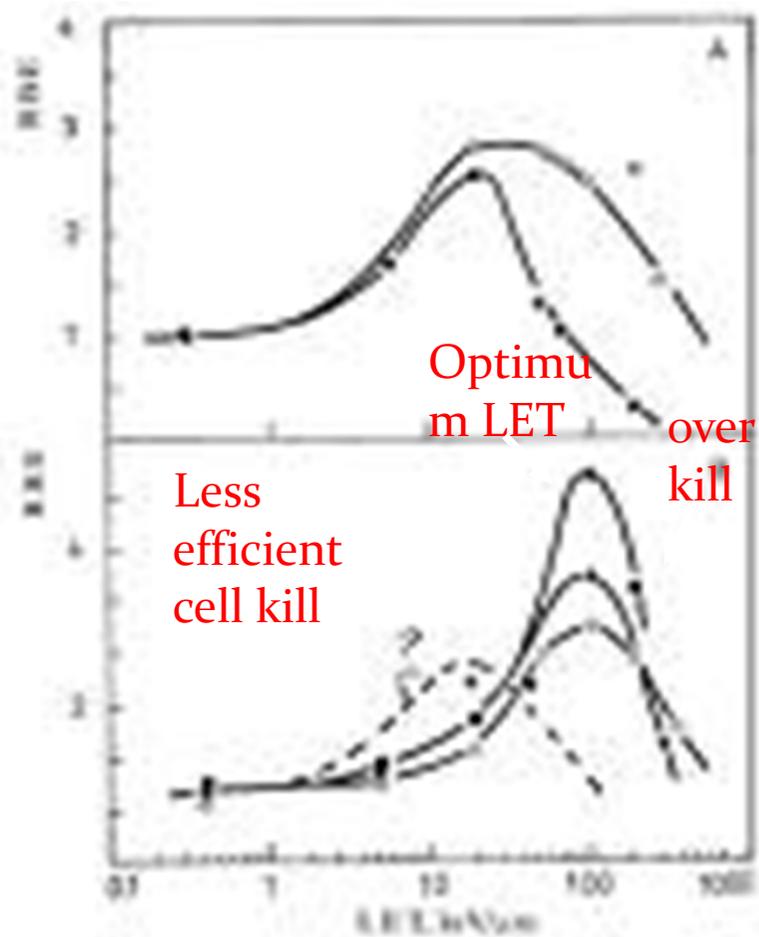
$$\text{Equivalent Dose} = \text{dose} \times \text{WR}$$

where WR is a “rounded” value of the RBE.

A “rounded” (approximate) RBE needs to be used to cover all biological systems, doses, and endpoints.

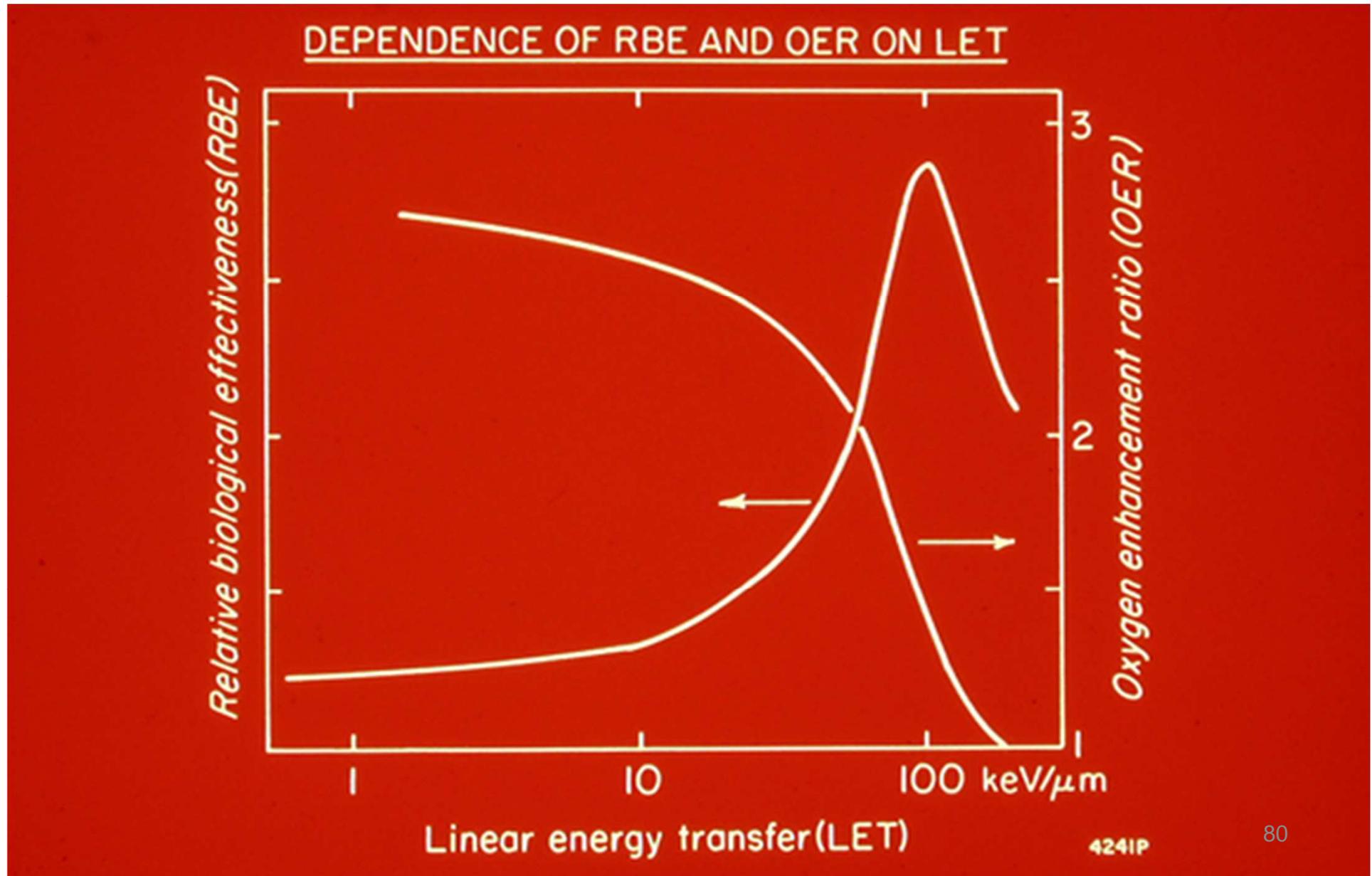
# Relationship Between LET & RBE

- LET & RBE



Type of Radiation	LET	RBE
25-MV x-rays	0.2	0.8
60Co X-rays	0.2	0.9
1-MeV electrons	0.3	0.9
Diagnostic X-rays	3.0	1.0
10-MeV protons	4.0	5.0
Fast Neutrons	50.0	10
5-MeV alpha particles	100.0	20

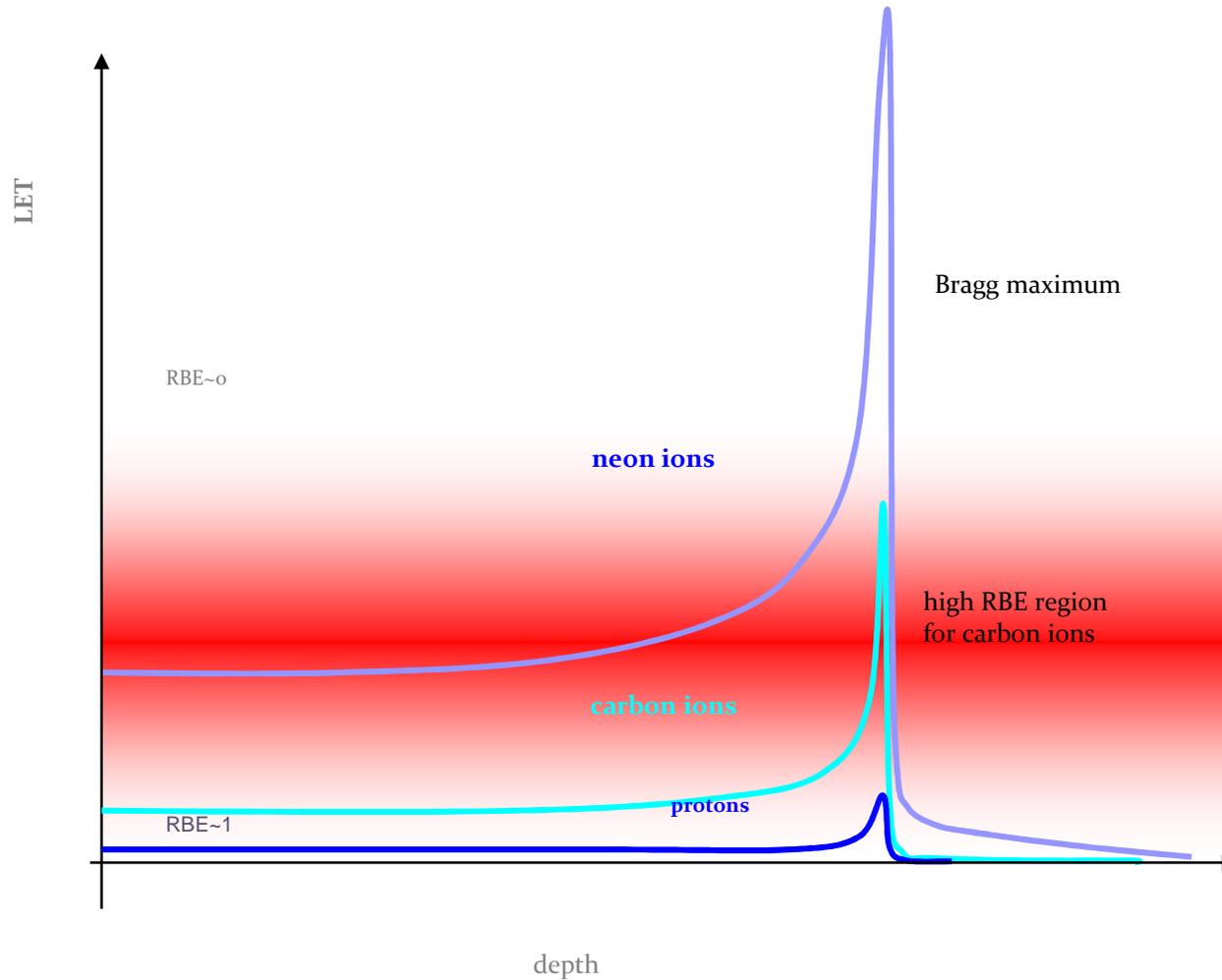
# Relation between LET, RBE & OER



## LET for proton, carbon and neon ions along their path

For carbon ions the maximum RBE is in the tumour region, neon ions produce an “overkill-effect” inside the target volume where the Bragg maximum is situated.

The density of the red colour indicates the increased RBE for carbon



# Particle Therapy

- **First proposed the use of protons and heavier ions for therapy in 1946**



**Robert Wilson**

(March 4, 1914– January 16, 2000)

- Protons - positively charged particles
- hydrogen atom → electrical field → separated into protons and electrons
- protons → vacuum tube in LA & proton energy boosted to about 7 MV
- proton beam → synchrotron → accelerated 70 to 250 MeV → enough energy to place at any depth
- beam passes series of magnets → shape, focus, and direct the beam to patient

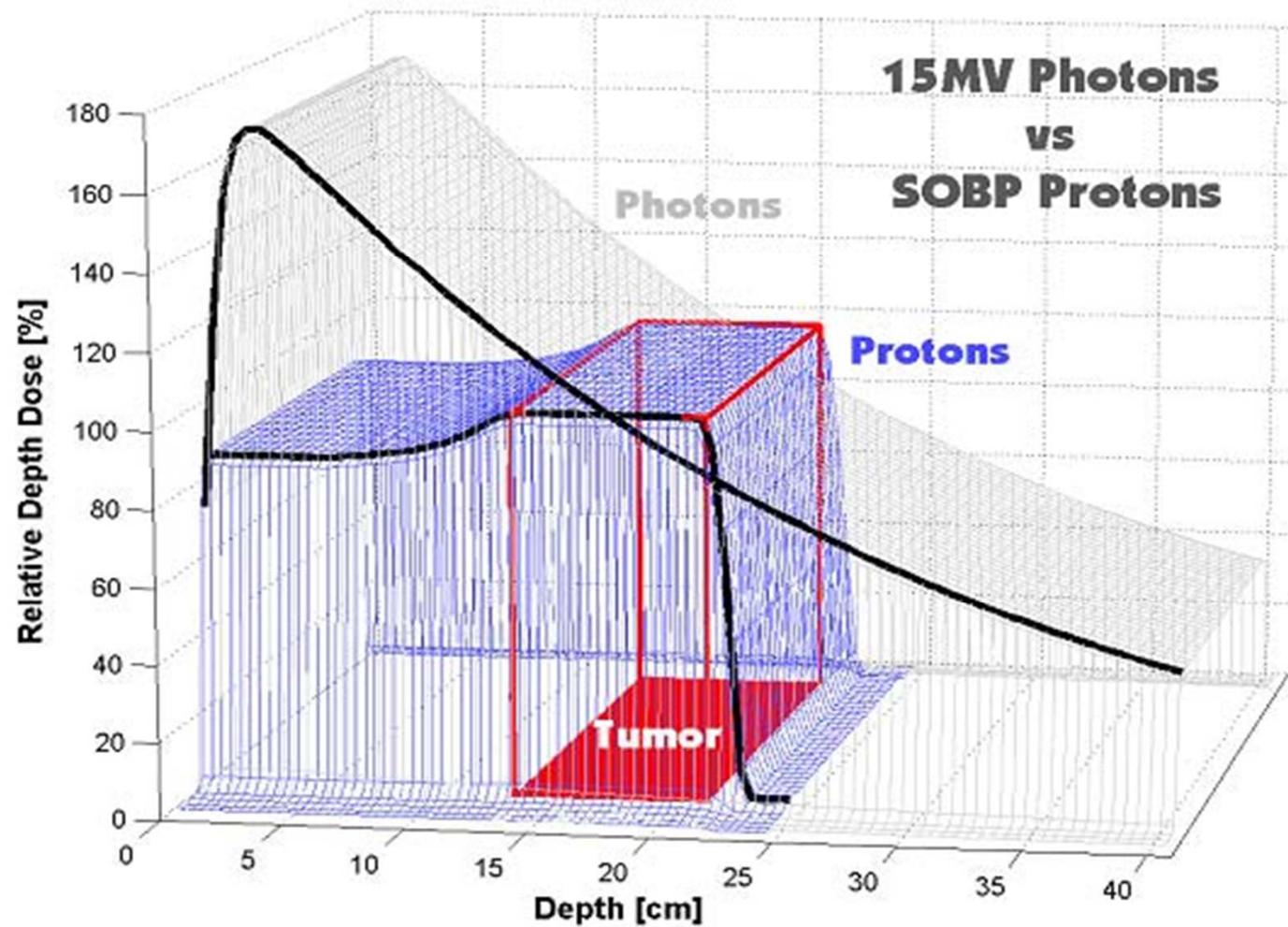
# Accelerators for High RBE Radiations

- Two types of accelerators : cyclotron or a synchrotron
- Cyclotrons → fixed energy, higher energy  $\approx 250$  MeV
- Synchrotron → varied energies, usually in the range of  $-50 - 70$  MeV

# SOBP

- Individual Bragg peaks  $\rightarrow$  too narrow to use
- Summed up and spread out (SOBP) to a useful plateau

# SOBP



# Useful applications of the proton beam

## 1) Zero dose beyond the Bragg peak.

-Stop the beam in front of sensitive healthy structures.

Eye tumours –

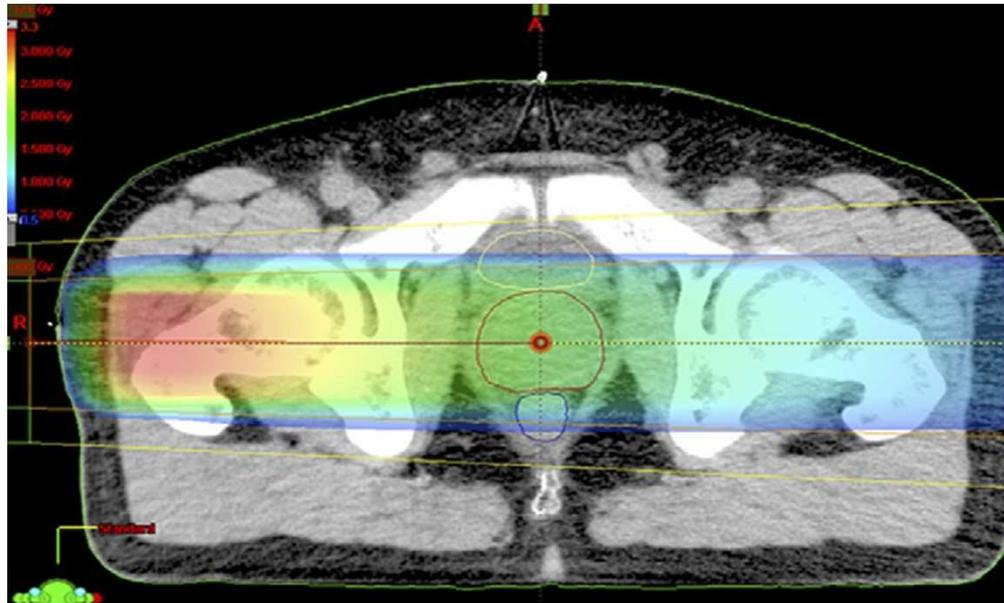
## 2) Low integral dose outside of the target volume.

-Treatment of large tumours by reducing the dose burden outside the target volume and give more dose to the target.

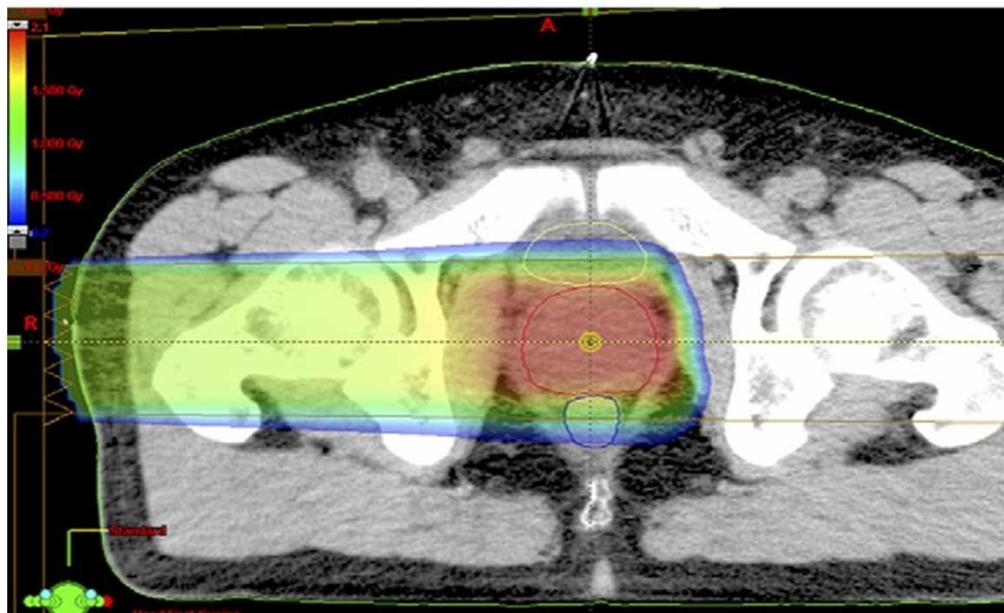
## 3) Protons are charged particles.

--Magnetic deflection of the beam - active dynamic scanning of the beam.

-For treatment of tumours with complex geometrical shape - conformal therapy.

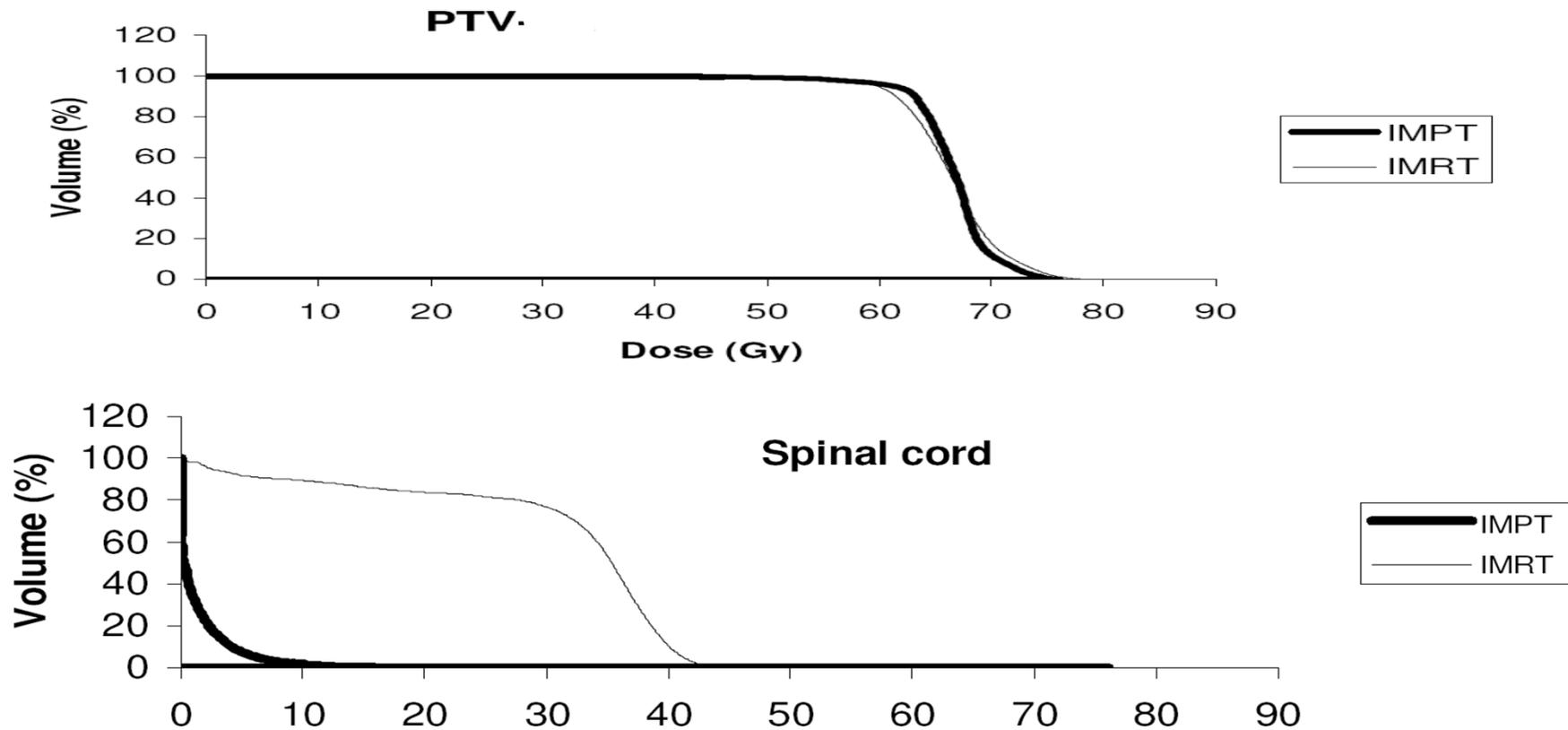


**Photon beam displaying exit dose**



**Proton beam displaying how dose stops**

# Example of DVH in Ca NPX



# Tumors considered for proton therapy

- High doses of radiations for control
- Located near sensitive normal tissues

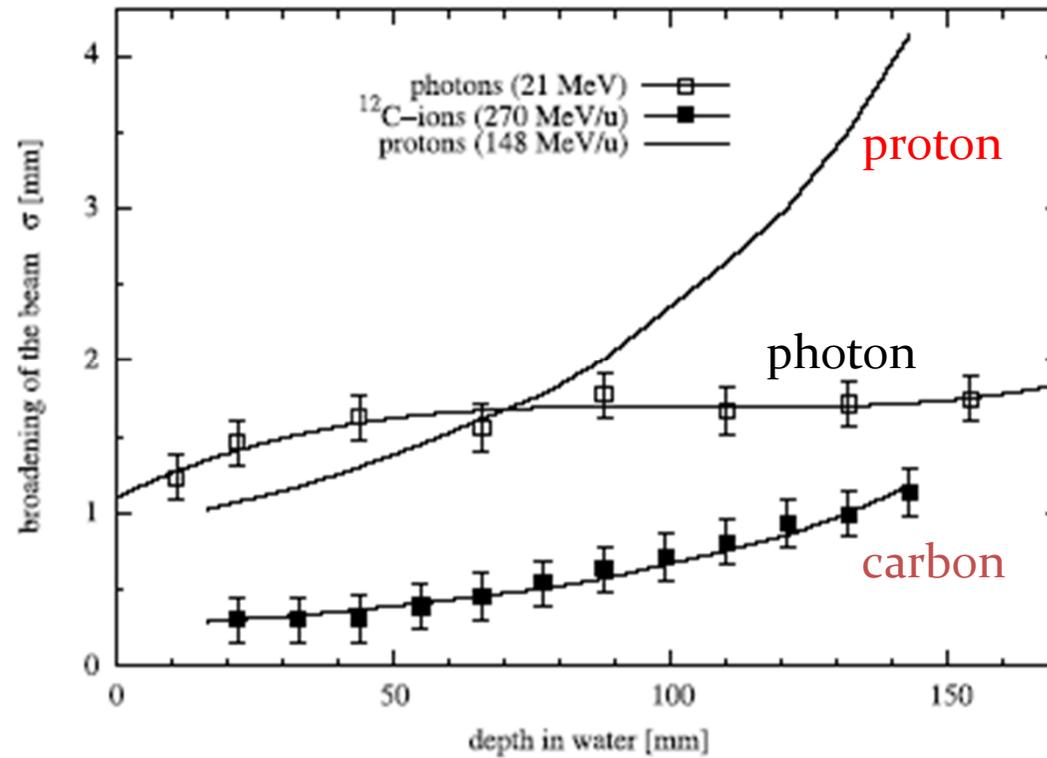
# Heavy Ion therapy

# Biological aspects-carbon

- Major advantage - increased biological effectiveness in the Bragg peak region so in the tumor volume
- Increased effectiveness → specific microscopic dose deposition pattern
- Photons deposit energy → randomly and homogeneously
- Charged particles → narrow region around the particle trajectory
- Very localized & concentrated energy → Increased effectiveness

# Lateral Scattering

- Lateral scattering more important than longitudinal straggling
- carbon  $\rightarrow$  broadening is  $< 1$  mm up to depth 20 cm
- Protons  $\rightarrow$  2 mm depth  $> 7$  cm.
- Lateral scattering for protons  $>$  photons
- Deep-seated tumors precisely with carbon ions
- Superficial tumors (eye) satisfactory with protons



Comparison of the lateral scattering of photon, proton and carbon beams as function of the penetration depth

Weyrather *Clinical Oncology* (2003) 15: S23–S28

# Clinical Evidence

- Protons
- Ions as Carbon, He
- Neutrons

# Proton Beam Therapy

# Proton Beam: Clinical Implications

- Uveal (choroidal) melanoma.
- Skull base tumors
- Spinal cord tumors – Chordoma.
- Prostate cancer.
- Pituitary tumor.
- Acoustic neuromas.
- Paranasal sinus & Nasopharynx.
- Others – AVM.

# Summary of Clinical Evidence

Table 1  
Results literature review in comparison with conventional therapy classified by tumour site

Tumour site	Protons		Ions	
	<i>n</i> studies/ <i>N</i>	Result	<i>n</i> studies/ <i>N</i>	Result
Head and neck	2/62	No firm conclusions	2/65	Similar to protons
ACC (locally advanced)	—	—	1/29	Superior
Prostate cancer	3/1751	Similar	4/201	No firm conclusions
Ocular tumours	10/7708	Superior	2/1343	Similar to protons
Gastro-intestinal cancer	5/369	No firm conclusions	2/73	No firm conclusions
Lung cancer (non-small cell)	3/156	No firm conclusions	3/205	Similar to SRT
CNS <sup>a</sup>	10/839	Similar	3/405	Similar to protons
Chordomas of skull base	3/302	Superior	2/107	Similar to protons
Sarcoma's	1/47	No firm conclusions	1/57	No firm conclusions
Pelvic tumours	3/80	No firm conclusions	2/49	No firm conclusions

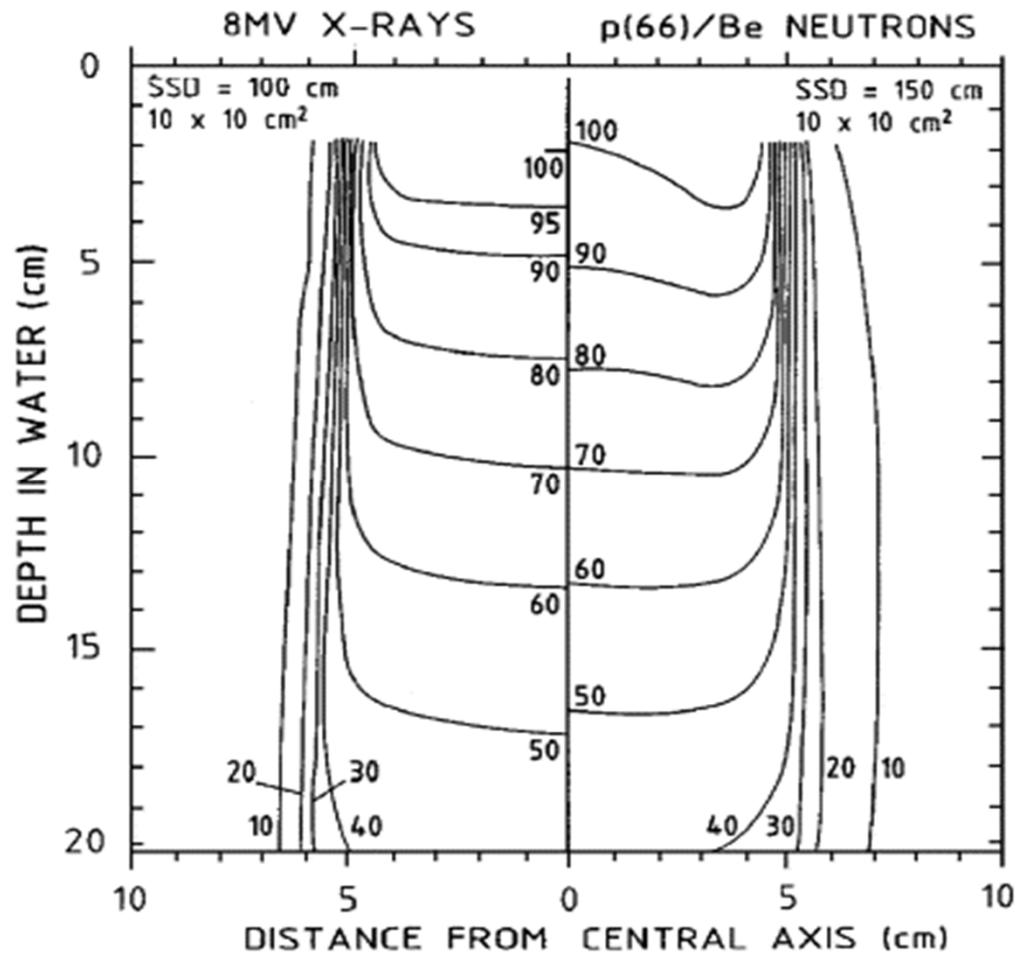
Abbreviations: *N*, number of patients; ACC, adenoid cystic carcinomas; SRT, stereotactic radiotherapy.

<sup>a</sup> CNS, central nerve system tumours; inclusive skull base, spinal cord chondroma and chondrosarcomas.

# Neutron Therapy

# Neutron Therapy

- Neutrons first were introduced without proper experimental data on sole basis that lower OER
- Since biological effect not taken into consideration major set back due very severe late reaction.
- Later on RBE concept taken into consideration
- Production –
  - Deuterium Tritium generators.
  - Particle Accelerators
- Bombarding particles – Deuterons, proton.
- Target material – Usually beryllium.
- Depth dose data same as photons



Isodose distributions for 8 MV X-ray and p(66)/Be neutron

# Interactions

- No charge but with high LET, RBE value.
- Indirectly ionizing.
- Two mechanism
  - Recoiling with hydrogen or heavy nucleus of element.
  - Nuclear disintegration.

## Indications for fast neutron therapy

<b>Region</b>	<b>Tumour</b>
<b>Base of skull</b>	<b>Chordomas</b>
	<b>Chondrosarcomas</b>
<b>Head and neck</b>	<b>Salivary gland tumours</b>
	<b>Paranasal sinus tumours</b>
<b>Chest and abdomen</b>	<b>Breast tumours</b>
<b>Pelvis</b>	<b>Prostate tumours (T3, T4)</b>
	<b>Uterine sarcomas</b>
	<b>Chordomas</b>
	<b>Chondrosarcomas</b>
<b>Trunk and extremities</b>	<b>Osteosarcomas</b>
	<b>Malignant melanomas STS</b>
	<b>Soft tissue sarcomas</b>

**D.T.L. Jones, A. Wambersie / Nuclear  
Instruments and Methods in Physics  
Research A 580 (2007) 522–525**

# Neutrons: Advantage only in few selected tumors

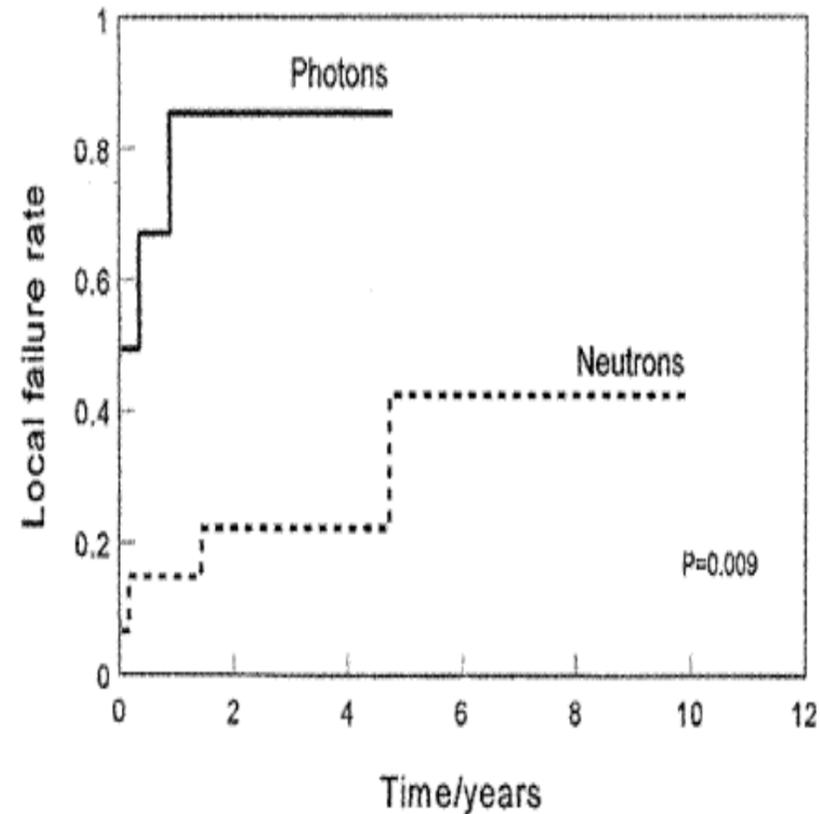
- Salivary gland.
- Prostate cancer.
- STS.
- Head and neck malignancies (Advanced).
- NSCLC.
- Breast

# Salivary gland

- Based on strong radiobiological rationale given by Batterman et al
- Salivary gland tumor has RBE ~ 8 as compare to other late effect (3 - 3.5)
- 20Gy of neutrons are equivalent to 160Gy at tumor site, 60Gy at normal tissue level
- Therapeutic gain factor for salivary gland tumor is 2.3-2.6

## RTOG/MRC trial

- RCT
- Accrual stopped as 2 year data showed strong trend towards neutron
- Followed up 10 yr
- Improved local control in neutron arm **56%** Vs 17%.  
P=0.009
- **No difference** in long term survival due to distant mets.



# Prostate cancer

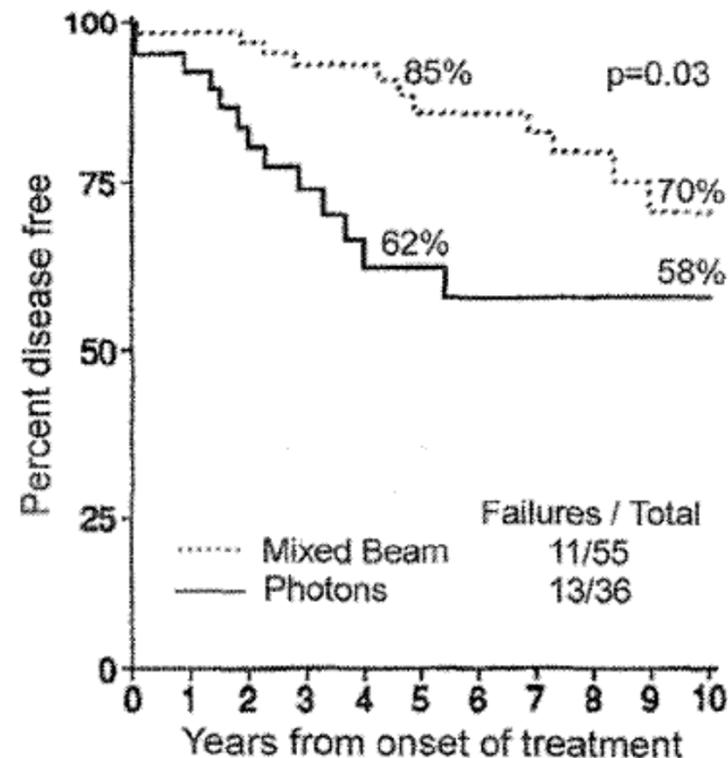
- 2 RCT.
- RTOG\* – compared **mixed** beam with photon alone in locally advanced cases.

-91 pt..

-At 10 yr F/U

LRC **70%** vs 58% (p=0.03),  
survival **46%** vs 29% (p=0.04).

*-No difference in toxicity!*



\*Am J Cli onco 1993,16,164

- 2<sup>nd</sup> RCT
- NTCWG Russell et al.\*
- 172 pt.
- Fast Neutron vs photon.
- No difference in survival.

\*IJROBP 1993,28,47

# Drawbacks

- Enormous cost involved. (~US \$100m)
- More complex and bulky equipment necessary to accelerate particles.
- Stringent quality assurance needed.
- No long term data available to consider late effects of treatment.
- Dose tolerance for various organs are not available.

# Conclusions

- Advances in radiation physics and better understanding of tumour biology allows us to plan more complex yet safe radiation therapy.
- This will lead to better tumour control and reduction in normal tissue toxicity thus improving the therapeutic ratio.
- Young Generation - :
  - Undertake clinical trials to validate the radiobiological concepts.
  - Develop models to individualize Radiotherapy based on tumour kinetics.



*Thank You*